

# AN AVERAGING PROCESS FOR UNIPOTENT GROUP ACTIONS

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ABSTRACT. We present an averaging process for sections of a torsor under a unipotent group. This process allows one to integrate local sections of such a torsor into a global simplicial section. The results of this paper have applications to deformation quantization of algebraic varieties.

## 0. INTRODUCTION

Let  $\mathbb{K}$  be a field of characteristic 0. For any natural number  $q$  let  $\mathbb{K}[t_0, \dots, t_q]$  be the polynomial algebra, and let  $\Delta_{\mathbb{K}}^q$  be the *geometric  $q$ -dimensional simplex*

$$\Delta_{\mathbb{K}}^q := \operatorname{Spec} \mathbb{K}[t_0, \dots, t_q] / (t_0 + \dots + t_q - 1).$$

Our main result is the following theorem.

**Theorem 0.1.** *Let  $G$  be a unipotent algebraic group over  $\mathbb{K}$ , let  $X$  be a  $\mathbb{K}$ -scheme, let  $Z$  be a  $G$ -torsor over  $X$ , and let  $Y$  be any  $X$ -scheme. Suppose  $\mathbf{f} = (f_0, \dots, f_q)$  is a sequence of  $X$ -morphisms  $f_i : Y \rightarrow Z$ . Then there is an  $X$ -morphism*

$$\operatorname{wav}_G(\mathbf{f}) : \Delta_{\mathbb{K}}^q \times Y \rightarrow Z$$

*called the weighted average, such that the operation  $\operatorname{wav}_G$  is symmetric, simplicial, functorial in the data  $(G, X, Y, Z)$ , and is the identity for  $q = 0$ .*

“Symmetric” means that  $\operatorname{wav}_G$  is equivariant for the action of the permutation group of  $\{0, \dots, q\}$  on the sequence  $\mathbf{f}$  and the scheme  $\Delta_{\mathbb{K}}^q$ . “Simplicial” says that as  $q$  varies

$$\operatorname{wav}_G : \operatorname{Hom}_X(Y, Z)^{q+1} \rightarrow \operatorname{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)$$

is a map of simplicial sets. “Functorial in the data  $(G, X, Y, Z)$ ” means that e.g. given a morphism  $h : Z \rightarrow Z'$  of  $G$ -torsors over  $X$ , one has

$$h \circ \operatorname{wav}_G(\mathbf{f}) = \operatorname{wav}_G(h \circ \mathbf{f}),$$

where  $h \circ \mathbf{f}$  is the sequence  $(h \circ f_0, \dots, h \circ f_q)$ . Theorem 0.1 is repeated, in full detail, as Theorem 1.11.

Observe that when we restrict the morphism  $\operatorname{wav}_G(\mathbf{f})$  to each of the vertices of  $\Delta_{\mathbb{K}}^q$  we recover the original morphisms  $f_0, \dots, f_q$ . This is due to the simplicial property of  $\operatorname{wav}_G$ . Thus  $\operatorname{wav}_G(\mathbf{f})$  interpolates between  $f_0, \dots, f_q$ . This is illustrated in Figure 1.

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Here is a naive corollary of Theorem 0.1, which can further explain the result. Let us write  $G(\mathbb{K})$  for the group of  $\mathbb{K}$ -rational points of  $G$ . By a *weight sequence* in  $\mathbb{K}$  we mean a sequence  $\mathbf{w} = (w_0, \dots, w_q)$  of elements of  $\mathbb{K}$  such that  $\sum w_i = 1$ .

**Corollary 0.2.** *Let  $G$  be a unipotent group over  $\mathbb{K}$ . Suppose  $Z$  is a set with  $G(\mathbb{K})$ -action which is transitive and has trivial stabilizers. Let  $\mathbf{z} = (z_0, \dots, z_q)$  be a sequence of points in  $Z$  and let  $\mathbf{w}$  be a weight sequence in  $\mathbb{K}$ . Then there is a point  $\text{wav}_{G, \mathbf{w}}(\mathbf{z}) \in Z$  called the weighted average. The operation  $\text{wav}_G$  is symmetric, functorial, simplicial, and is the identity for  $q = 0$ .*

The corollary is proved in Section 1. The idea is of course to take  $Y = X := \text{Spec } \mathbb{K}$  in the theorem, and to note that  $\mathbf{w}$  is a  $\mathbb{K}$ -rational point of  $\Delta_{\mathbb{K}}^q$ .

Another consequence of Theorem 0.1 is a new proof of the fact that a unipotent group in characteristic 0 is special. See Remark 1.12. (This observation is due to Reichstein.)

Theorem 0.1 was discovered in the course of research on deformation quantization in algebraic geometry [Ye], in which we tried to apply ideas of Kontsevich [Ko] to the algebraic context. Here is a brief outline. Suppose  $X$  is a smooth  $n$ -dimensional  $\mathbb{K}$ -scheme. The coordinate bundle of  $X$  [GK, Ko] is an infinite dimensional bundle  $Z \rightarrow X$  which parameterizes formal coordinate systems on  $X$ . The bundle  $Z$  is a torsor under an affine group scheme  $\text{GL}_{n, \mathbb{K}} \times G$ , where  $G$  is pro-unipotent. One is interested in sections of the quotient bundle  $\bar{Z} := Z/\text{GL}_{n, \mathbb{K}}$ . If we are in the differentiable setup (i.e.  $\mathbb{K} = \mathbb{R}$  and  $X$  is a  $C^\infty$  manifold) then the fibers of  $\bar{Z}$  are contractible (since they are isomorphic to  $G(\mathbb{R})$ ), and therefore global  $C^\infty$  sections exist. However in the setup of algebraic geometry such an argument is invalid, and we were forced to seek an alternative approach.

Our solution was to use *simplicial sections* of  $\bar{Z}$  (see Section 2, and especially Corollary 2.7). This approach was inspired by work of Bott [Bo] on simplicial connections (cf. also [HY]).

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## 1. THE AVERAGING PROCESS

Throughout the paper  $\mathbb{K}$  denotes a fixed base field of characteristic 0. All schemes and all morphisms are over  $\mathbb{K}$ .

We begin by recalling some standard facts about the combinatorics of simplicial objects. Let  $\Delta$  denote the category with objects the ordered sets  $[q] := \{0, 1, \dots, q\}$ ,  $q \in \mathbb{N}$ . The morphisms  $[p] \rightarrow [q]$  are the order preserving functions, and we write  $\Delta_p^q := \text{Hom}_\Delta([p], [q])$ . The  $i$ -th co-face map  $\partial^i : [p] \rightarrow [p+1]$  is the injective function that does not take the value  $i$ ; and the  $i$ -th co-degeneracy map  $s^i : [p] \rightarrow [p-1]$  is the surjective function that takes the value  $i$  twice. All morphisms in  $\Delta$  are compositions of various  $\partial^i$  and  $s^i$ .

An element of  $\Delta_p^q$  may be thought of as a sequence  $\mathbf{i} = (i_0, \dots, i_p)$  of integers with  $0 \leq i_0 \leq \dots \leq i_p \leq q$ . Given  $\mathbf{i} \in \Delta_p^m$ ,  $\mathbf{j} \in \Delta_m^p$  and  $\alpha \in \Delta_p^q$ , we sometimes write  $\alpha_*(\mathbf{i}) := \mathbf{i} \circ \alpha \in \Delta_p^m$  and  $\alpha^*(\mathbf{j}) := \alpha \circ \mathbf{j} \in \Delta_m^q$ .

Let  $\mathcal{C}$  be some category. A *cosimplicial object* in  $\mathcal{C}$  is a functor  $C : \Delta \rightarrow \mathcal{C}$ . We shall usually refer to the cosimplicial object as  $C = \{C^p\}_{p \in \mathbb{N}}$ , and for any  $\alpha \in \Delta_p^q$  the corresponding morphism in  $\mathcal{C}$  will be denoted by  $\alpha^* : C^p \rightarrow C^q$ . A *simplicial*

object in  $\mathbf{C}$  is a functor  $C : \Delta^{\text{op}} \rightarrow \mathbf{C}$ . The notation for a simplicial object will be  $C = \{C_p\}_{p \in \mathbb{N}}$  and  $\alpha_* : C_q \rightarrow C_p$ .

An important example is the cosimplicial scheme  $\{\Delta_{\mathbb{K}}^p\}_{p \in \mathbb{N}}$ . The morphisms are defined as follows. For any  $p$  we identify the ordered set  $[p]$  with the set of vertices of  $\Delta_{\mathbb{K}}^p$ . Given  $\alpha \in \Delta_{\mathbb{K}}^q$  the morphism  $\alpha^* : \Delta_{\mathbb{K}}^p \rightarrow \Delta_{\mathbb{K}}^q$  is then the unique linear morphism extending  $\alpha : [p] \rightarrow [q]$ .

Let  $G$  be a unipotent (affine finite type) algebraic group over  $\mathbb{K}$ , with (nilpotent) Lie algebra  $\mathfrak{g}$ . We write  $d(G)$  for the minimal number  $d$  such that there exists a chain of closed normal subgroups  $G = G_0 \supset G_1 \cdots \supset G_d = 1$  with  $G_k/G_{k+1}$  abelian for all  $k \in \{0, \dots, d-1\}$ . The exponential map  $\exp_G : \mathfrak{g} \rightarrow G$  is an isomorphism of schemes, with inverse  $\log_G$ ; see [Ho, Theorem VIII.1.1].

Given a  $\mathbb{K}$ -scheme  $X$  there is a Lie algebra  $\mathfrak{g} \times X$  (in the category of  $X$ -schemes), and a group-scheme  $G \times X$ . There is also an induced exponential map

$$\exp_{G \times X} := \exp_G \times \mathbf{1}_X : \mathfrak{g} \times X \rightarrow G \times X.$$

We will need the following result.

**Lemma 1.1.** *Let  $G, G'$  be two unipotent groups, with Lie algebras  $\mathfrak{g}, \mathfrak{g}'$ . Let  $X, X'$  be schemes, let  $X \rightarrow X'$  be a morphism of schemes, and let  $\phi : G \times X \rightarrow G' \times X'$  be a morphism of group-schemes over  $X'$ . Denote by  $d\phi : \mathfrak{g} \times X \rightarrow \mathfrak{g}' \times X'$  the induced Lie algebra morphism (the differential of  $\phi$ ). Then the diagram*

$$(1.2) \quad \begin{array}{ccc} \mathfrak{g} \times X & \xrightarrow{\exp_{G \times X}} & G \times X \\ d\phi \downarrow & & \downarrow \phi \\ \mathfrak{g}' \times X' & \xrightarrow{\exp_{G' \times X'}} & G' \times X' \end{array}$$

*commutes.*

*Proof.* For the case  $X = X' = \text{Spec } \mathbb{K}$  this is contained in the proof of [Ho, Theorem VIII.1.2].

In order to handle the general case we first recall the Campbell-Baker-Hausdorff formula:

$$\exp_G(\gamma_1) \cdot \exp_G(\gamma_2) = \exp_G(F(\gamma_1, \gamma_2)),$$

where

$$F(\gamma_1, \gamma_2) = \gamma_1 + \gamma_2 + \frac{1}{2}[\gamma_1, \gamma_2] + \cdots$$

is a universal power series (see [Ho, Section XVI.2]). Hence if we define  $\gamma_1 * \gamma_2 := F(\gamma_1, \gamma_2)$ , then  $(\mathfrak{g}, *)$  becomes an algebraic group (with unit element 0), and  $\exp_G : (\mathfrak{g}, *) \rightarrow (G, \cdot)$  is a group isomorphism. In this way we may eliminate  $G$  altogether, and just look at the scheme  $\mathfrak{g}$  with its two structures: a Lie algebra and a group-scheme. Note that now  $\mathfrak{g}$  is its own Lie algebra, as can be seen from the 2-nd order term in the series  $F(\gamma_1, \gamma_2)$ .

Consider a morphism  $\phi : \mathfrak{g} \times X \rightarrow \mathfrak{g}' \times X'$  of  $X'$ -schemes. Then  $\phi$  is a morphism of Lie algebras over  $X'$  iff it is a morphism of group-schemes (for the multiplications  $*$ ). And moreover  $d\phi = \phi$ . Therefore the diagram (1.2) is commutative.  $\square$

From now on we shall write  $\exp_G$  instead of  $\exp_{G \times X}$ , for the sake of brevity.

Consider the following setup:  $X$  is a  $\mathbb{K}$ -scheme, and  $Y, Z$  are two  $X$ -schemes. Suppose  $Z$  is a torsor under the group scheme  $G \times X$ . We denote the action of  $G$  on  $Z$  by  $(g, z) \mapsto g \cdot z$ .

Let  $f_0, \dots, f_q : \Delta_{\mathbb{K}}^q \times Y \rightarrow Z$  be  $X$ -morphisms. We are going to define a new sequence of  $X$ -morphisms  $f'_0, \dots, f'_q : \Delta_{\mathbb{K}}^q \times Y \rightarrow Z$ . Because  $Z$  is a torsor under  $G \times X$ , for any  $i, j \in \{0, \dots, q\}$  there exists a unique morphism  $g_{i,j} : \Delta_{\mathbb{K}}^q \times Y \rightarrow G$  such that  $f_j(w, y) = g_{i,j}(w, y) \cdot f_i(w, y)$  for all points  $w \in \Delta_{\mathbb{K}}^q$  and  $y \in Y$ . Here  $w$  and  $y$  are scheme-theoretic points, i.e.  $w \in \Delta_{\mathbb{K}}^q(U) = \text{Hom}_{\mathbb{K}}(U, \Delta_{\mathbb{K}}^q)$  and  $y \in Y(U) = \text{Hom}_{\mathbb{K}}(U, Y)$  for some  $\mathbb{K}$ -scheme  $U$ . Define

$$(1.3) \quad f'_i(w, y) := \exp_G \left( \sum_{j=0}^q t_j(w) \cdot \log_G(g_{i,j}(w, y)) \right) \cdot f_i(w, y).$$

In this formula we view  $t_j$  as a morphism  $t_j : \Delta_{\mathbb{K}}^q \rightarrow \mathbf{A}_{\mathbb{K}}^1$ , and we use the fact that  $\mathfrak{g}$  is a vector space (in the category of  $\mathbb{K}$ -schemes).

For any set  $S$  let us write  $S^{\Delta_0^q}$  for the set of functions  $\Delta_0^q \rightarrow S$ , which is the same as the set of sequences  $(s_0, \dots, s_q)$  in  $S$ . As usual  $\text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)$  is the set of  $X$ -morphisms  $\Delta_{\mathbb{K}}^q \times Y \rightarrow Z$ . In this notation the sequences  $(f_0, \dots, f_q)$  and  $(f'_0, \dots, f'_q)$  are elements of  $\text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$ . We denote by

$$\text{wsym}_G : \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q} \rightarrow \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$$

the operation  $(f_0, \dots, f_q) \mapsto (f'_0, \dots, f'_q)$  given by the formula (1.3).

We will also need a similar operation

$$w_G : \text{Hom}_X(Y, Z)^{\Delta_0^q} \rightarrow \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q},$$

defined by

$$w_G(f_0, \dots, f_q) := (f'_0, \dots, f'_q)$$

with

$$(1.4) \quad f'_i(w, y) := \exp_G \left( \sum_{j=0}^q t_j(w) \cdot \log_G(g_{i,j}(y)) \right) \cdot f_i(y).$$

It is clear that for  $q = 0$  both operations  $w_G$  and  $\text{wsym}_G$  act as the identity, i.e.  $w_G(f_0) = \text{wsym}_G(f_0) = f_0$  for all  $f_0 \in \text{Hom}_X(Y, Z)$ . Both operations  $w_G$  and  $\text{wsym}_G$  are symmetric, namely they are equivariant for the simultaneous action of the permutation group of  $\{0, \dots, q\}$  on  $\Delta_0^q$  and  $\Delta_{\mathbb{K}}^q$ . Also if  $\mathbf{f} = (f_0, \dots, f_q) \in \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$  is a constant sequence, i.e.  $f_0 = \dots = f_q$ , then  $\text{wsym}_G(\mathbf{f}) = \mathbf{f}$ .

**Lemma 1.5.** *Both operations  $w_G$  and  $\text{wsym}_G$  are simplicial. Namely, given  $\alpha \in \Delta_p^q$  the diagram*

$$\begin{array}{ccc} \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q} & \xrightarrow{\text{wsym}_G} & \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q} \\ \alpha_* \downarrow & & \alpha_* \downarrow \\ \text{Hom}_X(\Delta_{\mathbb{K}}^p \times Y, Z)^{\Delta_0^p} & \xrightarrow{\text{wsym}_G} & \text{Hom}_X(\Delta_{\mathbb{K}}^p \times Y, Z)^{\Delta_0^p} \end{array}$$

is commutative, and likewise for  $w_G$ .

*Proof.* It suffices to consider  $\alpha = \partial^i$  or  $\alpha = s^i$ . Since  $\text{wsym}_G$  is symmetric, we may assume that  $\alpha = \partial^q : [q-1] \rightarrow [q]$  or  $\alpha = s^q : [q+1] \rightarrow [q]$ . Fix a sequence  $\mathbf{f} = (f_0, \dots, f_q) \in \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$ . Let  $g_{i,j} \in \text{Hom}_{\mathbb{K}}(\Delta_{\mathbb{K}}^q \times Y, G)$  be such that  $f_j = g_{i,j} \cdot f_i$ , and let  $\mathbf{f}' = (f'_0, \dots, f'_q) := \text{wsym}_G(\mathbf{f})$ .

First let's look at the case  $\alpha = \partial^q$ . Take  $w \in \Delta_{\mathbb{K}}^{q-1}$  and  $y \in Y$ , and let  $v := \alpha^*(w) \in \Delta_{\mathbb{K}}^q$ . The coordinates of  $v$  are  $t_j(v) = t_j(w)$  for  $j \leq q-1$ , and

$t_q(v) = 0$ . Then for any  $i$  the  $i$ -th term in the sequence  $\alpha_*(\mathbf{f}')$ , evaluated at  $(w, y)$ , equals

$$\begin{aligned} f'_i(v, y) &= \exp_G \left( \sum_{j=0}^q t_j(v) \cdot \log_G(g_{i,j}(v, y)) \right) \cdot f_i(v, y) \\ (1.6) \quad &= \exp_G \left( \sum_{j=0}^{q-1} t_j(w) \cdot \log_G(g_{i,j}(v, y)) \right) \cdot f_i(v, y). \end{aligned}$$

On the other hand, the  $i$ -th term of the sequence  $\text{wsym}_G(\alpha_*(\mathbf{f}))$  is

$$\begin{aligned} &\exp_G \left( \sum_{j=0}^{q-1} t_j(w) \cdot \log_G(\alpha_*(g_{i,j})(w, y)) \right) \cdot \alpha_*(f_i)(w, y) \\ &= \exp_G \left( \sum_{j=0}^{q-1} t_j(w) \cdot \log_G(g_{i,j}(v, y)) \right) \cdot f_i(v, y). \end{aligned}$$

So indeed  $\alpha_* \circ \text{wsym}_G = \text{wsym}_G \circ \alpha_*$  in this case.

Next consider the case  $\alpha = s^q$ . Take  $w \in \Delta_{\mathbb{K}}^{q+1}$  and  $y \in Y$ , and let  $v := \alpha^*(w) \in \Delta_{\mathbb{K}}^q$ . The coordinates of  $v$  are  $t_j(v) = t_j(w)$  for  $j \leq q-1$ , and  $t_q(v) = t_q(w) + t_{q+1}(w)$ . For any  $i \leq q$  the  $i$ -th term in the sequence  $\alpha_*(\mathbf{f})$ , evaluated at  $(w, y)$ , is  $f'_i(v, y)$ , which was calculated in (1.6). The  $(q+1)$ -st term is also  $f'_q(v, y)$ . On the other hand, for any  $i \leq q$  the  $i$ -th term in the sequence  $\text{wsym}_G(\alpha_*(\mathbf{f}'))$ , evaluated at  $(w, y)$ , is

$$z_i := \exp_G \left( \sum_{j=0}^{q+1} t_j(w) \cdot \log_G(\alpha_*(g_{i,j})(w, y)) \right) \cdot \alpha_*(f_i)(w, y).$$

But  $t_q(w) + t_{q+1}(w) = t_q(v)$ ,  $\alpha_*(g_{i,j})(w, y) = g_{i,j}(v, y)$  for  $j \leq q$ , and  $\alpha_*(g_{i,q+1})(w, y) = g_{i,q}(v, y)$ . Therefore

$$z_i = \exp_G \left( \sum_{j=0}^q t_j(v) \cdot \log_G(g_{i,j}(v, y)) \right) \cdot f_i(v, y).$$

For  $i = q+1$  one has  $z_{q+1} = z_q$ . We conclude that  $\alpha_* \circ \text{wsym}_G = \text{wsym}_G \circ \alpha_*$  in this case too.

The proof for  $w_G$  is the same.  $\square$

**Lemma 1.7.** *Both operations  $w_G$  and  $\text{wsym}_G$  are functorial in the data  $(G, X, Y, Z)$ . Namely, given another such quadruple  $(G', X', Y', Z')$ , a morphism of schemes  $X \rightarrow X'$ , a morphism of schemes  $e : Y' \rightarrow Y$  over  $X'$ , a morphism of group-schemes  $\phi : G \times X \rightarrow G' \times X'$  over  $X'$ , and a  $G \times X$ -equivariant morphism of schemes  $f : Z \rightarrow Z'$  over  $X'$ , the diagram*

$$\begin{array}{ccc} \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q} & \xrightarrow{\text{wsym}_G} & \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q} \\ (e, f) \downarrow & & (e, f) \downarrow \\ \text{Hom}_{X'}(\Delta_{\mathbb{K}}^q \times Y', Z')^{\Delta_0^q} & \xrightarrow{\text{wsym}_{G'}} & \text{Hom}_{X'}(\Delta_{\mathbb{K}}^q \times Y', Z')^{\Delta_0^q} \end{array}$$

*is commutative, and likewise for  $w_G$ .*

*Proof.* This is due to the functoriality of the exponential map, see Lemma 1.1.  $\square$

**Lemma 1.8.** *Assume  $G$  is abelian. Then for any  $(f_0, \dots, f_q) \in \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$  the sequence  $\text{wsym}_G(f_0, \dots, f_q)$  is constant.*

*Proof.* In this case  $\exp : \mathfrak{g} \rightarrow G$  is an isomorphism of algebraic groups, where  $\mathfrak{g}$  is viewed as an additive group. So we may assume that  $Z$  is a torsor under  $\mathfrak{g} \times X$ . Let  $(f'_0, \dots, f'_q) := \text{wsym}_G(f_0, \dots, f_q)$ , and let  $\gamma_{i,j} : \Delta_{\mathbb{K}}^q \times Y \rightarrow \mathfrak{g}$  be morphisms such that  $f_j = \gamma_{i,j} + f_i$ . Take  $(w, y) \in \Delta_{\mathbb{K}}^q \times Y$ . Then

$$f'_i(w, y) = \left( \sum_{j=0}^q t_j(w) \cdot \gamma_{i,j}(w, y) \right) + f_i(w, y)$$

for any  $i$ . Because  $\gamma_{i,j} = -\gamma_{j,i} = \gamma_{0,j} - \gamma_{0,i}$ ,  $f_i = f_0 + \gamma_{0,i}$  and  $\sum_{j=0}^q t_j(w) = 1$ , it follows that  $f'_i(w, y) = f'_0(w, y)$ .  $\square$

Let's write  $\text{wsym}_G^d$  for the  $d$ -th iteration of the operation  $\text{wsym}_G$ .

**Lemma 1.9.** *For any  $\mathbf{f} = (f_0, \dots, f_q) \in \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)^{\Delta_0^q}$  the sequence  $\text{wsym}_G^{d(G)}(\mathbf{f})$  is constant. For any  $d \geq d(G)$  one has  $\text{wsym}_G^d(\mathbf{f}) = \text{wsym}_G^{d(G)}(\mathbf{f})$ .*

*Proof.* For any  $k$ , the orbit of  $f_0 \in \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)$  under the action of the group  $G_k(\Delta_{\mathbb{K}}^q \times Y)$  will be denoted by  $G_k(\Delta_{\mathbb{K}}^q \times Y) \cdot f_0$ . Let  $(f'_0, \dots, f'_q) := \text{wsym}_G(f_0, \dots, f_q)$ . We will prove that if  $f_1, \dots, f_q \in G_k(\Delta_{\mathbb{K}}^q \times Y) \cdot f_0$  then  $f'_1, \dots, f'_q \in G_{k+1}(\Delta_{\mathbb{K}}^q \times Y) \cdot f'_0$ . The assertions of the lemma will then follow.

Let  $\tilde{Y} = \tilde{X} := \Delta_{\mathbb{K}}^q \times Y$  and  $\tilde{Z} := \tilde{X} \times_X Z$ . So  $\tilde{Z}$  is a torsor under  $G \times \tilde{X}$ , and  $f_0$  induces a morphism  $\tilde{f}_0 \in \text{Hom}_{\tilde{X}}(\tilde{Y}, \tilde{Z})$ . The morphism  $\tau : G \times \tilde{X} \rightarrow \tilde{Z}$ ,  $(g, \tilde{x}) \mapsto g \cdot \tilde{f}_0(\tilde{x})$ , is an isomorphism of  $\tilde{X}$ -schemes. Define  $\tilde{W} := \tau(G_k \times \tilde{X}) \subset \tilde{Z}$ . Then  $\tilde{W}$  is the “geometric orbit” of  $\tilde{f}_0$  under  $G_k \times \tilde{X}$ ; and in particular  $\tilde{W}$  is a torsor under  $G_k \times \tilde{X}$ . By assumption  $\tilde{f}_1, \dots, \tilde{f}_q \in \text{Hom}_{\tilde{X}}(\tilde{Y}, \tilde{W})$ . Define  $(\tilde{f}'_0, \dots, \tilde{f}'_q) := \text{wsym}_{G_k}(\tilde{f}_0, \dots, \tilde{f}_q)$ . By Lemma 1.7 it suffices to prove that  $\tilde{f}'_1, \dots, \tilde{f}'_q \in G_{k+1}(\tilde{Y}) \cdot \tilde{f}'_0$ .

Define  $\bar{W} := \tilde{W}/G_{k+1}$ . This is a torsor under the group scheme  $(G_k/G_{k+1}) \times \tilde{X}$ . Let  $\bar{f}_0, \dots, \bar{f}_q \in \text{Hom}_{\tilde{X}}(\tilde{Y}, \bar{W})$  be the images of  $(\tilde{f}_0, \dots, \tilde{f}_q)$ . Because the group  $G_k/G_{k+1}$  is abelian, Lemma 1.8 says that  $\text{wsym}_{G_k/G_{k+1}}(\bar{f}_0, \dots, \bar{f}_q)$  is a constant sequence. Again using Lemma 1.7, we see that in fact  $\tilde{f}'_1, \dots, \tilde{f}'_q \in G_{k+1}(\tilde{Y}) \cdot \tilde{f}'_0$ .  $\square$

Given an  $X$ -scheme  $Y$  the collections  $\{\text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)\}_{q \in \mathbb{N}}$  and  $\{\text{Hom}_X(Y, Z)^{\Delta_0^q}\}_{q \in \mathbb{N}}$  are simplicial sets. For  $q = 0$  there are equalities

$$(1.10) \quad \text{Hom}_X(\Delta_{\mathbb{K}}^0 \times Y, Z) = \text{Hom}_X(Y, Z) = \text{Hom}_X(Y, Z)^{\Delta_0^0}.$$

**Theorem 1.11.** *Let  $G$  be a unipotent algebraic group over  $\mathbb{K}$ , let  $X$  be a  $\mathbb{K}$ -scheme, and let  $Z \rightarrow X$  be a  $G$ -torsor over  $X$ . For any  $X$ -scheme  $Y$  and natural number  $q$  there is a function*

$$\text{wav}_G : \text{Hom}_X(Y, Z)^{\Delta_0^q} \rightarrow \text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)$$

*called the weighted average. The function  $\text{wav}_G$  enjoys the following properties.*

- (1) *Symmetric:  $\text{wav}_G$  is equivariant for the action of the permutation group of  $\{0, \dots, q\}$  on  $\Delta_0^q$  and on  $\Delta_{\mathbb{K}}^q$ .*
- (2) *Simplicial:  $\text{wav}_G$  is a map of simplicial sets*

$$\{\text{Hom}_X(Y, Z)^{\Delta_0^q}\}_{q \in \mathbb{N}} \rightarrow \{\text{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)\}_{q \in \mathbb{N}}.$$

- (3) *Functorial:* given another such quadruple  $(G', X', Y', Z')$ , a morphism of schemes  $X \rightarrow X'$ , a morphism of  $X'$ -group-schemes  $G \times X \rightarrow G' \times X'$ , a  $G \times X$ -equivariant morphism of  $X'$ -schemes  $f : Z \rightarrow Z'$  and a morphism of  $X'$ -schemes  $e : Y' \rightarrow Y$ , the diagram

$$\begin{array}{ccc} \mathrm{Hom}_X(Y, Z)^{\Delta_0^q} & \xrightarrow{\mathrm{wav}_G} & \mathrm{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z) \\ (e, f) \downarrow & & (e, f) \downarrow \\ \mathrm{Hom}_{X'}(Y', Z')^{\Delta_0^q} & \xrightarrow{\mathrm{wsym}_{G'}} & \mathrm{Hom}_{X'}(\Delta_{\mathbb{K}}^q \times Y', Z') \end{array}$$

is commutative.

- (4) If  $q = 0$  then  $\mathrm{wav}_G$  is the identity map of  $\mathrm{Hom}_X(Y, Z)$ .

*Proof.* Given a sequence  $\mathbf{f} = (f_0, \dots, f_q) \in \mathrm{Hom}_X(Y, Z)^{\Delta_0^q}$  define  $\mathrm{wav}_G(\mathbf{f}) := f' \in \mathrm{Hom}_X(\Delta_{\mathbb{K}}^q \times Y, Z)$  to be the morphism such that

$$(\mathrm{wsym}_G^{d(G)} \circ w_G)(f_0, \dots, f_q) = (f', \dots, f');$$

see Lemma 1.9. Properties (1)-(4) follow from the corresponding properties of  $w_G$  and  $\mathrm{wsym}_G$ .  $\square$

*Proof of Corollary 0.2.* Take  $X = Y := \mathrm{Spec} \mathbb{K}$  in Theorem 1.11, and consider the  $G$ -torsor  $\underline{Z} := G$ . Choose any base point  $z \in Z$ ; this defines an isomorphism of left  $G(\mathbb{K})$ -sets  $\underline{Z}(\mathbb{K}) \cong Z$ . The weight sequence  $\mathbf{w}$  can be considered as a  $\mathbb{K}$ -rational point of  $\Delta_{\mathbb{K}}^q$ , and we define

$$\mathrm{wav}_{G, \mathbf{w}}(z) := \mathrm{wav}_G(z)(\mathbf{w}) \in Z.$$

If we were to choose another base point  $z' \in Z$  this would amount to applying an automorphism of the torsor  $\underline{Z}$ , namely right multiplication by some element of  $G(\mathbb{K})$ . Due to the functoriality of  $\mathrm{wav}_G$  the point  $\mathrm{wav}_{G, \mathbf{w}}(z)$  will be unchanged.

The properties of this set-theoretical averaging process are now immediate consequences of the corresponding properties of the geometric average.  $\square$

**Remark 1.12.** Z. Reichstein observed that our averaging process provides a new proof (in characteristic 0) of the fact that a unipotent group  $G$  is special, namely any  $G$ -torsor  $Z$  over  $\mathbb{K}$  has a  $\mathbb{K}$ -rational point. Let us explain the idea.

Let  $z_0 \in Z$  be some closed point. Choose a finite Galois extension  $L$  of  $\mathbb{K}$  containing the residue field  $\mathbf{k}(z_0)$ . Let  $\Gamma$  be the Galois group of  $L$  over  $\mathbb{K}$ , which acts on the set  $Z(L)$ . Let  $z_0, \dots, z_q \in Z(L)$  be the  $\Gamma$ -conjugates of  $z_0$ . The group  $\Gamma$  acts on the sequence  $\mathbf{z} := (z_0, \dots, z_q)$  by permutations. Thus the simultaneous action of  $\Gamma$  on

$$Z(L)^{\Delta_0^q} = \mathrm{Hom}_{\mathrm{Spec} \mathbb{K}}(\mathrm{Spec} L, Z)^{\Delta_0^q}$$

fixes  $\mathbf{z}$ .

We know that the operator  $\mathrm{wav}_G$  is symmetric. And functoriality says that the action of the Galois group on  $\mathrm{Spec} L$  is also respected. Since  $\mathbf{z}$  is fixed by the simultaneous action of  $\Gamma$ , so is  $\mathrm{wav}_G(\mathbf{z})$ . Take the uniform weight sequence  $\mathbf{w} := (\frac{1}{q+1}, \dots, \frac{1}{q+1})$  and define  $z' := \mathrm{wav}_G(\mathbf{z})(\mathbf{w}) \in Z(L)$ . Because  $\mathbf{w}$  is fixed by the permutation group we conclude that  $z'$  is  $\Gamma$ -invariant, and hence  $z' \in Z(\mathbb{K})$ .

**Remark 1.13.** Theorem 1.11 has a rather obvious parallel in differential geometry. Indeed, a simply connected nilpotent Lie group is the same as the group  $G(\mathbb{R})$  of rational points of a unipotent algebraic group  $G$  over  $\mathbb{R}$ .

## 2. SIMPLICIAL SECTIONS

In this section we show how the averaging process is used to obtain simplicial sections of certain bundles.

Suppose  $H$  and  $G$  are affine group schemes over  $\mathbb{K}$ , and  $H$  acts on  $G$  by automorphisms. Namely there is a morphism of schemes  $H \times G \rightarrow G$  which for every  $\mathbb{K}$ -scheme  $Y$  induces a group homomorphism  $H(Y) \rightarrow \text{Aut}_{\text{Groups}}(G(Y))$ . Then  $H \times G$  has a structure of a group scheme, and we denote this group by  $H \ltimes G$ ; it is a geometric semi-direct product.

Recall that an affine group scheme  $G$  is called *pro-unipotent* if it is isomorphic to an inverse limit  $\lim_{\leftarrow i} G_i$  of an inverse system  $\{G_i\}_{i \geq 0}$  of (finite type affine) unipotent groups. One may assume that each of the morphisms  $G \rightarrow G_i \rightarrow G_{i-1}$  is surjective. Thus  $G_i \cong G/N_i$  where  $N_i$  is a normal closed subgroup of  $G$ .

We will be concerned with the following geometric situation.

**Scenario 2.1.** Let  $H \ltimes G$  be an affine group scheme over  $\mathbb{K}$ . Assume  $G$  is pro-unipotent, and moreover there exists a sequence  $\{N_i\}_{i \geq 0}$  of  $H$ -invariant closed normal subgroups of  $G$  such that  $G \cong \lim_{\leftarrow i} G/N_i$  and each  $G/N_i$  is unipotent. Let  $\pi : Z \rightarrow X$  be an  $H \ltimes G$ -torsor over  $X$  which is locally trivial for the Zariski topology of  $X$ . Define  $\bar{Z} := Z/H$  and let  $\bar{\pi} : \bar{Z} \rightarrow X$  be the projection.

**Theorem 2.2.** *Assume Scenario 2.1. Suppose  $U \subset X$  is an open set and  $\sigma_0, \dots, \sigma_q : U \rightarrow \bar{Z}$  are sections of  $\bar{\pi}$ . Then there exists a morphism*

$$\sigma : \Delta_{\mathbb{K}}^q \times U \rightarrow \bar{Z}$$

such that the diagram

$$\begin{array}{ccc} \Delta_{\mathbb{K}}^q \times U & \xrightarrow{\sigma} & \bar{Z} \\ \text{p}_2 \downarrow & & \downarrow \bar{\pi} \\ U & \longrightarrow & X \end{array}$$

is commutative. The morphism  $\sigma$  depends functorially on  $U$  and simplicially on the sequence  $(\sigma_0, \dots, \sigma_q)$ . If  $q = 0$  then  $\sigma = \sigma_0$ .

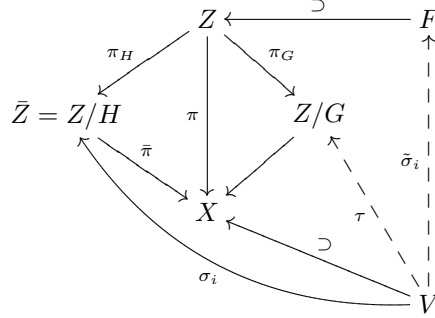
*Proof.* We might as well assume that  $U = X$ . Consider the quotient  $Z/G$ . Since  $G$  is normal in  $H \ltimes G$  it follows that  $Z/G$  is a torsor under  $H \times X$ . Let's write  $\pi_H : Z \rightarrow \bar{Z} = Z/H$  and  $\pi_G : Z \rightarrow Z/G$  for the projections.

Pick an open set  $V \subset X$  which trivializes  $\pi : Z \rightarrow X$ . Let's write  $Z|_V := \pi^{-1}(V)$ . Because  $\pi_H|_V : Z|_V \rightarrow \bar{Z}|_V$  is a trivial torsor under  $H \times \bar{Z}|_V$ , we can lift the sections  $\sigma_0, \dots, \sigma_q$  to sections  $\tilde{\sigma}_0, \dots, \tilde{\sigma}_q : V \rightarrow Z$  such that  $\pi_H \circ \tilde{\sigma}_j = \sigma_j$ . Furthermore, since  $\pi_G : Z \rightarrow Z/G$  is  $H$ -equivariant and  $Z/G$  is a torsor under  $H \times X$ , it follows that we can choose  $\tilde{\sigma}_0, \dots, \tilde{\sigma}_q$  such that  $\pi_G \circ \tilde{\sigma}_j = \tau$  for some section  $\tau : V \rightarrow Z/G$ .

Let  $F \subset Z|_V$  be the fiber over  $\tau$ , i.e.  $F := V \times_{Z/G} Z$  via the morphisms  $\pi_G : Z \rightarrow Z/G$  and  $\tau : V \rightarrow Z/G$ . Then  $F$  is a torsor under  $G \times V$ , and  $\tilde{\sigma}_0, \dots, \tilde{\sigma}_q \in$



$\text{Hom}_X(V, F)$ . See diagram below.



For any  $i$  define  $F_i := F/N_i$ , which is a torsor under  $(G/N_i) \times V$ . Let  $\alpha_i : F \rightarrow F_i$  be the projection, so  $\alpha_i \circ \tilde{\sigma}_j \in \text{Hom}_X(V, F_i)$ . By Theorem 1.11 we get an average

$$(2.3) \quad \rho_i := \text{wav}_{G/N_i}(\alpha_i \circ \tilde{\sigma}_0, \dots, \alpha_i \circ \tilde{\sigma}_q) : \Delta_{\mathbb{K}}^q \times V \rightarrow F_i.$$

The functoriality of  $\text{wav}$  says that the  $\rho_i$  form an inverse system, and we let

$$(2.4) \quad \rho := \lim_{\leftarrow i} \rho_i : \Delta_{\mathbb{K}}^q \times V \rightarrow F$$

and

$$(2.5) \quad \sigma := \pi_H \circ \rho : \Delta_{\mathbb{K}}^q \times V \rightarrow \bar{Z}.$$

We claim that the morphism  $\sigma$  does not depend on the choice of the section  $\tau : V \rightarrow Z/G$ . Suppose  $\tau' : V \rightarrow Z/G$  is another such section. Let  $F'$  be the fiber over  $\tau'$ , and let  $\rho' : \Delta_{\mathbb{K}}^q \times V \rightarrow F'$  be the corresponding morphism as in (2.4). Now  $\tau' = h \cdot \tau$  for some morphism  $h : V \rightarrow H$ . Then  $F' = h \cdot F$ , and  $h : F \rightarrow F'$  is a  $G \times V$ -equivariant morphism of torsors, with respect to the group-scheme automorphism  $\text{Ad}(h) : G \times V \rightarrow G \times V$ . The new lift of  $\sigma_j$  is  $\tilde{\sigma}'_j := h \cdot \tilde{\sigma}_j : V \rightarrow F'$ . Define  $F'_i := F'/N_i$ , and let  $\rho'_i : \Delta_{\mathbb{K}}^q \times V \rightarrow F'_i$  be the morphism as in (2.3). Since  $N_i \times V = \text{Ad}(h)(N_i \times V)$ , we get a group-scheme automorphism  $\text{Ad}(h) : (G/N_i) \times V \rightarrow (G/N_i) \times V$ , and a  $(G/N_i) \times V$ -equivariant morphism of torsors  $h : F_i \rightarrow h \cdot F'_i$ . By functoriality of  $\text{wav}$  (property 3 in Theorem 1.11) it follows that  $\rho'_i = h \cdot \rho_i$ . Therefore  $\rho' = h \cdot \rho$ , and  $\pi_H \circ \rho' = \pi_H \circ \rho = \sigma$ .

Property 2 in Theorem 1.11 implies that  $\sigma$  depends simplicially on  $(\sigma_0, \dots, \sigma_q)$ .

Finally take an open covering  $X = \bigcup V_j$  such that each  $V_j$  trivializes  $\pi : Z \rightarrow X$ , and let  $\sigma_j : \Delta_{\mathbb{K}}^q \times V_j \rightarrow \bar{Z}|_{V_j}$  be the morphism constructed in (2.5). Since no choices were made we have  $\sigma_j|_{V_j \cap V_k} = \sigma_k|_{V_j \cap V_k}$  for any two indices. Therefore these sections can be glued to a morphism  $\sigma : \Delta_{\mathbb{K}}^q \times X \rightarrow \bar{Z}$ . The functorial and simplicial properties of  $\sigma$  are clear from its construction.  $\square$

Let  $X$  be a  $\mathbb{K}$ -scheme, and let  $X = \bigcup_{i=0}^m U_{(i)}$  be an open covering, with inclusions  $g_{(i)} : U_{(i)} \rightarrow X$ . We denote this covering by  $\mathbf{U}$ . For any multi-index  $\mathbf{i} = (i_0, \dots, i_q) \in \Delta_q^m$  we write  $U_{\mathbf{i}} := \bigcap_{j=0}^q U_{(i_j)}$ , and we define the scheme  $U_q := \coprod_{\mathbf{i} \in \Delta_q^m} U_{\mathbf{i}}$ . Given  $\alpha \in \Delta_p^q$  and  $\mathbf{i} \in \Delta_q^m$  there is an inclusion of open sets  $\alpha_* : U_{\mathbf{i}} \rightarrow U_{\alpha_*(\mathbf{i})}$ . These patch to a morphism of schemes  $\alpha_* : U_q \rightarrow U_p$ , making  $\{U_q\}_{q \in \mathbb{N}}$  into a simplicial scheme. The inclusions  $g_{(i)} : U_{(i)} \rightarrow X$  induce inclusions  $g_{\mathbf{i}} : U_{\mathbf{i}} \rightarrow X$  and morphisms  $g_q : U_q \rightarrow X$ ; and one has the relations  $g_p \circ \alpha_* = g_q$  for any  $\alpha \in \Delta_p^q$ .

**Definition 2.6.** Let  $\pi : Z \rightarrow X$  be a morphism of  $\mathbb{K}$ -schemes. A *simplicial section* of  $\pi$  based on the covering  $\mathcal{U}$  is a sequence of morphisms

$$\sigma = \{\sigma_q : \Delta_{\mathbb{K}}^q \times U_q \rightarrow Z\}_{q \in \mathbb{N}}$$

satisfying the following conditions.

(i) For any  $q$  the diagram

$$\begin{array}{ccc} \Delta_{\mathbb{K}}^q \times U_q & \xrightarrow{\sigma_q} & Z \\ p_2 \downarrow & & \downarrow \pi \\ U_q & \xrightarrow{g_q} & X \end{array}$$

is commutative.

(ii) For any  $\alpha \in \Delta_p^q$  the diagram

$$\begin{array}{ccccc} & & \Delta_{\mathbb{K}}^p \times U_p & & \\ & \nearrow 1 \times \alpha^* & & \searrow \sigma_p & \\ \Delta_{\mathbb{K}}^p \times U_q & & & & Z \\ & \searrow \alpha_* \times 1 & & \nearrow \sigma_q & \\ & & \Delta_{\mathbb{K}}^q \times U_q & & \end{array}$$

is commutative.

**Corollary 2.7.** Assume Scenario 2.1. Let  $\mathcal{U} = \{U_{(i)}\}_{i=0}^m$  be an open covering of  $X$ . Suppose that for any  $i \in \{0, \dots, m\}$  we are given some section  $\sigma_{(i)} : U_{(i)} \rightarrow \bar{Z}$  of  $\bar{\pi}$ . Then there exists a simplicial section

$$\sigma = \{\sigma_q : \Delta_{\mathbb{K}}^q \times U_q \rightarrow \bar{Z}\}_{q \in \mathbb{N}}$$

based on  $\mathcal{U}$ , such that  $\sigma_0|_{U_{(i)}} = \sigma_{(i)}$  for all  $i \in \{0, \dots, m\}$ .

*Proof.* For any multi-index  $\mathbf{i} = (i_0, \dots, i_q)$  we have sections  $\sigma_{(i_0)}, \dots, \sigma_{(i_q)} : U_{\mathbf{i}} \rightarrow \bar{Z}$ . Let  $\sigma_{\mathbf{i}} : \Delta_{\mathbb{K}}^q \times U_{\mathbf{i}} \rightarrow \bar{Z}$  be the morphism provided by Theorem 2.2. For fixed  $q$  these patch to a morphism  $\sigma_q : \Delta_{\mathbb{K}}^q \times U_q \rightarrow \bar{Z}$ . The functorial and simplicial properties in Theorem 2.2 imply that this is a simplicial section.  $\square$

This result (with  $H$  trivial) is illustrated in Figure 1.

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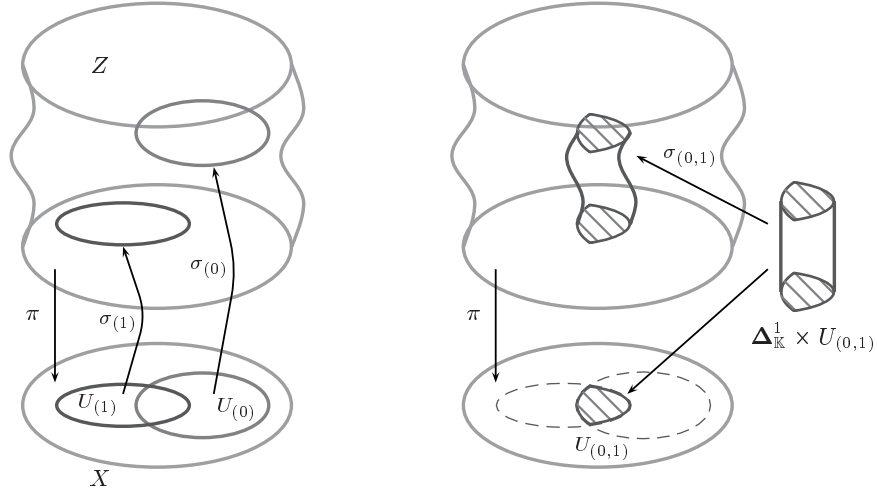


FIGURE 1. Simplicial sections,  $q = 1$ . We start with sections over two open sets  $U_{(0)}$  and  $U_{(1)}$  in the left diagram; and we pass to a simplicial section  $\sigma_{(0,1)}$  on the right. As can be seen,  $\sigma_{(0,1)}$  interpolates between  $\sigma_{(0)}$  and  $\sigma_{(1)}$ .

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