

PERIODS AND GAUSS-MANIN CONNECTION FOR THE  
MUMFORD CURVE  $y_2^{r_2} y_1^{r_1} - y_2^{r_2} - y_1^{r_1} + \lambda = 0$

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A method is introduced which allows to obtain explicit formulas for the periods  $q(\lambda)$  of a family  $(C_\lambda)$  of smooth curves. It gives expressions for  $q(\lambda)$  in the vicinity of a point  $\lambda_0$  for which the curve  $C_{\lambda_0}$  is totally degenerate provided one knows Picard-Fuchs equations for differentials of the family  $(C_\lambda)$ .

Techniques from rigid analytic geometry are used, see [T]. We work with the notion of periods for  $p$ -adic Schottky groups as defined by Manin-Drinfeld, [MD]. The result can certainly be applied to the usual complex periods. In this approach it is basic that one has a canonical basis for the De Rham cohomology classes.

In this manuscript only one example is treated. The curves  $C_\lambda^T$  given by the equation in the title are prestable and totally degenerate for  $\lambda = 1$ . The  $p$ -adic Schottky uniformization is constructed in section 2. In section 3 a crucial formula for the Gauss-Manin connection is explained. The main application is the expression for the periods in proposition 4 of section 4. For elliptic curves the result is classical, see [F]. It is planned to give a more complete account of this method in a joint paper with F. Herrlich. The relation to the work of B. Dwork, [D], shall be included.

### 1. The curve $C_\lambda^T$

Let  $K$  be a field of characteristic 0 and  $r = (r_1, r_2)$  a pair of integers  $\geq 2$ . Assume that there is a primitive root of unity  $\rho_i$  of

order  $r_i$  in  $K$ .

Let  $(y_1, y_2)$  be a system of inhomogeneous coordinates for  $\mathbb{P} \times \mathbb{P}$ , where  $\mathbb{P}$  is the projective line over  $K$  and let  $\lambda$  be a parameter in  $K$ .

The equation

$$y_2^{r_2} y_1^{r_1} - y_2^{r_2} - y_1^{r_1} + \lambda = 0$$

defines a projective curve  $C_\lambda^r$  in  $\mathbb{P} \times \mathbb{P}$ .

If  $u_i, v_i$  are homogeneous variables for  $\mathbb{P}$  with  $y_i = \frac{u_i}{v_i}$ , then  $C_\lambda^r$  is the set of zeroes of the bihomogeneous equation

$$u_2^{r_2} u_1^{r_1} - u_2^{r_2} v_1^{r_1} - v_2^{r_2} u_1^{r_1} + \lambda v_2^{r_2} v_1^{r_1} = 0$$

The curve  $C_\lambda^r$  is non-singular if and only if  $\lambda(\lambda-1) \neq 0$ . The curve  $C_\lambda^r$  is a union of  $r_1 \cdot r_2$  projective lines and prestable.

Let  $\sigma_1$  (resp.  $\sigma_2$ ) be the automorphism on  $\mathbb{P} \times \mathbb{P}$  for which

$$y_1 \circ \sigma_1 = \rho_1 \cdot y_1, \quad y_2 \circ \sigma_1 = y_2.$$

(resp.  $y_1 \circ \sigma_2 = y_1, \quad y_2 \circ \sigma_2 = \rho_2 \cdot y_2$ ).

The restriction  $\sigma_1|_{C_\lambda^r}$  of  $\sigma_1$  onto  $C_\lambda^r$  is an automorphism of  $C_\lambda^r$  and  $\sigma_1 \circ \sigma_2 = \sigma_2 \circ \sigma_1$ . Let  $G$  denote the group generated by  $\sigma_1|_{C_\lambda^r}$  and  $\sigma_2|_{C_\lambda^r}$ . It is canonically isomorphic to  $\mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$ .

The field of  $K$ -rational functions of  $C_\lambda^r$  is generated by  $y_1|_{C_\lambda^r}$  and  $y_2|_{C_\lambda^r}$  if  $\lambda \neq 0, \lambda \neq 1$ . We will write in the sequel  $y_i$  instead of  $y_i|_{C_\lambda^r}$  and define  $x_i$  to be  $y_i^{r_i}$ . Then

$$\frac{dx_1}{x_1-1} = -\frac{dx_2}{x_2-1}$$

because the rational functions  $x_1, x_2$  satisfy the relation

$$x_2 x_1 - x_2 - x_1 + \lambda = 0$$

and thus

$$x_2 dx_1 + x_1 dx_2 - dx_2 - dx_1 = 0$$

$$(x_1-1)dx_2 + (x_2-1)dx_1 = 0.$$

Let  $I := \{i = (i_1, i_2) \in \mathbb{Z}^2 : 1 \leq i_1 < r_1, 1 \leq i_2 < r_2\}$ .

For  $i = (i_1, i_2) \in I$  we define

$$\omega_i = \frac{dx_1}{y_1^{i_1} y_2^{i_2} (x_1-1)} = -\frac{dx_2}{y_1^{i_1} y_2^{i_2} (x_2-1)}$$

$$\omega_i^1 = \frac{dx_1}{y_1^{i_1} y_2^{i_2}}$$

Then the De Rham cohomology vectorspace  $H_{DR}^1(C_\lambda^r)$  admits a direct decomposition

$$\bigoplus_{i \in I} \langle \omega_i, \omega_i^1 \rangle$$

where  $\langle \omega_i, \omega_i^1 \rangle$  denotes the  $K$ -vectorspace of differentials generated by  $\omega_i$  and  $\omega_i^1$ . In fact  $\langle \omega_i, \omega_i^1 \rangle$  is the eigenspace of the canonical action of  $G$  on  $H_{DR}^1(C_\lambda^r)$  with respect to the character  $\chi : G \rightarrow K^*$  for which  $\chi(\sigma_1) = \rho_1^{-i_1}, \chi(\sigma_2) = \rho_2^{-i_2}$ .

As  $\dim H_{DR}^1(C_\lambda^r) = 2(r_1-1)(r_2-1)$  the genus of  $C_\lambda^r$  is  $(r_1-1)(r_2-1)$ .

## 2. $p$ -adic uniformization

Let now  $K$  be complete with respect to non-archimedean valuation  $|\cdot|$  and assume that  $|\lambda-1| < 1$  and that  $r_1 \cdot r_2$  is prime to the characteristic of the residue field. I want to show that  $C_\lambda^r$  is a Mumford curve. This will be achieved by constructing the non-archimedean or  $p$ -adic Schottky uniformization for  $C_\lambda^r$ .

Let  $z$  be a coordinate for  $\mathbb{P}$ , and  $s \in K, |s-1| < 1, s \neq 1$  and let

$$\sigma_1(z) = \rho_1 \cdot z$$

$$\sigma_2(z) = \frac{(s-\rho_2)z + (\rho_2-1)s}{(1-\rho_2)z + (\rho_2 s-1)}.$$

Then  $\sigma_1, \sigma_2$  are elliptic fractional linear transformation of  $\mathbb{P}$  and  $\sigma_2$  has the multiplier  $\rho_2$  and the fixed points 1 and  $s$ . One can show that the group  $\langle \sigma_1, \sigma_2 \rangle$  is discontinuous in the sense of [GP], Chap. I, §1, and that the commutator subgroup  $\Gamma$  of  $\langle \sigma_1, \sigma_2 \rangle$  is a free group freely generated by  $\{\gamma_i := \sigma_1^{i_1} \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{-i_2} : i \in I\}$ , see [GH].

Let  $z_1 := z, z_2 := \frac{z-s}{z-1}$ . Then

$$z_1 = \frac{z_2 - s}{z_2 - 1}.$$

Let  $\Gamma_i$  the group generated by  $\Gamma \cup \{\sigma_i\}$   $i = 1, 2$ .

Define

$$y_1 := \prod_{\gamma \in \Gamma_2} \frac{z_1 \circ \gamma}{(z_1 \circ \gamma)(1)}$$

$$y_2 := \prod_{\gamma \in \Gamma_1} \frac{z_2 \circ \gamma}{(z_2 \circ \gamma)(\infty)}.$$

Both products converge on the domain  $Z$  of ordinary points for  $\Gamma$ . They are both meromorphic on  $Z$  and are  $\Gamma$ -automorphic forms on  $Z$  with constant factors of automorphy, see [GP], Chap. II, §2.

A direct computation gives

$$y_1 \circ \sigma_1 = \rho_1 \cdot y_1$$

$$y_2 \circ \sigma_2 = \rho_2 \cdot y_2.$$

One can conclude that  $y_1^{r_1}, y_2^{r_2}$  are  $\langle \sigma_1, \sigma_2 \rangle$ -automorphic and that  $y_1, y_2$  are  $\Gamma$ -invariant, see [GP], Chap. III, §1, for the notions.

Let  $\lambda := y_1^{r_1}(s)$

**Proposition 1:** The mapping  $z \mapsto (y_1(z), y_2(z))$

gives a bianalytic mapping between the Mumford curve  $Z/\Gamma$  and the curve  $C_\lambda^r$ .

Proof: see [GH].

Remark: The mapping

$$s \mapsto \lambda(s)$$

is a bianalytic mapping between  $\{s \in K : |1-s| < 1\}$  and

$\{\lambda \in K : |1-\lambda| < 1\}$  with  $\lambda(1) = 1$ . Moreover  $\lambda(s^{-1}) = \lambda(s)^{-1}$ .

### 3. Gauss-Manin connection

There are canonical analytic  $\Gamma$ -automorphic forms with constant factors of automorphy such that  $\alpha_i := \frac{du_i}{u_i}$  are analytic differentials on  $C_\lambda^r$  and such that  $\{\alpha_i : i \in I\}$  is a basis of the  $K$ -vectorspace of analytic differentials on  $C_\lambda^r$ , see [GP], Chap. II, §4.

Let  $q_{ij} := \frac{u_i \circ \gamma_j}{u_i} \in K^*$ . The matrix  $q := (q_{ij})$  is the period matrix of  $\Gamma$  with respect to the basis  $\{\gamma_i : i \in I\}$ , see [MD], §2. Also there are meromorphic functions  $\zeta_i$  on  $Z$  such that  $\zeta_i - \zeta_i \circ \gamma_j = \begin{cases} 1 & : i = j \\ 0 & : i \neq j \end{cases}$ , see [G2], p. 387, and [G1], section 3.

The differentials  $\beta_i := d\zeta_i$  are of the second kind and  $\{\alpha_i : i \in I\} \cup \{\beta_i : i \in I\}$  is a basis of  $H_{DR}^1(C_\lambda^r)$ .

We consider now  $C^r$  as a family of curves by letting  $\lambda$  vary through  $\{\lambda \in K : |\lambda - 1| < 1\}$ . The Gauss-Manin connection  $\nabla$  of  $C^r$  is a connection

$$\nabla : H_{DR}^1 \rightarrow H_{DR}^1 \otimes \Omega$$

where  $H_{DR}^1$  is the sheaf of De Rham cohomology classes of  $C^r$  as family of curves over  $S = \{s \in K : |s-1| < 1\}$  and  $\Omega$  is the sheaf of analytic differentials on  $S$ .

The main result of [G1] is a proof of

$$\text{Proposition 2: } \nabla(\alpha_i) = \sum_{j \in I} \beta_j \otimes \frac{dq_{ij}}{q_{ij}}$$

$$\nabla(\beta_i) = 0.$$

We want to apply this formula to the differential of the first kind

$$\omega_i = \frac{dx_1}{y_1^{i_1} y_2^{i_2} (x_1 - 1)}.$$

Proposition 3:

$$\omega_i = F_i(\lambda) \cdot \sum_{j \in I} \rho_1^{i_1 j_1} \rho_2^{i_2 j_2} \alpha_j$$

$$\text{with } F_i(\lambda) = \sum_{n=0}^{\infty} \frac{\left(\frac{i_1}{r_1}\right)_n \cdot \left(\frac{i_2}{r_2}\right)_n}{(n!)^2} (1-\lambda)^n \quad \text{and } (a)_n := \prod_{i=0}^{n-1} (a+i).$$

Proof: The method of proof consist in the following: It is well known that the cohomology class  $\omega$  of  $\frac{dx}{y}$ ,  $y := x^a(x-1)^b(x-\lambda)^c$ , satisfies the hypergeometric differential equation also known as Picard-Fuchs equation for  $\omega$ :

$$\lambda(1-\lambda)\nabla_\lambda^2(\omega) + [a+c-(a+b+2c)\lambda]\nabla_\lambda(\omega) - (a+b+c-1)\omega = 0$$

see for instance [M], p. 378 or [D], Chap. I, p. 8.

$$\text{In our case } a = \frac{-i_1}{r_1}, b = -1 + \frac{i_2}{r_2}, c = \frac{i_2}{r_2}.$$

A straightforward computation shows that the above  $F_i$  is up to a constant the only power series solution of the above differential equation.

But  $\omega_i$  being a differential of the first kind admits a representation

$$\omega_i = \sum_{j \in I} G_{ij} \alpha_j$$

with  $G_{ij}$  analytic in  $S$ .

Thus  $\nabla_\lambda(\omega_i) \equiv \sum_{j \in I} \dot{G}_{ij} \alpha_j \pmod{H'}$  when  $H'$  is the subspace generated by  $\{\beta_i : i \in I\}$ . Thus each  $\dot{G}_{ij} = c_j \cdot F_i$  with  $c_j \in K$ , where the dot over  $G_{ij}$  means the derivative with respect to  $\lambda$ . By considering the limit case for  $s \rightarrow 1$  one obtains the above constants. For the details see [GH].

R. Coleman (Berkeley) has informed me that he has a completely different approach to this result.

#### 4. Application to periods

The formulas for the Gauss-Manin connection and the Picard-Fuchs equation allow to derive an explicit expression for the logarithmic derivative of  $q_{ij}$  with respect to the variable  $\lambda$  in the domain

$$\{|\lambda-1| < 1\}.$$

Proposition 4:

$$\frac{\dot{q}_{ij}}{q_{ij}} = \sum_{k \in I} c_{ik} \cdot E_{kj}$$

$$\text{with } c_{ik} = \frac{(\rho_1^{-i_1 k_1} - 1)(\rho_2^{-i_2 k_2} - 1)}{r_1 \cdot r_2}$$

$$E_{kj} = \frac{A_{kj}}{(1-\lambda)\lambda \left(\frac{k_1}{r_1} + \frac{k_2}{r_2}\right) \cdot F_k^2}$$

$$A_{kj} = 1 - \rho_1^{k_1 j_1} - \rho_2^{k_2 j_2} + \rho^{k_j} = c_{-k,j} \cdot r_1 \cdot r_2$$

$$\lambda^a = (1 - (1-\lambda))^a := \sum_{n=0}^{\infty} \binom{a}{n} (1-\lambda)^n \cdot (-1)^n$$

$$F_k = \sum_{n=0}^{\infty} \frac{\left(\frac{k_1}{r_1}\right)_n \cdot \left(\frac{k_2}{r_2}\right)_n}{(n!)^2} (1-\lambda)^n$$

which is the hypergeometric function  ${}_2F_1\left(\frac{k_1}{r_1}, \frac{k_2}{r_2}; 1; 1-\lambda\right)$ , see [MOS], Chap. II, (2.1).

Remark: In the special case  $r_1 = r_2 = 2$  the index set  $I$  consists of  $(1,1)$  only and with  $q := q_{11}$  one gets

$$\frac{\dot{q}}{q} = \frac{4}{(1-\lambda)\lambda \cdot {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1; 1-\lambda\right)}$$

which is equivalent to a classical formula, see [F]. Be aware that  $\lambda$  is not the Legendre parameter as our equation is  $y_2^2 y_1^2 - y_2^2 - y_1^2 + \lambda = 0$ .

We sketch now a proof of proposition 4.

1) Let  $\omega_i^* := \frac{\omega_i}{F_i} = \sum_{j \in I} \rho^{ij} \alpha_j$ . Then

$$\nabla_\lambda(\omega_i^*) = \sum_{k \in I} E_{ik} \cdot \beta_k$$

with  $E_{ik} := \sum_{j \in I} \rho^{ij} \frac{\dot{q}_{ijk}}{q_{jk}}$

Let  $L_i$  denote the operator

$$L_i = \lambda(1-\lambda)\nabla_\lambda^2 - \left\{1 - \left(\frac{i_1}{r_1} + \frac{i_2}{r_2} + 1\right)(1-\lambda)\right\}\nabla_\lambda - \frac{i_1 i_2}{r_1 r_2}.$$

It is known that

$$L_i(\omega_i) = 0.$$

Now

$$\nabla_\lambda(F_i \omega_i^*) = F_i \nabla_\lambda(\omega_i^*) + \dot{F}_i \omega_i^*$$

$$\nabla_\lambda^2(F_i \omega_i^*) = F_i \nabla_\lambda^2(\omega_i^*) + 2\dot{F}_i \nabla_\lambda(\omega_i^*) + \ddot{F}_i \omega_i^*$$

$$\text{and } \nabla_\lambda^2(\omega_i^*) = \sum_{k \in I} \dot{E}_{ik} \beta_k$$

Substituting into the equation  $L_i(\omega_i) = 0$  and looking for the coefficient at  $\beta_k$  which must be zero gives

$$\frac{\dot{E}_{ik}}{E_{ik}} = -2 \frac{\dot{F}_i}{F_i} + \frac{1}{1-\lambda} - \frac{\left(\frac{i_1}{r_1} + \frac{i_2}{r_2}\right)}{\lambda}.$$

Solving this differential equation gives

$$E_{ik} = \frac{A_{ik}}{(1-\lambda) \lambda \left(\frac{i_1}{r_1} + \frac{i_2}{r_2}\right) F_i^2}$$

with a constant  $A_{ik} \in K$ .  $E_{ik}$  is considered as a Laurent series in  $(1-\lambda)$ ; its residue at 1 is just  $A_{ik}$ .

In a joint work with F. Herrlich we determined the constants  $A_{ik}$ .

A careful study of the action of  $\Gamma$  on the Bruhat-Tits tree of  $\mathbb{P}$  gives the result that the vanishing order  $\text{ord } q_{ji}$  of  $q_{ji}$  at the

point  $s = \lambda = 1$  is as follows:

$$\text{ord } q_{ik} = \begin{cases} 4 & : j = k \\ 2 & : j \neq k \text{ and } j_1 = k_1 \text{ or } j_2 = k_2 \\ 1 & : \text{otherwise} \end{cases}$$

Therefore the residue of  $\frac{dq_{jk}}{q_{jk}}$  at  $\lambda = 1$  is  $\text{ord } q_{jk}$  and the residue of  $E_{ik} d\lambda$  at  $\lambda = 1$  is

$$\sum_{j \in I} \rho^{ij} + \sum_{\substack{j \in I \\ j_1 = k_1}} \rho^{ij} + \sum_{\substack{j \in I \\ j_2 = k_2}} \rho^{ij} + \rho^{ik}$$

$$\text{As } \sum_{\substack{j \in I \\ j_1 = k_1}} \rho^{ij} = \sum_{j_2=1}^{r_2-1} \rho^{i_1 j_1} \rho^{i_2 j_2} = -\rho_1^{i_1 k_1} \text{ and}$$

$$\sum_{\substack{j \in I \\ j_2 = k}} \rho^{ij} = -\rho_2^{i_2 k_2} \text{ and } \sum_{j \in I} \rho^{ij} = 1 \text{ this residue is}$$

indeed  $A_{ik}$ .

2) Let  $\bar{\Gamma} = \Gamma / [\Gamma, \Gamma]$  be the commutator factor group of  $\Gamma$ ; if is a free  $\mathbb{Z}$ -module generated by the images  $e_i$  of  $\gamma_i$ ,  $i \in I$ .

Now  $G$  is canonically isomorphic to the factor group  $\langle \sigma_1, \sigma_2 \rangle / \Gamma$  and thus acts on  $\bar{\Gamma}$  by inner automorphisms; we consider  $\bar{\Gamma}$  as  $G$ -module.

$$\begin{aligned} \text{As } \sigma_1 \gamma_i \sigma_1^{-1} &= \sigma_1 \cdot \sigma_1^{i_1} \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{-i_2} \sigma_1^{-1} \\ &= \sigma_1^{i_1+1} \sigma_2^{i_2} \sigma_1^{-i_1-1} \cdot \sigma_1 \cdot \sigma_2^{-i_2} \cdot \sigma_1^{-1} \\ &= \gamma_{i_1+1, i_2} \cdot \sigma_2^{i_2} \cdot \sigma_1 \cdot \sigma_2^{-i_2} \cdot \sigma_1^{-1} \\ &= \gamma_{i_1+1, i_2} \cdot \gamma_{1, i_2}^{-1} \end{aligned}$$

$$\begin{aligned} \text{and } \sigma_2 \gamma_i \sigma_2^{-1} &= \sigma_2 \sigma_1^{i_1} \sigma_2^{i_2} \sigma_1^{-i_1} \sigma_2^{-i_2} \cdot \sigma_2^{-1} \\ &= \sigma_2 \sigma_1^{+i_1} \sigma_2^{-1} \sigma_1^{-i_1} \cdot \sigma_1^{i_1} \cdot \sigma_2 \cdot \sigma_2^{-i_2} \sigma_1^{-i_2} \sigma_2^{-1} \\ &= \gamma_{i_1, 1}^{-1} \cdot \gamma_{i_1, i_2+1} \end{aligned}$$

the action of  $G$  is known.

Let  $M$  be the submodule of the group ring  $\mathbb{Z}[G]$  generated by

$$a_i = (\sigma_1^{i_1} - 1) \cdot (\sigma_2^{i_2} - 1)$$

for all  $(i_1, i_2) \in I$ . It is easy to verify that the mapping

$$\kappa : \bar{\Gamma} \rightarrow M$$

which sends  $e_i$  to  $a_i$ ,  $i \in I$ , is indeed an isomorphism of  $G$ -modules.

In order to be able to work with a simpler basis we consider  $K \otimes M$  and let

$$w_i := \sum_{j \in I} \rho^{+ij} \cdot a_j \in K \otimes M$$

where  $i \cdot j$  is the multiplication in  $I$  considered as multiplicative semi-group in the ring  $J = \mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$  and  $\rho^i := \rho_1^{i_1} \cdot \rho_2^{i_2}$  for  $i \in \mathbb{Z}/r_1\mathbb{Z} \oplus \mathbb{Z}/r_2\mathbb{Z}$ .

Then 
$$w_i = \sum_{j \in J} \rho^{+ij} \sigma^j$$

with 
$$\sigma^j = \sigma_1^{j_1} \cdot \sigma_2^{j_2} \text{ for } j \in J$$

and 
$$\sigma_1 w_i = \sigma_1^{-i_1} \cdot w_i$$

$$\sigma_2 w_i = \rho_2^{-i_2} \cdot w_i$$

This shows that  $\{w_i : i \in I\}$  is a basis of  $K \otimes M$  and thus

$$a_i = \sum_{j \in I} c_{ij} w_j$$

with a matrix  $c = (c_{ij})$ ,  $c_{ij} \in K$ , of determinant  $\neq 0$ . In fact  $c$  is the inverse of the matrix

$$(\rho^{+ij})_{i,j \in I}$$

A straight forward computation gives: 
$$c_{ij} = \frac{\rho_1^{i_1 j_1} - 1}{r_1} \cdot \frac{\rho_2^{i_2 j_2} - 1}{r_2}$$

for any  $i, j \in I$ ,  $i = (i_1, i_2)$ ,  $j = (j_1, j_2)$ .

3) From 2) we get that

$$a_i = \sum_{j \in I} c_{ij} w_j^*$$

Now 
$$\nabla(a_i) = \sum_{k \in I} \beta_k \frac{\dot{q}_{ik}}{q_{ik}}$$

$$= \sum_{j \in I} c_{ij} \left( \sum_{k \in I} E_{jk} \beta_k \right)$$

$$= \sum_{k \in I} \left( \sum_{j \in I} c_{ij} E_{jk} \right) \cdot \beta_k$$

and thus  $\sum_{j \in I} c_{ij} E_{jk} = \frac{\dot{q}_{ik}}{q_{ik}}$  which completes the proof.

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