



Continuous and twisted L_∞ morphisms

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Abstract

The purpose of this paper is to develop a suitable notion of continuous L_∞ morphism between DG Lie algebras, and to study twists of such morphisms.

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0. Introduction

Let \mathbb{K} be a field containing \mathbb{R} . Consider two DG Lie algebras associated with the polynomial algebra $\mathbb{K}[\mathbf{t}] := \mathbb{K}[t_1, \dots, t_n]$. The first is the algebra of *poly derivations* $\mathcal{T}_{\text{poly}}(\mathbb{K}[\mathbf{t}])$, and the second is the algebra of *poly differential operators* $\mathcal{D}_{\text{poly}}(\mathbb{K}[\mathbf{t}])$. A very important result of Kontsevich [5], known as the Formality Theorem, gives an explicit formula for an L_∞ quasi-isomorphism

$$\mathcal{U} : \mathcal{T}_{\text{poly}}(\mathbb{K}[\mathbf{t}]) \rightarrow \mathcal{D}_{\text{poly}}(\mathbb{K}[\mathbf{t}]).$$

Here is the main result of our paper.

Theorem 0.1. *Assume $\mathbb{R} \subset \mathbb{K}$. Let $A = \bigoplus_{i \geq 0} A^i$ be a super-commutative associative unital complete DG algebra in $\text{Dir Inv Mod } \mathbb{K}$. Consider the induced continuous A -multilinear L_∞ morphism*

$$\mathcal{U}_A : A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathbf{t}]]) \rightarrow A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathbf{t}]]).$$

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Suppose $\omega \in A^1 \widehat{\otimes} \mathcal{T}_{\text{poly}}^0(\mathbb{K}[[\mathfrak{t}]])$ is a solution of the Maurer–Cartan equation in $A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$. Define $\omega' := (\partial^1 \mathcal{U}_A)(\omega) \in A^1 \widehat{\otimes} \mathcal{D}_{\text{poly}}^0(\mathbb{K}[[\mathfrak{t}]])$. Then ω' is a solution of the Maurer–Cartan equation in $A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$, and there is a continuous A -multilinear L_∞ quasi-isomorphism

$$\mathcal{U}_{A,\omega} : (A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_\omega \rightarrow (A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_{\omega'}$$

whose Taylor coefficients are

$$(\partial^j \mathcal{U}_{A,\omega})(\alpha) := \sum_{k \geq 0} \frac{1}{(j+k)!} (\partial^{j+k} \mathcal{U}_A)(\omega^k \wedge \alpha)$$

for $\alpha \in \prod^j (A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))$.

Below is an outline of the paper, in which we mention the various terms appearing in the theorem.

In Section 1 we develop the theory of dir-inv modules. A dir-inv structure on a \mathbb{K} -module M is a generalization of an adic topology. The category of dir-inv modules and continuous homomorphisms is denoted by $\text{Dir Inv Mod } \mathbb{K}$. The concepts of dir-inv module, and related complete tensor product $\widehat{\otimes}$, are quite flexible, and are particularly well-suited for infinitely generated modules. Among other things we introduce the notion of DG Lie algebra in $\text{Dir Inv Mod } \mathbb{K}$.

Section 2 concentrates on poly differential operators. The results here are mostly generalizations of material from [2].

In Section 3 we review the coalgebra approach to L_∞ morphisms. The notions of continuous, A -multilinear and twisted L_∞ morphisms are defined. The main result of this section is Theorem 3.27.

In Section 4 we recall the Kontsevich Formality Theorem. By combining it with Theorem 3.27 we deduce Theorem 0.1 (repeated as Theorem 4.15). In Theorem 0.1 the DG Lie algebras $A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ and $A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ are the A -multilinear extensions of $\mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ and $\mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ respectively, and $(A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_\omega$ and $(A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_{\omega'}$ are their twists. The L_∞ morphism \mathcal{U}_A is the continuous A -multilinear extension of \mathcal{U} , and $\mathcal{U}_{A,\omega}$ is its twist.

Theorem 0.1 is used in [9], in which we study deformation quantization of algebraic varieties.

1. Dir-inv modules

We begin the paper with a generalization of the notion of adic topology. In this section \mathbb{K} is a commutative base ring, and C is a commutative \mathbb{K} -algebra. The category $\text{Mod } C$ is abelian and has direct and inverse limits. Unless specified otherwise, all limits are taken in $\text{Mod } C$.

Definition 1.1. (1) Let $M \in \text{Mod } C$. An inv module structure on M is an inverse system $\{F^i M\}_{i \in \mathbb{N}}$ of C -submodules of M . The pair $(M, \{F^i M\}_{i \in \mathbb{N}})$ is called an inv C -module.
 (2) Let $(M, \{F^i M\}_{i \in \mathbb{N}})$ and $(N, \{F^i N\}_{i \in \mathbb{N}})$ be two inv C -modules. A function $\phi : M \rightarrow N$ (C -linear or not) is said to be continuous if for every $i \in \mathbb{N}$ there exists $i' \in \mathbb{N}$ such that $\phi(F^{i'} M) \subset F^i N$.

- (3) Define $\text{Inv Mod } C$ to be the category whose objects are the inv C -modules, and whose morphisms are the continuous C -linear homomorphisms.

We do not assume that the canonical homomorphism $M \rightarrow \lim_{\leftarrow i} M/F^i M$ is surjective nor injective. There is a full embedding $\text{Mod } C \hookrightarrow \text{Inv Mod } C$, $M \mapsto (M, \{\dots, 0, 0\})$. If $(M, \{F^i M\}_{i \in \mathbb{N}})$ and $(N, \{F^i N\}_{i \in \mathbb{N}})$ are two inv C -modules then $M \oplus N$ is an inv module, with inverse system of submodules $F^i(M \oplus N) := F^i M \oplus F^i N$. Thus $\text{Inv Mod } C$ is a C -linear additive category.

Let $(M, \{F^i M\}_{i \in \mathbb{N}})$ be an inv C -module, let M', M'' be two C -modules, and suppose $\phi : M' \rightarrow M$ and $\psi : M \rightarrow M''$ are C -linear homomorphisms. We get induced inv module structures on M' and M'' by defining $F^i M' := \phi^{-1}(F^i M)$ and $F^i M'' := \psi(F^i M)$.

Recall that a *directed set* is a partially ordered set J with the property that for any $j_1, j_2 \in J$ there exists $j_3 \in J$ such that $j_1, j_2 \leq j_3$.

- Definition 1.2.** (1) Let $M \in \text{Mod } C$. A *dir-inv module structure* on M is a direct system $\{F_j M\}_{j \in J}$ of C -submodules of M , indexed by a nonempty directed set J , together with an inv module structure on each $F_j M$, such that for every $j_1 \leq j_2$ the inclusion $F_{j_1} M \hookrightarrow F_{j_2} M$ is continuous. The pair $(M, \{F_j M\}_{j \in J})$ is called a *dir-inv C -module*.
 (2) Let $(M, \{F_j M\}_{j \in J})$ and $(N, \{F_k N\}_{k \in K})$ be two dir-inv C -modules. A function $\phi : M \rightarrow N$ (C -linear or not) is said to be *continuous* if for every $j \in J$ there exists $k \in K$ such that $\phi(F_j M) \subset F_k N$, and $\phi : F_j M \rightarrow F_k N$ is a continuous function between these two inv C -modules.
 (3) Define $\text{Dir Inv Mod } C$ to be the category whose objects are the dir-inv C -modules, and whose morphisms are the continuous C -linear homomorphisms.

There is no requirement that the canonical homomorphism $\lim_{j \rightarrow} F_j M \rightarrow M$ will be surjective. An inv C -module M is endowed with the dir-inv module structure $\{F_j M\}_{j \in J}$, where $J := \{0\}$ and $F_0 M := M$. Thus we get a full embedding $\text{Inv Mod } C \hookrightarrow \text{Dir Inv Mod } C$. Given two dir-inv C -modules $(M, \{F_j M\}_{j \in J})$ and $(N, \{F_k N\}_{k \in K})$, we make $M \oplus N$ into a dir-inv module as follows. The directed set is $J \times K$, with the component-wise partial order, and the direct system of inv modules is $F_{(j,k)}(M \oplus N) := F_j M \oplus F_k N$. The condition $J \neq \emptyset$ in part (1) of the definition ensures that the zero module $0 \in \text{Mod } C$ is an initial object in $\text{Dir Inv Mod } C$. So $\text{Dir Inv Mod } C$ is a C -linear additive category.

Let $(M, \{F_j M\}_{j \in J})$ be a dir-inv C -module, let M', M'' be two C -modules, and suppose $\phi : M' \rightarrow M$ and $\psi : M \rightarrow M''$ are C -linear homomorphisms. We get induced dir-inv module structures $\{F_j M'\}_{j \in J}$ and $\{F_j M''\}_{j \in J}$ on M' and M'' as follows. Define $F_j(M') := \phi^{-1}(F_j M)$ and $F_j M'' := \psi(F_j M)$, which have induced inv module structures via the homomorphisms $\phi : F_j M' \rightarrow F_j M$ and $\psi : F_j M \rightarrow F_j M''$.

- Definition 1.3.** (1) An inv C -module $(M, \{F^i M\}_{i \in \mathbb{N}})$ is called *discrete* if $F^i M = 0$ for $i \gg 0$.
 (2) An inv C -module $(M, \{F^i M\}_{i \in \mathbb{N}})$ is called *complete* if the canonical homomorphism $M \rightarrow \lim_{\leftarrow i} M/F^i M$ is bijective.
 (3) A dir-inv C -module M is called *complete* (resp. *discrete*) if it isomorphic, in $\text{Dir Inv Mod } C$, to a dir-inv module $(N, \{F_j N\}_{j \in J})$, where all the inv modules $F_j N$

are complete (resp. discrete) as defined above, and the canonical homomorphism $\lim_{j \rightarrow} F_j N \rightarrow N$ is bijective.

- (4) A dir-inv C -module M is called *trivial* if it is isomorphic, in $\text{Dir Inv Mod } C$, to an object of $\text{Mod } C$, via the embedding $\text{Mod } C \hookrightarrow \text{Dir Inv Mod } C$.

Note that M is a trivial dir-inv module iff it is isomorphic, in $\text{Dir Inv Mod } C$, to a discrete inv module. There do exist discrete dir-inv modules that are not trivial dir-inv modules; see [Example 1.10](#). It is easy to see that if M is a discrete dir-inv module then it is also complete.

The base ring \mathbb{K} is endowed with the inv structure $\{\dots, 0, 0\}$, so it is a trivial dir-inv \mathbb{K} -module. But the \mathbb{K} -algebra C could have more interesting dir-inv structures (cf. [Example 1.8](#)).

If $f^* : C \rightarrow C'$ is a homomorphism of \mathbb{K} -algebras, then there is a functor $f_* : \text{Dir Inv Mod } C' \rightarrow \text{Dir Inv Mod } C$. In particular any dir-inv C -module is a dir-inv \mathbb{K} -module.

Definition 1.4. (1) Given an inv C -module $(M, \{F^i M\}_{i \in \mathbb{N}})$ its completion is the inv C -module $(\widehat{M}, \{F^i \widehat{M}\}_{i \in \mathbb{N}})$, defined as follows: $\widehat{M} := \lim_{\leftarrow i} M/F^i M$ and $F^i \widehat{M} := \text{Ker}(\widehat{M} \rightarrow M/F^i M)$. Thus we obtain an additive endofunctor $M \mapsto \widehat{M}$ of $\text{Inv Mod } C$.
 (2) Given a dir-inv C -module $(M, \{F_j M\}_{j \in J})$ its completion is the dir-inv C -module $(\widehat{M}, \{F_j \widehat{M}\}_{j \in J})$ defined as follows. For any $j \in J$ let $\widehat{F_j M}$ be the completion of the inv C -module $F_j M$, as defined above. Then let $\widehat{M} := \lim_{j \rightarrow} \widehat{F_j M}$ and $F_j \widehat{M} := \text{Im}(\widehat{F_j M} \rightarrow \widehat{M})$. Thus we obtain an additive endofunctor $M \mapsto \widehat{M}$ of $\text{Dir Inv Mod } C$.

An inv C -module M is complete iff the functorial homomorphism $M \rightarrow \widehat{M}$ is an isomorphism; and of course \widehat{M} is complete. For a dir-inv C -module M there is in general no functorial homomorphism between M and \widehat{M} , and we do not know if \widehat{M} is complete. Nonetheless:

Proposition 1.5. *Suppose $M \in \text{Dir Inv Mod } C$ is complete. Then there is an isomorphism $M \cong \widehat{M}$ in $\text{Dir Inv Mod } C$. This isomorphism is functorial.*

Proof. For any dir-inv module $(M, \{F_j M\}_{j \in J})$ let us define $M' := \lim_{j \rightarrow} F_j M$. So $(M', \{F_j M\}_{j \in J})$ is a dir-inv module, and there are functorial morphisms $M' \rightarrow M$ and $M' \rightarrow \widehat{M}$. If M is complete then both these morphisms are isomorphisms. \square

Suppose $\{M_k\}_{k \in K}$ is a collection of dir-inv modules, indexed by a set K . There is an induced dir-inv module structure on $M := \bigoplus_{k \in K} M_k$, constructed as follows. For any k let us denote by $\{F_j M_k\}_{j \in J_k}$ the dir-inv structure of M_k ; so that each $F_j M_k$ is an inv module. For each finite subset $K_0 \subset K$ let $J_{K_0} := \prod_{k \in K_0} J_k$, made into a directed set by component-wise partial order. Define $J := \bigsqcup_{K_0} J_{K_0}$, where K_0 runs over the finite subsets of K . For two finite subsets $K_0 \subset K_1$, and two elements $j_0 = \{j_{0,k}\}_{k \in K_0} \in J_{K_0}$ and $j_1 = \{j_{1,k}\}_{k \in K_1} \in J_{K_1}$ we declare that $j_0 \leq j_1$ if $j_{0,k} \leq j_{1,k}$ for all $k \in K_0$. This makes J into a directed set. Now for any $j = \{j_k\}_{k \in K_0} \in J_{K_0} \subset J$ let $F_j M := \bigoplus_{k \in K_0} F_{j_k} M_k$, which is an inv module. The dir-inv structure on M is $\{F_j M\}_{j \in J}$.

Proposition 1.6. *Let $\{M_k\}_{k \in K}$ be a collection of dir-inv C -modules, and let $M := \bigoplus_{k \in K} M_k$, endowed with the induced dir-inv structure.*

- (1) M is a coproduct of $\{M_k\}_{k \in K}$ in the category $\text{Dir Inv Mod } C$.
- (2) There is a functorial isomorphism $\widehat{M} \cong \bigoplus_{k \in K} \widehat{M}_k$.

Proof. (1) is obvious. For (2) we note that both \widehat{M} and $\bigoplus_{k \in K} \widehat{M}_k$ are direct limits for the direct system $\{\widehat{M}_j\}_{j \in J}$. \square

Suppose $\{M_k\}_{k \in \mathbb{N}}$ is a collection of inv C -modules. For each k let $\{F^i M_k\}_{i \in \mathbb{N}}$ be the inv structure of M_k . Then $M := \prod_{k \in \mathbb{N}} M_k$ is an inv module, with inv structure $F^i M := (\prod_{k > i} M_k) \times (\prod_{k \leq i} F^i M_k)$. Next let $\{M_k\}_{k \in \mathbb{N}}$ be a collection of dir-inv C -modules, and for each k let $\{F_j M_k\}_{j \in J_k}$ be the dir-inv structure of M_k . Then there is an induced dir-inv structure on $M := \prod_{k \in \mathbb{N}} M_k$. Define a directed set $J := \prod_{k \in \mathbb{N}} J_k$, with component-wise partial order. For any $\mathbf{j} = \{j_k\}_{k \in \mathbb{N}} \in J$ define $F_{\mathbf{j}} M := \prod_{k \in \mathbb{N}} F_{j_k} M_k$, which is an inv C -module as explained above. The dir-inv structure on M is $\{F_{\mathbf{j}} M\}_{\mathbf{j} \in J}$.

Proposition 1.7. Let $\{M_k\}_{k \in \mathbb{N}}$ be a collection of dir-inv C -modules, and let $M := \prod_{k \in \mathbb{N}} M_k$, endowed with the induced dir-inv structure. Then M is a product of $\{M_k\}_{k \in \mathbb{N}}$ in $\text{Dir Inv Mod } C$.

Proof. All we need to consider is continuity. First assume that all the M_k are inv C -modules. Let us denote by $\pi_k : M \rightarrow M_k$ the projection. For each $k, i \in \mathbb{N}$ and $i' \geq \max(i, k)$ we have $\pi_k(F^{i'} M) = F^i M_k$. This shows that the π_k are continuous. Suppose L is an inv C -module and $\phi_k : L \rightarrow M_k$ are morphisms in $\text{Inv Mod } C$. For any $i \in \mathbb{N}$ there exists $i' \in \mathbb{N}$ such that $\phi_k(F^{i'} L) \subset F^i M_k$ for all $k \leq i$. Therefore the homomorphism $\phi : L \rightarrow M$ with components ϕ_k is continuous.

Now let M_k be dir-inv C -modules, with dir-inv structures $\{F_j M_k\}_{j \in J_k}$. For any $\mathbf{j} = \{j_k\} \in J$ one has $\pi_k(F_{\mathbf{j}} M) = F_{j_k} M_k$, and as shown above $\pi_k : F_{\mathbf{j}} M \rightarrow F_{j_k} M_k$ is continuous. Given a dir-inv module L and morphisms $\phi_k : L \rightarrow M_k$ in $\text{Dir Inv Mod } C$, we have to prove that $\phi : L \rightarrow M$ is continuous. Let $\{F_j L\}_{j \in J_L}$ be the dir-inv structure of L . Take any $j \in J_L$. Since ϕ_k is continuous, there exists some $j_k \in J_k$ such that $\phi_k(F_j L) \subset F_{j_k} M_k$. But then $\phi(F_j L) \subset F_{\mathbf{j}} M$ for $\mathbf{j} := \{j_k\}_{k \in \mathbb{N}}$, and by the previous paragraph $\phi : F_j L \rightarrow F_{\mathbf{j}} M$ is continuous. \square

The following examples should help to clarify the notion of dir-inv module.

Example 1.8. Let \mathfrak{c} be an ideal in C . Then each finitely generated C -module M has an inv structure $\{F^i M\}_{i \in \mathbb{N}}$, where we define the submodules $F^i M := \mathfrak{c}^{i+1} M$. This is called the \mathfrak{c} -adic inv structure. Any C -module M has a dir-inv structure $\{F_j M\}_{j \in J}$, which is the collection of finitely generated C -submodules of M , directed by inclusion, and each $F_j M$ is given the \mathfrak{c} -adic inv structure. We get a fully faithful functor $\text{Mod } C \rightarrow \text{Dir Inv Mod } C$. This dir-inv module structure on M is called the \mathfrak{c} -adic dir-inv structure.

If C is noetherian and \mathfrak{c} -adically complete, then the finitely generated modules are complete as inv C -modules, and hence all modules are complete as dir-inv modules.

Example 1.9. Suppose $(M, \{F^i M\}_{i \in \mathbb{N}})$ is an inv C -module, and $\{i_k\}_{k \in \mathbb{N}}$ is a nondecreasing sequence in \mathbb{N} with $\lim_{k \rightarrow \infty} i_k = \infty$. Then $\{F^{i_k} M\}_{k \in \mathbb{N}}$ is a new inv structure on M , yet the identity map $(M, \{F^i M\}_{i \in \mathbb{N}}) \rightarrow (M, \{F^{i_k} M\}_{k \in \mathbb{N}})$ is an isomorphism in $\text{Inv Mod } C$.

A similar modification can be done for dir-inv modules. Suppose $(M, \{F_j M\}_{j \in J})$ is a dir-inv C -module, and $J' \subset J$ is a subset that is cofinal in J . Then $\{F_j M\}_{j \in J'}$ is a new

dir-inv structure on M , yet the identity map $(M, \{F_j M\}_{j \in J}) \rightarrow (M, \{F_j M\}_{j \in J'})$ is an isomorphism in $\text{Dir Inv Mod } C$.

Example 1.10. Let M be the free \mathbb{K} -module with basis $\{e_p\}_{p \in \mathbb{N}}$; so $M = \bigoplus_{p \in \mathbb{N}} \mathbb{K}e_p$ in $\text{Mod } \mathbb{K}$. We put on M the inv module structure $\{F^i M\}_{i \in \mathbb{N}}$ with $F^i M := 0$ for all i . Let N be the same \mathbb{K} -module as M , but put on it the inv module structure $\{F^i N\}_{i \in \mathbb{N}}$ with $F^i N := \bigoplus_{p=i}^{\infty} \mathbb{K}e_p$. Also let L be the \mathbb{K} -module M , but put on it the dir-inv module structure $\{F_j L\}_{j \in \mathbb{N}}$, with $F_j L := \bigoplus_{p=0}^j \mathbb{K}e_p$ the discrete inv module whose inv structure is $\{\dots, 0, 0\}$. Both L and M are discrete and complete as dir-inv \mathbb{K} -modules, and $\widehat{N} \cong \prod_{p \in \mathbb{N}} \mathbb{K}e_p$. The dir-inv module M is trivial. L is not a trivial dir-inv \mathbb{K} -module, because it is not isomorphic in $\text{Dir Inv Mod } \mathbb{K}$ to any inv module. The identity maps $L \rightarrow M \rightarrow N$ are continuous. The only continuous \mathbb{K} -linear homomorphisms $M \rightarrow L$ are those with finitely generated images.

Remark 1.11. In the situation of the previous example, suppose we put on the three modules L, M, N genuine \mathbb{K} -linear topologies, using the limiting processes and starting from the discrete topology. Namely $M, N/F^i N$ and $F_j L$ get the discrete topologies; $L \cong \lim_{j \rightarrow} F_j L$ gets the \lim_{\rightarrow} topology; and $N \subset \lim_{\leftarrow i} N/F^i N$ gets the \lim_{\leftarrow} topology (as in [8, Section 1.1]). Then L and M become the same discrete topological module, and \widehat{N} is the topological completion of N . We see that the notion of a dir-inv structure is more subtle than that of a topology, even though a similar language is used.

Example 1.12. Suppose \mathbb{K} is a field, and let $M := \mathbb{K}$, the free module of rank 1. Up to isomorphism in $\text{Dir Inv Mod } \mathbb{K}$, M has three distinct dir-inv module structures. We can denote them by M_1, M_2, M_3 in such a way that the identity maps $M_1 \rightarrow M_2 \rightarrow M_3$ are continuous. The only continuous \mathbb{K} -linear homomorphisms $M_i \rightarrow M_j$ with $i > j$ are the zero homomorphisms. M_2 is the trivial dir-inv structure, and it is the only interesting one (the others are “pathological”).

Example 1.13. Suppose $M = \bigoplus_{p \in \mathbb{Z}} M^p$ is a graded C -module. The grading induces a dir-inv structure on M , with $J := \mathbb{N}$, $F_j M := \bigoplus_{p=-j}^{\infty} M^p$, and $F^i F_j M := \bigoplus_{p=-j+i}^{\infty} M^p$. The completion satisfies $\widehat{M} \cong \left(\prod_{p \geq 0} M^p\right) \oplus \left(\bigoplus_{p < 0} M^p\right)$ in $\text{Dir Inv Mod } C$, where each M^p has the trivial dir-inv module structure.

It makes sense to talk about convergence of sequences in a dir-inv module. Suppose $(M, \{F^i M\}_{i \in \mathbb{N}})$ is an inv C -module and $\{m_i\}_{i \in \mathbb{N}}$ is a sequence in M . We say that $\lim_{i \rightarrow \infty} m_i = 0$ if for every i_0 there is some i_1 such that $\{m_i\}_{i \geq i_1} \subset F_{i_0} M$. If $(M, \{F_j M\}_{j \in J})$ is a dir-inv module and $\{m_i\}_{i \in \mathbb{N}}$ is a sequence in M , then we say that $\lim_{i \rightarrow \infty} m_i = 0$ if there exist some j and i_1 such that $\{m_i\}_{i \geq i_1} \subset F_j M$, and $\lim_{i \rightarrow \infty} m_i = 0$ in the inv module $F_j M$. Having defined $\lim_{i \rightarrow \infty} m_i = 0$, it is clear how to define $\lim_{i \rightarrow \infty} m_i = m$ and $\sum_{i=0}^{\infty} m_i = m$. Also the notion of Cauchy sequence is clear.

Proposition 1.14. Assume M is a complete dir-inv C -module. Then any Cauchy sequence in M has a unique limit.

Proof. Consider a Cauchy sequence $\{m_i\}_{i \in \mathbb{N}}$ in M . Convergence is an invariant of isomorphisms in $\text{Dir Inv Mod } C$. By Definition 1.3 we may assume that in the dir-inv structure $\{F_j M\}_{j \in J}$ of M each inv module $F_j M$ is complete. By passing to the sequence $\{m_i - m_{i_1}\}_{i \in \mathbb{N}}$ for suitable i_1 , we can also assume the sequence is contained in one of the inv modules $F_j M$. Thus we reduce to the case of convergence in a complete inv module, which is standard. \square

Let $(M, \{F^i M\}_{i \in \mathbb{N}})$ and $(N, \{F^i N\}_{i \in \mathbb{N}})$ be two inv C -modules. We make $M \otimes_C N$ into an inv module by defining

$$F^i(M \otimes_C N) := \text{Im} \left((M \otimes_C F^i N) \oplus (F^i M \otimes_C N) \rightarrow M \otimes_C N \right).$$

For two dir-inv C -modules $(M, \{F_j M\}_{j \in J})$ and $(N, \{F_k N\}_{k \in K})$, we put on $M \otimes_C N$ the dir-inv module structure $\{F_{(j,k)}(M \otimes_C N)\}_{(j,k) \in J \times K}$, where

$$F_{(j,k)}(M \otimes_C N) := \text{Im}(F_j M \otimes_C F_k N \rightarrow M \otimes_C N).$$

Definition 1.15. Given $M, N \in \text{Dir Inv Mod } C$ we define $N \widehat{\otimes}_C M$ to be the completion of the dir-inv C -module $N \otimes_C M$.

Example 1.16. Let us examine the behavior of the dir-inv modules L, M, N from Example 1.10 with respect to the complete tensor product. There is an isomorphism $L \otimes_{\mathbb{K}} N \cong \bigoplus_{p \in \mathbb{N}} N$ in $\text{Dir Inv Mod } \mathbb{K}$, so according to Proposition 1.6(2) there is also an isomorphism $L \widehat{\otimes}_{\mathbb{K}} N \cong \bigoplus_{p \in \mathbb{N}} \widehat{N}$ in $\text{Dir Inv Mod } \mathbb{K}$. On the other hand $M \otimes_{\mathbb{K}} N$ is an inv \mathbb{K} -module with inv structure $F^i(M \otimes_{\mathbb{K}} N) = M \otimes_{\mathbb{K}} F^i N$, so $M \widehat{\otimes}_{\mathbb{K}} N \cong \prod_{p \in \mathbb{N}} M$ in $\text{Dir Inv Mod } \mathbb{K}$. The series $\sum_{p=0}^{\infty} e_p \otimes e_p$ converges in $M \widehat{\otimes}_{\mathbb{K}} N$, but not in $L \widehat{\otimes}_{\mathbb{K}} N$.

A *graded object* in $\text{Dir Inv Mod } C$, or a *graded dir-inv C -module*, is an object $M \in \text{Dir Inv Mod } C$ of the form $M = \bigoplus_{i \in \mathbb{Z}} M^i$, with $M^i \in \text{Dir Inv Mod } C$. According to Proposition 1.6 we have $\widehat{M} \cong \bigoplus_{i \in \mathbb{Z}} \widehat{M}^i$. Given two graded objects $M = \bigoplus_{i \in \mathbb{Z}} M^i$ and $N = \bigoplus_{i \in \mathbb{Z}} N^i$ in $\text{Dir Inv Mod } C$, the tensor product is also a graded object in $\text{Dir Inv Mod } C$, with

$$(M \otimes_C N)^i := \bigoplus_{p+q=i} M^p \otimes_C N^q.$$

In this paper “algebra” is taken in the weakest possible sense: by C -algebra we mean a C -module A together with a C -bilinear function $\mu_A : A \times A \rightarrow A$. If A is associative, or a Lie algebra, then we will specify that. However, “commutative algebra” will mean, by default, a commutative associative unital C -algebra. Another convention is that a homomorphism between unital algebras is a unital homomorphism, and a module over a unital algebra is a unital module.

Definition 1.17. (1) An *algebra* in $\text{Dir Inv Mod } C$ is an object $A \in \text{Dir Inv Mod } C$, together with a continuous C -bilinear function $\mu_A : A \times A \rightarrow A$.
 (2) A *differential graded algebra* in $\text{Dir Inv Mod } C$ is a graded object $A = \bigoplus_{i \in \mathbb{Z}} A^i$ in $\text{Dir Inv Mod } C$, together with continuous C -(bi)linear functions $\mu_A : A \times A \rightarrow A$ and

$d_A : A \rightarrow A$, such that A is a differential graded algebra, in the usual sense, with respect to the differential d_A and the multiplication μ_A .

- (3) Let A be an algebra in $\text{Dir Inv Mod } C$, with dir-inv structure $\{F_j A\}_{j \in J}$. We say that A is a *unital algebra in Dir Inv Mod } C* if it has a unit element 1_A (in the usual sense), such that $1_A \in \bigcup_{j \in J} F_j A$.

The base ring \mathbb{K} , with its trivial dir-inv structure, is a unital algebra in $\text{Dir Inv Mod } \mathbb{K}$. In item (3) above, the condition $1_A \in \bigcup_{j \in J} F_j A$ is equivalent to the ring homomorphism $\mathbb{K} \rightarrow A$ being continuous.

We will use the common abbreviation “DG” for “differential graded”. An algebra in $\text{Dir Inv Mod } C$ can have further attributes, such as “Lie” or “associative”, which have their usual meanings. If $A \in \text{Inv Mod } C$ then we also say it is an algebra in $\text{Inv Mod } C$.

Example 1.18. In the situation of Example 1.8, the c -adic inv structure makes C and \widehat{C} into unital algebras in $\text{Inv Mod } C$.

Recall that a graded algebra A is called *super-commutative* if $ba = (-1)^{ij} ab$ and $c^2 = 0$ for all $a \in A^i$, $b \in A^j$, $c \in A^k$ and k odd. There is no essential difference between left and right DG A -modules.

Proposition 1.19. Let A and \mathfrak{g} be DG algebras in $\text{Dir Inv Mod } C$.

- (1) The completion \widehat{A} is a DG algebra in $\text{Dir Inv Mod } C$.
- (2) If A is complete, then the canonical isomorphism $A \cong \widehat{A}$ of Proposition 1.5 is an isomorphism of DG algebras.
- (3) The complete tensor product $A \widehat{\otimes}_C \mathfrak{g}$ is a DG algebra in $\text{Dir Inv Mod } C$.
- (4) If A is a super-commutative associative unital algebra, then so is \widehat{A} .
- (5) If \mathfrak{g} is a DG Lie algebra and A is a super-commutative associative unital algebra, then $A \widehat{\otimes}_C \mathfrak{g}$ is a DG Lie algebra.

Proof. (1) This is a consequence of a slightly more general fact. Consider modules $M_1, \dots, M_r, N \in \text{Dir Inv Mod } C$ and a continuous C -multilinear linear function $\phi : M_1 \times \dots \times M_r \rightarrow N$. We claim that there is an induced continuous C -multilinear linear function $\widehat{\phi} : \prod_k \widehat{M}_k \rightarrow \widehat{N}$. This operation is functorial (w.r.t. morphisms $M_k \rightarrow M'_k$ and $N \rightarrow N'$), and monoidal (i.e. it respects composition in the k th argument with a continuous multilinear function $\psi : L_1 \times \dots \times L_s \rightarrow M_k$).

First assume $M_1, \dots, M_r, N \in \text{Inv Mod } C$, with inv structures $\{F^i M_1\}_{i \in \mathbb{N}}$ etc. For any $i \in \mathbb{N}$ there exists $i' \in \mathbb{N}$ such that $\phi(\prod_k F^{i'} M_k) \subset F^i N$. Therefore there is an induced continuous C -multilinear function $\widehat{\phi} : \prod_k \widehat{M}_k \rightarrow \widehat{N}$. It is easy to verify that $\phi \mapsto \widehat{\phi}$ is functorial and monoidal.

Next consider the general case, i.e. $M_1, \dots, M_r, N \in \text{Dir Inv Mod } C$. Let $\{F_j M_k\}_{j \in J_k}$ be the dir-inv structure of M_k , and let $\{F_j N\}_{j \in J_N}$ be the dir-inv structure of N . By continuity of ϕ , given $(j_1, \dots, j_r) \in \prod_k J_k$ there exists $j' \in J_N$ such that $\phi(\prod_k F_{j_k} M_k) \subset F_{j'} N$, and $\phi : \prod_k F_{j_k} M_k \rightarrow F_{j'} N$ is continuous. By the previous paragraph this extends to $\widehat{\phi} : \prod_k \widehat{F_{j_k} M_k} \rightarrow \widehat{F_{j'} N}$. Passing to the direct limit in (j_1, \dots, j_r) we obtain $\widehat{\phi} : \prod_k \widehat{M}_k \rightarrow \widehat{N}$. Again this operation is functorial and monoidal.

(2) Let $A' \subset A$ be as in the proof of Proposition 1.5. This is a subalgebra. The arguments used in the proof of part (1) above show that $A' \rightarrow A$ and $A' \rightarrow \widehat{A}$ are algebra homomorphisms.

(3) Let us write \cdot_A and $\cdot_{\mathfrak{g}}$ for the two multiplications, and d_A and $d_{\mathfrak{g}}$ for the differentials. Then $A \otimes_C \mathfrak{g}$ is a DG algebra with multiplication

$$(a_1 \otimes \gamma_1) \cdot (a_2 \otimes \gamma_2) := (-1)^{i_2 j_1} (a_1 \cdot_A a_2) \otimes (\gamma_1 \cdot_{\mathfrak{g}} \gamma_2)$$

and differential

$$d(a_1 \otimes \gamma_1) := d_A(a_1) \otimes \gamma_1 + (-1)^{i_1} a_1 \otimes d_{\mathfrak{g}}(\gamma_1)$$

for $a_k \in A^{i_k}$ and $\gamma_k \in \mathfrak{g}^{j_k}$. These operations are continuous, so $A \otimes_C \mathfrak{g}$ is a DG algebra in $\text{Dir Inv Mod } C$. Now use part (1).

(4, 5) The various identities (Lie etc.) are preserved by $\widehat{\otimes}$. Definition 1.17(3) ensures that \widehat{A} has a unit element. \square

Definition 1.20. Suppose A is a DG super-commutative associative unital algebra in $\text{Dir Inv Mod } C$.

(1) A DG A -module in $\text{Dir Inv Mod } C$ is a graded object $M \in \text{Dir Inv Mod } C$, together with continuous C -(bi)linear functions $\mu_M : A \times M \rightarrow M$ and $d_M : M \rightarrow M$, which make M into a DG A -module in the usual sense.

(2) A DG A -module Lie algebra in $\text{Dir Inv Mod } C$ is a DG Lie algebra $\mathfrak{g} \in \text{Dir Inv Mod } C$, together with a continuous C -bilinear homomorphism $A \times \mathfrak{g} \rightarrow \mathfrak{g}$, such that \mathfrak{g} is a DG A -module, and

$$[a_1 \gamma_1, a_2 \gamma_2] = (-1)^{i_2 j_1} a_1 a_2 [\gamma_1, \gamma_2]$$

for all $a_k \in A^{i_k}$ and $\gamma_k \in \mathfrak{g}^{j_k}$.

Example 1.21. If A is a DG super-commutative associative unital algebra in $\text{Dir Inv Mod } C$, and \mathfrak{g} is a DG Lie algebra in $\text{Dir Inv Mod } C$, then $A \widehat{\otimes}_C \mathfrak{g}$ is a DG \widehat{A} -module Lie algebra in $\text{Dir Inv Mod } C$.

Let A be a DG super-commutative associative unital algebra in $\text{Dir Inv Mod } C$, and let M, N be two DG A -modules in $\text{Dir Inv Mod } C$. The tensor product $M \otimes_A N$ is a quotient of $M \otimes_C N$, and as such it has a dir-inv structure. Moreover, $M \otimes_A N$ is a DG A -module in $\text{Dir Inv Mod } C$, and we define $M \widehat{\otimes}_A N$ to be its completion, which is a DG \widehat{A} -module in $\text{Dir Inv Mod } C$.

Proposition 1.22. Let A and B be DG super-commutative associative unital algebras in $\text{Dir Inv Mod } C$, and let $A \rightarrow B$ be a continuous homomorphism of DG C -algebras.

(1) Suppose M is a DG A -module in $\text{Dir Inv Mod } C$. Then $B \widehat{\otimes}_A M$ is a DG \widehat{B} -module in $\text{Dir Inv Mod } C$.

(2) Suppose \mathfrak{g} is a DG A -module Lie algebra in $\text{Dir Inv Mod } C$. Then $B \widehat{\otimes}_A \mathfrak{g}$ is a DG \widehat{B} -module Lie algebra in $\text{Dir Inv Mod } C$.

Proof. Like Proposition 1.19. \square

Suppose C, C' are commutative algebras in $\text{Dir Inv Mod } \mathbb{K}$, and $f^* : C \rightarrow C'$ is a continuous \mathbb{K} -algebra homomorphism. There are functors $f^* : \text{Dir Inv Mod } C \rightarrow \text{Dir Inv Mod } C'$ and $\widehat{f^*} : \text{Dir Inv Mod } C \rightarrow \text{Dir Inv Mod } \widehat{C}'$, namely $f^*M := C' \otimes_C M$ and $\widehat{f^*}M := C' \widehat{\otimes}_C M$.

Let M and N be two dir-inv C -modules. We define

$$\text{Hom}_C^{\text{cont}}(M, N) := \text{Hom}_{\text{Dir Inv Mod } C}(M, N),$$

i.e. the C -module of continuous C -linear homomorphisms. In general this module has no obvious structure. However, if M is an inv C -module with inv structure $\{F^i M\}_{i \in \mathbb{N}}$, and N is a discrete inv C -module, then

$$\text{Hom}_C^{\text{cont}}(M, N) \cong \lim_{i \rightarrow} \text{Hom}_C(M/F^i M, N).$$

In this case we consider each

$$F_i \text{Hom}_C^{\text{cont}}(M, N) := \text{Hom}_C(M/F^i M, N)$$

as a discrete inv module, and this endows $\text{Hom}_C^{\text{cont}}(M, N)$ with a dir-inv structure.

Example 1.23. In the situation of [Example 1.10](#) one has

$$\text{Hom}_C^{\text{cont}}(N, M) \cong L \otimes_C M$$

as dir-inv C -modules.

Example 1.24. This example is taken from [8]. Assume \mathbb{K} is noetherian and C is a finitely generated commutative \mathbb{K} -algebra. For $q \in \mathbb{N}$ define $\mathcal{B}_q(C) = \mathcal{B}^{-q}(C) := C^{\otimes(q+2)} = C \otimes_{\mathbb{K}} \cdots \otimes_{\mathbb{K}} C$. Define $\widehat{\mathcal{B}}_q(C) = \widehat{\mathcal{B}}^{-q}(C)$ to be the adic completion of $\mathcal{B}_q(C)$ with respect to the ideal $\text{Ker}(\mathcal{B}_q(C) \rightarrow C)$.

There is a \mathbb{K} -algebra homomorphism $\widehat{\mathcal{B}}^0(C) \rightarrow \widehat{\mathcal{B}}^{-q}(C)$, corresponding to the two extreme tensor factors, and in this way we view $\widehat{\mathcal{B}}^{-q}(C)$ as a complete inv $\widehat{\mathcal{B}}^0(C)$ -module. There is a continuous coboundary operator that makes $\widehat{\mathcal{B}}(C) := \bigoplus_{q \in \mathbb{N}} \widehat{\mathcal{B}}^{-q}(C)$ into a complex of $\widehat{\mathcal{B}}^0(C)$ -modules, and there is a quasi-isomorphism $\widehat{\mathcal{B}}(C) \rightarrow C$. We call $\widehat{\mathcal{B}}(C)$ the *complete un-normalized bar complex* of C .

Next define $\widehat{\mathcal{C}}_q(C) = \widehat{\mathcal{C}}^{-q}(C) := C \otimes_{\widehat{\mathcal{B}}^0(C)} \widehat{\mathcal{B}}^{-q}(C)$. This is a complete inv C -module. The complex $\widehat{\mathcal{C}}(C)$ is called the *complete Hochschild chain complex* of C . Finally let $\mathcal{C}_{\text{cd}}^q(C) := \text{Hom}_C^{\text{cont}}(\widehat{\mathcal{C}}^{-q}(C), C)$. The complex $\mathcal{C}_{\text{cd}}(C) := \bigoplus_{q \in \mathbb{N}} \mathcal{C}_{\text{cd}}^q(C)$ is called the *continuous Hochschild cochain complex* of C .

2. Poly differential operators

In this section \mathbb{K} is a commutative base ring, and C is a commutative \mathbb{K} -algebra. The symbol \otimes means $\otimes_{\mathbb{K}}$. We discuss some basic properties of poly differential operators, expanding results from [9].

Definition 2.1. Let M_1, \dots, M_p, N be C -modules. A \mathbb{K} -multilinear function $\phi : M_1 \times \cdots \times M_p \rightarrow N$ is called a *poly differential operator* (over C relative to \mathbb{K}) if there exists

some $d \in \mathbb{N}$ such that for any $(m_1, \dots, m_p) \in \prod M_i$ and any $i \in \{1, \dots, p\}$ the function $M_i \rightarrow N, m \mapsto \phi(m_1, \dots, m_{i-1}, m, m_{i+1}, \dots, m_p)$ is a differential operator of order $\leq d$, in the sense of [2, Section 16.8]. In this case we say that ϕ has order $\leq d$ in each argument.

We shall denote the set of poly differential operators $\prod M_i \rightarrow N$ over C relative to \mathbb{K} , of order $\leq d$ in all arguments, by

$$F_d \text{Diff}_{\text{poly}}(C; M_1, \dots, M_p; N).$$

And we define

$$\text{Diff}_{\text{poly}}(C; M_1, \dots, M_p; N) := \bigcup_{d \geq 0} F_d \text{Diff}_{\text{poly}}(C; M_1, \dots, M_p; N),$$

the union being inside the set of all \mathbb{K} -multilinear functions $\prod M_i \rightarrow N$. By default we only consider poly differential operators relative to \mathbb{K} .

For a natural number p the p -th un-normalized bar module $\mathcal{B}_p(C)$ was defined in Example 1.24. Let $I_p(C)$ be the kernel of the ring homomorphism $\mathcal{B}_p(C) \rightarrow C$. Define

$$\mathcal{C}_p(C) := C \otimes_{\mathcal{B}_0(C)} \mathcal{B}_p(C),$$

the p -th Hochschild chain module of C (relative to \mathbb{K}). For any $d \in \mathbb{N}$ define

$$\begin{aligned} \mathcal{B}_{p,d}(C) &:= \mathcal{B}_p(C) / I_p(C)^{d+1}, \\ \mathcal{C}_{p,d}(C) &:= C \otimes_{\mathcal{B}_0(C)} \mathcal{B}_{p,d}(C) \end{aligned}$$

and

$$\mathcal{C}_{p,d}(C; M_1, \dots, M_p) := \mathcal{C}_{p,d}(C) \otimes_{\mathcal{B}_{p-2}(C)} (M_1 \otimes \dots \otimes M_p).$$

Let

$$\phi_{\text{uni}} : \prod_{i=1}^p M_i \rightarrow \mathcal{C}_{p,d}(C; M_1, \dots, M_p)$$

be the \mathbb{K} -multilinear function

$$\phi_{\text{uni}}(m_1, \dots, m_p) := 1 \otimes (m_1 \otimes \dots \otimes m_p).$$

Observe that for $p = 1$ we get $\mathcal{C}_{1,d}(C) = \mathcal{P}^d(C)$, the module of principal parts of order d (see [2]). In the same way that $\mathcal{P}^d(C)$ parametrizes differential operators, $\mathcal{C}_{p,d}(C)$ parametrizes poly differential operators:

Lemma 2.2. *The assignment $\psi \mapsto \psi \circ \phi_{\text{uni}}$ is a bijection*

$$\text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N) \xrightarrow{\cong} F_d \text{Diff}_{\text{poly}}(C; M_1, \dots, M_p; N).$$

Proof. The same arguments used in [2, Section 16.8] also apply here. Cf. [8, Section 1.4]. \square

In case $M_1 = \dots = M_p = N = C$ we see that

$$\begin{aligned} \text{Diff}_{\text{poly}}(C; \underbrace{C, \dots, C}_p; C) &\cong \lim_{d \rightarrow} \text{Hom}_C(\mathcal{C}_{p,d}(C), C) \\ &\cong \text{Hom}_C^{\text{cont}}(\widehat{\mathcal{C}}_p(C), C) = \mathcal{C}_{\text{cd}}^p(C), \end{aligned} \tag{2.3}$$

with notation of Example 1.24.

Proposition 2.4. *Suppose C is a finitely generated \mathbb{K} -algebra, with ideal $\mathfrak{c} \subset C$. Let M_1, \dots, M_p, N be C -modules, and let $\phi : \prod M_i \rightarrow N$ be a multi differential operator over C relative to \mathbb{K} . Then ϕ is continuous for the \mathfrak{c} -adic dir-inv structures on M_1, \dots, M_p, N .*

Proof. Suppose ϕ has order $\leq d$ in each of its arguments, and let

$$\psi : \mathcal{C}_{p,d}(C; M_1, \dots, M_p) \rightarrow N$$

be the corresponding C -linear homomorphism. As in [8, Proposition 1.4.3], since C is a finitely generated \mathbb{K} -algebra, it follows that $\mathcal{B}_{p,d}(C)$ is a finitely generated module over $\mathcal{B}_0(C)$; and hence $\mathcal{C}_{p,d}(C)$ is a finitely generated C -module. Let us denote by $\{F_j M_i\}_{j \in J_i}$ and $\{F_k N\}_{k \in K}$ the \mathfrak{c} -adic dir-inv structures on M_i and N . For any j_1, \dots, j_p the $\mathcal{B}_{p-2}(C)$ -module $F_{j_1} M_1 \otimes \dots \otimes F_{j_p} M_p$ is finitely generated, and hence the C -module $\mathcal{C}_{p,d}(C; F_{j_1} M_1, \dots, F_{j_p} M_p)$ is finitely generated. Therefore

$$\psi(\mathcal{C}_{p,d}(C; F_{j_1} M_1, \dots, F_{j_p} M_p)) = F_k N$$

for some $k \in K$.

It remains to prove that $\phi : \prod_{i=1}^p F_{j_i} M_i \rightarrow F_k N$ is continuous for the \mathfrak{c} -adic inv structures. But just like [8, Proposition 1.4.6], for any i and l one has

$$\phi(F_{j_1} M_1, \dots, \mathfrak{c}^{i+d} F_{j_i} M_i, \dots, F_{j_p} M_p) \subset \mathfrak{c}^i F_k N. \quad \square \tag{2.5}$$

Suppose C' is a commutative C -algebra with ideal $\mathfrak{c}' \subset C'$. One says that C' is \mathfrak{c}' -adically formally étale over C if the following condition holds. Let D be a commutative C -algebra with nilpotent ideal \mathfrak{d} , and let $f : C' \rightarrow D/\mathfrak{d}$ be a C -algebra homomorphism such that $f(\mathfrak{c}'^i) = 0$ for $i \gg 0$. Then f lifts uniquely to a C -algebra homomorphism $\tilde{f} : C' \rightarrow D$. The important instances are when $C \rightarrow C'$ is étale (and then $\mathfrak{c}' = 0$); and when C' is the \mathfrak{c} -adic completion of C for some ideal $\mathfrak{c} \subset A$ (and $\mathfrak{c}' = C'\mathfrak{c}$). In both these instances C' is \mathfrak{c} -adically complete; and if C is noetherian, then $C \rightarrow C'$ is also flat.

Lemma 2.6. *Let C' be a \mathfrak{c}' -adically formally étale C -algebra. Define $C'_j := C'/\mathfrak{c}'^{j+1}$. Consider C' and $\mathcal{C}_{p,d}(C)$ as inv C -modules, with the \mathfrak{c}' -adic and discrete inv structures respectively. Then the canonical homomorphism*

$$C' \widehat{\otimes}_C \mathcal{C}_{p,d}(C) \rightarrow \lim_{\leftarrow j} \mathcal{C}_{p,d}(C'_j)$$

is bijective.

Proof. Define ideals

$$\mathfrak{c}'_p := \text{Ker}(\mathcal{C}_p(C') \rightarrow \mathcal{C}_p(C'_0))$$

and

$$J := \text{Ker}(C'_j \otimes_C \mathcal{C}_{p,d}(C) \rightarrow C'_j).$$

By the transitivity and the base change properties of formally étale homomorphisms, the ring homomorphism

$$\mathcal{C}_p(C) \cong C \otimes \cdots \otimes C \rightarrow C' \otimes \cdots \otimes C' \cong \mathcal{C}_p(C')$$

is \mathfrak{c}'_p -adically formally étale. Consider the commutative diagram of ring homomorphisms (with solid arrows)

$$\begin{array}{ccccccc}
 C & \longrightarrow & \mathcal{C}_p(C) & \longrightarrow & C'_j \otimes_C \mathcal{C}_{p,d}(C) & \xrightarrow{e} & \mathcal{C}_{p,d}(C'_j) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 C' & \longrightarrow & \mathcal{C}_p(C') & \xrightarrow{f} & C'_j & \xrightarrow{=} & C'_j
 \end{array}$$

(Dashed arrows \tilde{f} and g complete the commutative diagram.)

The ideal J satisfies $J^{d+1} = 0$, and the ideal $\text{Ker}(\mathcal{C}_{p,d}(C'_j) \rightarrow C'_j)$ is nilpotent too. Due to the unique lifting property the dashed arrows exist and are unique, making the whole diagram commutative. Moreover $g : \mathcal{C}_p(C') \rightarrow \mathcal{C}_p(C'_j)$ has to be the canonical surjection, and \tilde{f} is surjective.

A little calculation shows that $\tilde{f}(I_p(C')^{d+1}) = 0$, and hence \tilde{f} induces a homomorphism

$$\tilde{f} : \mathcal{C}_{p,d}(C') \rightarrow C'_j \otimes_C \mathcal{C}_{p,d}(C).$$

Let

$$\mathfrak{c}'_{p,d} := \text{Ker}(\mathcal{C}_{p,d}(C') \rightarrow \mathcal{C}_{p,d}(C'_0)).$$

Another calculation shows that $\tilde{f}(\mathfrak{c}'_{p,d}^{(j+1)(d+1)}) = 0$. The conclusion is that there are surjections

$$\mathcal{C}_{p,d}(C'_{jd+j+d}) \xrightarrow{\tilde{f}} C'_j \otimes_C \mathcal{C}_{p,d}(C) \xrightarrow{e} \mathcal{C}_{p,d}(C'_j),$$

such that $e \circ \tilde{f}$ is the canonical surjection. Passing to the inverse limit we deduce that

$$C' \widehat{\otimes}_C \mathcal{C}_{p,d}(C) \rightarrow \varprojlim_j \mathcal{C}_{p,d}(C'_j)$$

is bijective. \square

Proposition 2.7. Assume C is a noetherian finitely generated \mathbb{K} -algebra, and C' is a noetherian, \mathfrak{c}' -adically complete, flat, \mathfrak{c}' -adically formally étale C -algebra. Let M_1, \dots, M_p, N be C -modules, and define $M'_i := C' \otimes_C M_i$ and $N' := C' \otimes_C N$.

- (1) Suppose $\phi : \prod_{i=1}^p M_i \rightarrow N$ is a poly differential operator over C . Then ϕ extends uniquely to a poly differential operator $\phi' : \prod_{i=1}^p M'_i \rightarrow N'$ over C' . If ϕ has order $\leq d$ then so does ϕ' .
- (2) The homomorphism

$$\begin{aligned} & C' \otimes_C \text{FdDiff}_{\text{poly}}(C; M_1, \dots, M_p; N) \\ & \rightarrow \text{FdDiff}_{\text{poly}}(C'; M'_1, \dots, M'_p; N'), \\ & c' \otimes \phi \mapsto c'\phi, \text{ is bijective.} \end{aligned}$$

Proof. By Proposition 2.4, applied to C with the 0-adic inv structure, we may assume that the C -modules M_1, \dots, M_p, N are finitely generated.

Fix $d \in \mathbb{N}$. Define $C'_j := C'/c'^{j+1}$ and $N'_j := C'_j \otimes_C N$. So $C' \cong \lim_{\leftarrow j} C'_j$ and $N' \cong \lim_{\leftarrow j} N'_j$.

By Lemma 2.2 and Proposition 2.4 we have

$$\begin{aligned} & \text{FdDiff}_{\text{poly}}(C'; M'_1, \dots, M'_p; N') \\ & \cong \text{Hom}_{C'}(\mathcal{C}_{p,d}(C'; M'_1, \dots, M'_p), N') \\ & \cong \lim_{\leftarrow j} \text{Hom}_{C'}(\mathcal{C}_{p,d}(C'_j; M'_1, \dots, M'_p), N'_j). \end{aligned} \tag{2.8}$$

Now for any $k \geq j + d$ one has

$$\text{Hom}_{C'}(\mathcal{C}_{p,d}(C'_j; M'_1, \dots, M'_p), N'_j) \cong \text{Hom}_{C'}(\mathcal{C}_{p,d}(C'_k; M'_1, \dots, M'_p), N'_j).$$

This is because of formula (2.5). Thus, using Lemma 2.6, we obtain

$$\begin{aligned} & \text{Hom}_{C'}(\mathcal{C}_{p,d}(C'_j; M'_1, \dots, M'_p), N'_j) \\ & \cong \text{Hom}_{C'}(\lim_{\leftarrow k} \mathcal{C}_{p,d}(C'_k; M'_1, \dots, M'_p), N'_j) \\ & \cong \text{Hom}_{C'}(C' \otimes_C \mathcal{C}_{p,d}(C; M_1, \dots, M_p), N'_j) \\ & \cong \text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N'_j). \end{aligned}$$

Combining this with (2.8) we get

$$\begin{aligned} & \text{FdDiff}_{\text{poly}}(C'; M'_1, \dots, M'_p; N') \\ & \cong \lim_{\leftarrow j} \text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N'_j) \\ & \cong \text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N'). \end{aligned}$$

But $C \rightarrow C'$ is flat, C is noetherian, and $\mathcal{C}_{p,d}(C; M_1, \dots, M_p)$ is a finitely generated C -module. Therefore

$$\begin{aligned} & \text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N') \\ & \cong C' \otimes_C \text{Hom}_C(\mathcal{C}_{p,d}(C; M_1, \dots, M_p), N). \end{aligned}$$

The conclusion is that

$$\begin{aligned} & \text{FmD}_{\text{poly}}^{p+1}(C'; M'_1, \dots, M'_p; N') \\ & \cong C' \otimes_C \text{FmD}_{\text{poly}}^{p+1}(C; M_1, \dots, M_p; N). \end{aligned} \tag{2.9}$$

Given $\phi : \prod M_i \rightarrow N$ of order $\leq d$, let $\phi' := 1 \otimes \phi$ under the isomorphism (2.9). Backtracking, we see that ϕ' is the unique poly differential operator extending ϕ . \square

3. L_∞ morphisms and their twists

In this section we expand some results on L_∞ algebras and morphisms from [5] Section 4. Much of the material presented here is based on discussions with Vladimir Hinich. There is some overlap with Section 2.2 of [3], with Section 6.1 of [6], and possibly with other accounts.

Let \mathbb{K} be a field of characteristic 0. Given a graded \mathbb{K} -module $\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}^i$ and a natural number j let $T^j \mathfrak{g} := \underbrace{\mathfrak{g} \otimes \cdots \otimes \mathfrak{g}}_j$. The direct sum $T\mathfrak{g} := \bigoplus_{j \in \mathbb{N}} T^j \mathfrak{g}$ is the tensor

algebra. Let us denote the multiplication in $T\mathfrak{g}$ by \circledast . (This is just another way of writing \otimes , but it will be convenient to do so.)

The permutation group \mathfrak{S}_j acts on $T^j \mathfrak{g}$ as follows. For any sequence of integers $\mathbf{d} = (d_1, \dots, d_j)$ there is a group homomorphism $\text{sgn}_{\mathbf{d}} : \mathfrak{S}_j \rightarrow \{\pm 1\}$ such that on a transposition $\sigma = (p, p + 1)$ the value is $\text{sgn}_{\mathbf{d}}(\sigma) = (-1)^{d_p d_{p+1}}$. The action of a permutation $\sigma \in \mathfrak{S}_j$ on $T^j \mathfrak{g}$ is then

$$\sigma(\gamma_1 \circledast \cdots \circledast \gamma_j) := \text{sgn}_{\mathbf{d}}(\sigma) \gamma_{\sigma(1)} \circledast \cdots \circledast \gamma_{\sigma(j)}$$

for $\gamma_1 \in \mathfrak{g}^{d_1}, \dots, \gamma_j \in \mathfrak{g}^{d_j}$. Define $\tilde{S}^j \mathfrak{g}$ to be the set of \mathfrak{S}_j -invariants inside $T^j \mathfrak{g}$, and $\tilde{S}\mathfrak{g} := \bigoplus_{j \geq 0} \tilde{S}^j \mathfrak{g}$.

The \mathbb{K} -module $T\mathfrak{g}$ is also a coalgebra, with coproduct $\tilde{\Delta} : T\mathfrak{g} \rightarrow T\mathfrak{g} \otimes T\mathfrak{g}$ given by the formula

$$\tilde{\Delta}(\gamma_1 \circledast \cdots \circledast \gamma_j) := \sum_{p=0}^j (\gamma_1 \circledast \cdots \circledast \gamma_p) \otimes (\gamma_{p+1} \circledast \cdots \circledast \gamma_j).$$

The submodule $\tilde{S}\mathfrak{g} \subset T\mathfrak{g}$ is a sub-coalgebra (but not a subalgebra!).

The super-symmetric algebra $S\mathfrak{g} = \bigoplus_{j \geq 0} S^j \mathfrak{g}$ is defined to be the quotient of $T\mathfrak{g}$ by the ideal generated by the elements $\gamma_1 \circledast \gamma_2 - (-1)^{d_1 d_2} \gamma_2 \circledast \gamma_1$, for all $\gamma_1 \in \mathfrak{g}^{d_1}$ and $\gamma_2 \in \mathfrak{g}^{d_2}$. In other words, $S^j \mathfrak{g}$ is the set of coinvariants of $T^j \mathfrak{g}$ under the action of the group \mathfrak{S}_j . The product in the algebra $S\mathfrak{g}$ is denoted by \cdot . The canonical projection is $\pi : T\mathfrak{g} \rightarrow S\mathfrak{g}$ is an algebra homomorphism: $\pi(\gamma_1 \circledast \gamma_2) = \gamma_1 \cdot \gamma_2$.

In fact $S\mathfrak{g}$ is a commutative cocommutative Hopf algebra. The comultiplication

$$\Delta : S\mathfrak{g} \rightarrow S\mathfrak{g} \otimes S\mathfrak{g}$$

is the unique \mathbb{K} -algebra homomorphism such that

$$\Delta(\gamma) = \gamma \otimes 1 + 1 \otimes \gamma$$

for all $\gamma \in \mathfrak{g}$. The antipode is $\gamma \mapsto -\gamma$. The projection $\pi : T\mathfrak{g} \rightarrow S\mathfrak{g}$ is not a coalgebra homomorphism. However:

Lemma 3.1. Let $\tau : \mathbf{Sg} \rightarrow \mathbf{Tg}$ be the \mathbb{K} -module homomorphism defined by

$$\tau(\gamma_1 \cdots \gamma_j) := \sum_{\sigma \in \mathfrak{S}_j} \text{sgn}_{(d_1, \dots, d_j)}(\sigma) \gamma_{\sigma(1)} \otimes \cdots \otimes \gamma_{\sigma(j)}$$

for $\gamma_1 \in \mathfrak{g}^{d_1}, \dots, \gamma_j \in \mathfrak{g}^{d_j}$. Then $\tau : \mathbf{Sg} \rightarrow \tilde{\mathbf{Sg}}$ is a coalgebra isomorphism, where \mathbf{Sg} has the comultiplication Δ and $\tilde{\mathbf{Sg}}$ has the comultiplication $\tilde{\Delta}$.

Proof. Define $\tilde{\pi} : \mathbf{Tg} \rightarrow \mathbf{Sg}$ to be the \mathbb{K} -module homomorphism

$$\tilde{\pi}(\gamma_1 \otimes \cdots \otimes \gamma_j) := \frac{1}{j!} \pi(\gamma_1 \otimes \cdots \otimes \gamma_j) = \frac{1}{j!} \gamma_1 \cdots \gamma_j$$

for $\gamma_1, \dots, \gamma_j \in \mathfrak{g}$. So $\tilde{\pi} \circ \tau$ is the identity map of \mathbf{Sg} , and $\tilde{\pi} : \tilde{\mathbf{Sg}} \rightarrow \mathbf{Sg}$ is bijective. It suffices to prove that

$$(\tilde{\pi} \otimes \tilde{\pi}) \circ (\tau \otimes \tau) \circ \Delta = (\tilde{\pi} \otimes \tilde{\pi}) \circ \tilde{\Delta} \circ \tau.$$

Take any $\gamma_1 \in \mathfrak{g}^{d_1}, \dots, \gamma_j \in \mathfrak{g}^{d_j}$ and write $\mathbf{d} := (d_1, \dots, d_j)$. Then

$$\begin{aligned} & ((\tilde{\pi} \otimes \tilde{\pi}) \circ \tilde{\Delta} \circ \tau)(\gamma_1 \cdots \gamma_j) \\ &= \sum_{p=0}^j \sum_{\sigma \in \mathfrak{S}_j} \frac{1}{p!} \frac{1}{(j-p)!} \text{sgn}_{\mathbf{d}}(\sigma) (\gamma_{\sigma(1)} \cdots \gamma_{\sigma(p)}) \otimes (\gamma_{\sigma(p+1)} \cdots \gamma_{\sigma(j)}). \end{aligned}$$

On the other hand

$$\begin{aligned} & ((\tilde{\pi} \otimes \tilde{\pi}) \circ (\tau \otimes \tau) \circ \Delta)(\gamma_1 \cdots \gamma_j) \\ &= \Delta(\gamma_1 \cdots \gamma_j) = (1 \otimes \gamma_1 + \gamma_1 \otimes 1) \cdots (1 \otimes \gamma_j + \gamma_j \otimes 1) \\ &\quad \times \sum_{p=0}^j \sum_{\sigma \in \mathfrak{S}_{p, j-p}} \text{sgn}_{\mathbf{d}}(\sigma) (\gamma_{\sigma(1)} \cdots \gamma_{\sigma(p)}) \otimes (\gamma_{\sigma(p+1)} \cdots \gamma_{\sigma(j)}), \end{aligned}$$

where $\mathfrak{S}_{p, j-p}$ is the set of $(p, j-p)$ -shuffles inside the group \mathfrak{S}_j . Since the algebra \mathbf{Sg} is super-commutative the two sums are equal. \square

The grading on \mathfrak{g} induces a grading on \mathbf{Sg} , which we call the *degree*. Thus for $\gamma_i \in \mathfrak{g}^{d_i}$ the degree of $\gamma_1 \cdots \gamma_j \in S^j \mathfrak{g}$ is $d_1 + \cdots + d_j$ (unless $\gamma_1 \cdots \gamma_j = 0$). We consider \mathbf{Sg} as a graded algebra for this grading. Actually there is another grading on \mathbf{Sg} , by *order*, where we define the order of $\gamma_1 \cdots \gamma_j$ to be j (again, unless this element is zero). But this grading will have a different role.

By definition the j -th super-exterior power of \mathfrak{g} is

$$\bigwedge^j \mathfrak{g} := S^j(\mathfrak{g}[1])[-j], \tag{3.2}$$

where $\mathfrak{g}[1]$ is the shifted graded module whose degree i component is $\mathfrak{g}[1]^i = \mathfrak{g}^{i+1}$. When \mathfrak{g} is concentrated in degree 0 then these are the usual constructions of symmetric and exterior algebras, respectively.

We denote by $\ln : \mathbf{Sg} \rightarrow S^1 \mathfrak{g} = \mathfrak{g}$ the projection. So $\ln(\gamma)$ is the first order term of $\gamma \in \mathbf{Sg}$. (The expression “ \ln ” might stand for “linear” or “logarithm”.)

Definition 3.3. Let \mathfrak{g} and \mathfrak{g}' be two graded \mathbb{K} -modules, and let $\Psi : S\mathfrak{g} \rightarrow S\mathfrak{g}'$ be a \mathbb{K} -linear homomorphism. For any $j \geq 1$ the j -th Taylor coefficient of Ψ is defined to be

$$\partial^j \Psi := \text{In} \circ \Psi : S^j \mathfrak{g} \rightarrow \mathfrak{g}'.$$

We say Ψ is colocal if $\Psi(S^{\geq 1} \mathfrak{g}) \subset S^{\geq 1} \mathfrak{g}'$ and $\Psi(S^0 \mathfrak{g}) \subset S^0 \mathfrak{g}'$.

Lemma 3.4. Suppose we are given a sequence of \mathbb{K} -linear homomorphisms $\psi_j : S^j \mathfrak{g} \rightarrow \mathfrak{g}'$, $j \geq 1$, each of degree 0. Then there is a unique colocal coalgebra homomorphism $\Psi : S\mathfrak{g} \rightarrow S\mathfrak{g}'$, homogeneous of degree 0 and satisfying $\Psi(1) = 1$, whose Taylor coefficients are $\partial^j \Psi = \psi_j$.

Proof. Let $\tilde{\text{In}} : \tilde{S}\mathfrak{g}' \rightarrow \tilde{S}^1 \mathfrak{g}' = \mathfrak{g}'$ be the projection for this coalgebra. Consider the exact sequence of coalgebras

$$0 \rightarrow \mathbb{K} \rightarrow \tilde{S}\mathfrak{g} \rightarrow \tilde{S}^{\geq 1} \mathfrak{g} \rightarrow 0. \tag{3.5}$$

According to Kontsevich [5, Section 4.1] (see also [3, Lemma 2.1.5]) the sequence $\{\psi_j\}_{j \geq 1}$ uniquely determines a coalgebra homomorphism $\tilde{\Psi} : \tilde{S}^{\geq 1} \mathfrak{g} \rightarrow \tilde{S}^{\geq 1} \mathfrak{g}'$ such that

$$\tilde{\text{In}} \circ \tilde{\Psi}|_{\tilde{S}^j \mathfrak{g}} = \psi_j \circ \tau^{-1}|_{\tilde{S}^j \mathfrak{g}}$$

for all $j \geq 1$. Here $\tau : S\mathfrak{g} \xrightarrow{\cong} \tilde{S}\mathfrak{g}$ is the coalgebra isomorphism of Lemma 3.1. Using (3.5) we can lift $\tilde{\Psi}$ uniquely to a colocal coalgebra homomorphism $\tilde{\Psi} : \tilde{S}\mathfrak{g} \rightarrow \tilde{S}\mathfrak{g}'$ by setting $\tilde{\Psi}(1) := 1$. Now define the coalgebra homomorphism $\Psi : S\mathfrak{g} \rightarrow S\mathfrak{g}'$ to be $\Psi := \tau^{-1} \circ \tilde{\Psi} \circ \tau$. \square

A \mathbb{K} -linear map $Q : S\mathfrak{g} \rightarrow S\mathfrak{g}$ is a coderivation if

$$\Delta \circ Q = (Q \otimes \mathbf{1} + \mathbf{1} \otimes Q) \circ \Delta,$$

where $\mathbf{1} := \mathbf{1}_{S\mathfrak{g}}$, the identity map.

Lemma 3.6. Given a sequence of \mathbb{K} -linear homomorphisms $\psi_j : S^j \mathfrak{g} \rightarrow \mathfrak{g}$, $j \geq 1$, each of degree 1, there is a unique colocal coderivation Q of degree 1, such that $Q(1) = 0$ and $\partial^j Q = \psi_j$.

Proof. According to Kontsevich [5, Section 4.3] (see also [3, Lemma 2.1.2]) the sequence $\{\psi_j\}_{j \geq 1}$ uniquely determines a coderivation $\tilde{Q} : \tilde{S}^{\geq 1} \mathfrak{g} \rightarrow \tilde{S}^{\geq 1} \mathfrak{g}$ such that

$$\tilde{\text{In}} \circ \tilde{Q}|_{\tilde{S}^j \mathfrak{g}} = \psi_j \circ \tau^{-1}|_{\tilde{S}^j \mathfrak{g}}$$

for all $j \geq 1$. Using (3.5) this can be lifted uniquely to a colocal coderivation $\tilde{Q} : \tilde{S}\mathfrak{g} \rightarrow \tilde{S}\mathfrak{g}$ by setting $\tilde{Q}(1) := 0$. Now define the coderivation $Q : S\mathfrak{g} \rightarrow S\mathfrak{g}$ to be $Q := \tau^{-1} \circ \tilde{Q} \circ \tau$. \square

We will be mostly interested in the coalgebras $S(\mathfrak{g}[1])$ and $S(\mathfrak{g}'[1])$. Observe that if $\Psi : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}'[1])$ is a homogeneous \mathbb{K} -linear homomorphism of degree i , then, using formula (3.2), each Taylor coefficient $\partial^j \Psi$ may be viewed as a homogeneous \mathbb{K} -linear homomorphism $\partial^j \Psi : \wedge^j \mathfrak{g} \rightarrow \mathfrak{g}$ of degree $i + 1 - j$.

Definition 3.7. Let \mathfrak{g} be a graded \mathbb{K} -module. An L_∞ algebra structure on \mathfrak{g} is a colocal coderivation $Q : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}[1])$ of degree 1, satisfying $Q(1) = 0$ and $Q \circ Q = 0$. We call the pair (\mathfrak{g}, Q) an L_∞ algebra.

The notion of L_∞ algebra generalizes that of DG Lie algebra in the following sense:

Proposition 3.8 ([5, Section 4.3]). Let $Q : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}[1])$ be a colocal coderivation of degree 1 with $Q(1) = 0$. Then the following conditions are equivalent.

- (i) $\partial^j Q = 0$ for all $j \geq 3$, and $Q \circ Q = 0$.
- (ii) $\partial^j Q = 0$ for all $j \geq 3$, and \mathfrak{g} is a DG Lie algebra with respect to the differential $d := \partial^1 Q$ and the bracket $[-, -] := \partial^2 Q$.

In view of this, we shall say that (\mathfrak{g}, Q) is a DG Lie algebra if the equivalent conditions of the proposition hold. An easy calculation shows that given an L_∞ algebra (\mathfrak{g}, Q) , the function $\partial^1 Q : \mathfrak{g} \rightarrow \mathfrak{g}$ is a differential, and $\partial^2 Q$ induces a graded Lie bracket on $H(\mathfrak{g}, \partial^1 Q)$. We shall denote this graded Lie algebra by $H(\mathfrak{g}, Q)$.

Definition 3.9. Let (\mathfrak{g}, Q) and (\mathfrak{g}', Q') be L_∞ algebras. An L_∞ morphism $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$ is a colocal coalgebra homomorphism $\Psi : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}'[1])$ of degree 0, satisfying $\Psi(1) = 1$ and $\Psi \circ Q = Q' \circ \Psi$.

Proposition 3.10 ([5, Section 4.3]). Let (\mathfrak{g}, Q) and (\mathfrak{g}', Q') be DG Lie algebras, and let $\Psi : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}'[1])$ be a colocal coalgebra homomorphism of degree 0 such that $\Psi(1) = 1$. Then Ψ is an L_∞ morphism (i.e. $\Psi \circ Q = Q' \circ \Psi$) iff the Taylor coefficients $\psi_i := \partial^i \Psi : \bigwedge^i \mathfrak{g} \rightarrow \mathfrak{g}'$ satisfy the following identity:

$$\begin{aligned} & d(\psi_i(\gamma_1 \wedge \cdots \wedge \gamma_i)) - \sum_{k=1}^i \pm \psi_i(\gamma_1 \wedge \cdots \wedge d(\gamma_k) \wedge \cdots \wedge \gamma_i) \\ &= \frac{1}{2} \sum_{\substack{k,l \geq 1 \\ k+l=i}} \frac{1}{k!l!} \sum_{\sigma \in \mathfrak{S}_i} \pm [\psi_k(\gamma_{\sigma(1)} \wedge \cdots \wedge \gamma_{\sigma(k)}), \psi_l(\gamma_{\sigma(k+1)} \wedge \cdots \wedge \gamma_{\sigma(i)})] \\ &+ \sum_{k < l} \pm \psi_{i-1}([\gamma_k, \gamma_l] \wedge \gamma_1 \wedge \cdots \wedge \check{\gamma}_k \cdots \check{\gamma}_l \cdots \wedge \gamma_i). \end{aligned}$$

Here $\gamma_k \in \mathfrak{g}$ are homogeneous elements, \mathfrak{S}_i is the permutation group of $\{1, \dots, i\}$, and the signs depend only on the indices, the permutations and the degrees of the elements γ_k . (See [4, Section 6] or [1, Theorem 3.1] for the explicit signs.)

The proposition shows that when (\mathfrak{g}, Q) and (\mathfrak{g}', Q') are DG Lie algebras and $\partial^j \Psi = 0$ for all $j \geq 2$, then $\partial^1 \Psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ is a homomorphism of DG Lie algebras; and conversely. It also implies that for any L_∞ morphism $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$, the map $H(\Psi) : H(\mathfrak{g}, Q) \rightarrow H(\mathfrak{g}', Q')$ is a homomorphism of graded Lie algebras.

Given DG Lie algebras \mathfrak{g} and \mathfrak{g}' we consider them as L_∞ algebras (\mathfrak{g}, Q) and (\mathfrak{g}', Q') , as explained in Proposition 3.8. If $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$ is an L_∞ morphism, then we shall say (by slight abuse of notation) that $\Psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ is an L_∞ morphism.

From here until Theorem 3.21 (inclusive) C is a commutative \mathbb{K} -algebra, and $\mathfrak{g}, \mathfrak{g}'$ are graded C -modules. Suppose (\mathfrak{g}, Q) is an L_∞ algebra structure on \mathfrak{g} such that the Taylor

coefficients $\partial^j Q : \bigwedge^j \mathfrak{g} \rightarrow \mathfrak{g}$ are all C -multilinear. Then we say (\mathfrak{g}, Q) is a C -multilinear L_∞ algebra. Similarly one defines the notion of C -multilinear L_∞ morphism $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$.

With C and \mathfrak{g} as above let $S_C \mathfrak{g}$ be the super-symmetric associative unital free algebra over C . Namely $S_C \mathfrak{g}$ is the quotient of the tensor algebra $T_C \mathfrak{g} = C \oplus \mathfrak{g} \oplus (\mathfrak{g} \otimes_C \mathfrak{g}) \oplus \dots$ by the ideal generated by the super-commutativity relations. The algebra $S_C \mathfrak{g}$ is a Hopf algebra over C , with comultiplication

$$\Delta_C : S_C \mathfrak{g} \rightarrow S_C \mathfrak{g} \otimes_C S_C \mathfrak{g}.$$

The formulas are just as in the case $C = \mathbb{K}$. It will be useful to note that Δ_C preserves the grading by order, namely

$$\Delta_C(S_C^i \mathfrak{g}) \subset \bigoplus_{j+k=i} S_C^j \mathfrak{g} \otimes_C S_C^k \mathfrak{g}.$$

Lemma 3.11. (1) Let \mathfrak{g} be a graded C -module. There is a canonical bijection $Q \mapsto Q_C$ between the set of C -multilinear L_∞ algebra structures Q on \mathfrak{g} , and the set of colocal coderivations $Q_C : S_C(\mathfrak{g}[1]) \rightarrow S_C(\mathfrak{g}[1])$ over C of degree 1, such that $Q_C(1) = 0$ and $Q_C \circ Q_C = 0$.

(2) Let (\mathfrak{g}, Q) and (\mathfrak{g}', Q') be two C -multilinear L_∞ algebras. The set of C -multilinear L_∞ morphisms $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$ is canonically bijective to the set of colocal coalgebra homomorphisms $\Psi_C : S_C(\mathfrak{g}[1]) \rightarrow S_C(\mathfrak{g}'[1])$ over C of degree 0, such that $\Psi_C(1) = 1$ and $\Psi_C \circ Q_C = Q'_C \circ \Psi_C$.

Proof. The data for a coderivation $Q_C : S_C(\mathfrak{g}[1]) \rightarrow S_C(\mathfrak{g}[1])$ over C is its sequence of C -linear Taylor coefficients $\partial^j Q_C : \bigwedge^j \mathfrak{g} \rightarrow \mathfrak{g}$. But giving such a homomorphism $\partial^j Q_C$ is the same as giving a C -multilinear homomorphism $\partial^j Q : \bigwedge^j \mathfrak{g} \rightarrow \mathfrak{g}$, so there is a corresponding C -multilinear coderivation $Q : S(\mathfrak{g}[1]) \rightarrow S(\mathfrak{g}[1])$. One checks that $Q \circ Q = 0$ iff $Q_C \circ Q_C = 0$.

Similarly for coalgebra homomorphisms. \square

An element $\gamma \in S_C(\mathfrak{g}[1])$ is called *primitive* if $\Delta_C(\gamma) = \gamma \otimes 1 + 1 \otimes \gamma$.

Lemma 3.12. The set of primitive elements of $S_C(\mathfrak{g}[1])$ is precisely $S_C^1(\mathfrak{g}[1]) = \mathfrak{g}[1]$.

Proof. By definition of the comultiplication any $\gamma \in \mathfrak{g}[1]$ is primitive. For the converse, let us denote by μ the multiplication in $S_C(\mathfrak{g}[1])$. One checks that $(\mu \circ \Delta_C)(\gamma) = 2^i \gamma$ for $\gamma \in S_C^i(\mathfrak{g}[1])$. If γ is primitive then $(\mu \circ \Delta_C)(\gamma) = 2\gamma$, so indeed $\gamma \in S_C^1(\mathfrak{g}[1])$. \square

Now let us assume that C is a local ring, with nilpotent maximal ideal \mathfrak{m} . Suppose we are given two C -multilinear L_∞ algebras (\mathfrak{g}, Q) and (\mathfrak{g}', Q') , and a C -multilinear L_∞ morphism $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$. Because the coderivation Q is C -multilinear, the C -submodule $\mathfrak{m}\mathfrak{g} \subset \mathfrak{g}$ becomes a C -multilinear L_∞ algebra $(\mathfrak{m}\mathfrak{g}, Q)$. Likewise for $\mathfrak{m}\mathfrak{g}'$, and $\Psi : (\mathfrak{m}\mathfrak{g}, Q) \rightarrow (\mathfrak{m}\mathfrak{g}', Q')$ is a C -multilinear L_∞ morphism.

The fact that \mathfrak{m} is nilpotent is essential for the next definition.

Definition 3.13. The Maurer–Cartan equation in $(\mathfrak{m}\mathfrak{g}, Q)$ is

$$\sum_{i=1}^{\infty} \frac{1}{i!} (\partial^i Q)(\omega^i) = 0$$

for $\omega \in (\mathfrak{m}\mathfrak{g})^1 = (\mathfrak{m}\mathfrak{g}[1])^0$.

An element $e \in S_C(\mathfrak{g}[1])$ is called *group-like* if $\Delta_C(e) = e \otimes e$. For $\omega \in \mathfrak{m}\mathfrak{g}^1$ we define

$$\exp(\omega) := \sum_{i \geq 0} \frac{1}{i!} \omega^i \in S_C(\mathfrak{g}[1]).$$

Lemma 3.14. The function \exp is a bijection from $\mathfrak{m}\mathfrak{g}[1]$ to the set of invertible group-like elements $e \in S_C(\mathfrak{g}[1])$ such that $\ln(e) \in \mathfrak{m}\mathfrak{g}[1]$. The inverse of \exp is \ln .

Proof. Let $\omega \in \mathfrak{m}\mathfrak{g}[1]$ and $e := \exp(\omega)$. The element e is invertible, with inverse $\exp(-\omega)$. Using the fact that $\Delta_C(\omega) = \omega \otimes 1 + 1 \otimes \omega$ it easily follows that $\Delta_C(e) = e \otimes e$. And trivially $\ln(e) = \omega$.

For the opposite direction, let e be invertible and group-like, and assume $\ln(e) \in \mathfrak{m}\mathfrak{g}[1]$. Write it as $e = \sum_i \gamma_i$, with $\gamma_i \in S_C^i(\mathfrak{g}[1])$. The equation $\Delta_C(e) = e \otimes e$ implies that

$$\Delta_C(\gamma_i) = \sum_{j+k=i} \gamma_j \otimes \gamma_k$$

for all i . Hence

$$2^i \gamma_i = \mu(\Delta_C(\gamma_i)) = \sum_{j+k=i} \gamma_j \gamma_k. \quad (3.15)$$

For $i = 0$ we get $\gamma_0 = \gamma_0^2$, and since γ_0 is invertible, it follows that $\gamma_0 = 1$. Let $\omega := \gamma_1 = \ln(e) \in \mathfrak{m}S_C^1(\mathfrak{g}[1]) = \mathfrak{m}\mathfrak{g}[1]$. Using induction and Eq. (3.15) we see that $\gamma_i = \frac{1}{i!} \omega^i$ for all i . Thus $e = \exp(\omega)$. \square

Lemma 3.16. Let $\omega \in (\mathfrak{m}\mathfrak{g}[1])^0 = \mathfrak{m}\mathfrak{g}^1$ and $e := \exp(\omega)$. Then ω is a solution of the MC equation iff $Q(e) = 0$.

Proof. Since e is group-like and invertible (by Lemma 3.14) we have

$$\Delta_C(Q(e)) = Q(e) \otimes e + e \otimes Q(e)$$

and

$$\Delta_C(e^{-1}Q(e)) = \Delta_C(e)^{-1} \Delta_C(Q(e)) = e^{-1}Q(e) \otimes 1 + 1 \otimes e^{-1}Q(e).$$

So the element $e^{-1}Q(e)$ is primitive, and by Lemma 3.12 we get $e^{-1}Q(e) \in \mathfrak{g}[1]$. On the other hand hence $Q(e)$ has no 0-order term, and $Q(1) = 0$. Thus in the first order term we

get

$$\begin{aligned}
 e^{-1}Q(e) &= \ln\left(e^{-1}Q(e)\right) \\
 &= \ln\left(\left(1 - \omega + \frac{1}{2}\omega^2 \pm \dots\right)Q(e)\right) \\
 &= \ln(Q(e)) \\
 &= \sum_{i=0}^{\infty} \frac{1}{i!} \ln\left(Q(\omega^i)\right) \\
 &= \sum_{i=1}^{\infty} \frac{1}{i!} (\partial^i Q)(\omega^i).
 \end{aligned}
 \tag{3.17}$$

Since e is invertible we are done. \square

Lemma 3.18. *Given an element $\omega \in \mathfrak{mg}[1]$, define $\omega' := \sum_{i=1}^{\infty} \frac{1}{i!} (\partial^i \Psi)(\omega^i) \in \mathfrak{mg}'[1]$, $e := \exp(\omega)$ and $e' := \exp(\omega')$. Then $e' = \Psi(e)$.*

Proof. From Lemma 3.14 we see that $\Delta_C(e) = e \otimes e$, and therefore also $\Delta_C(\Psi(e)) = \Psi(e) \otimes \Psi(e) \in S_C(\mathfrak{g}'[1])$. Since Ψ is C -linear and $\Psi(1) = 1$ we get $\Psi(e) \in 1 + \mathfrak{mS}(\mathfrak{g}'[1])$. Thus $\Psi(e)$ is group-like and invertible. According to Lemma 3.14 it suffices to prove that $\ln(e') = \ln(\Psi(e))$. Now $\ln(e') = \omega'$ by definition. Since $\Psi(1) = 1$ and $\ln(1) = 0$ it follows that

$$\ln(\Psi(e)) = \ln\left(\Psi\left(\sum_{i=0}^{\infty} \frac{1}{i!} \omega^i\right)\right) = \sum_{i=0}^{\infty} \frac{1}{i!} \ln(\Psi(\omega^i)) = \sum_{i=1}^{\infty} \frac{1}{i!} (\partial^i \Psi)(\omega^i) = \omega'. \quad \square$$

Proposition 3.19. *Suppose $\omega \in \mathfrak{mg}^1$ is a solution of the MC equation in (\mathfrak{mg}, Q) . Define $\omega' := \sum_{i=1}^{\infty} \frac{1}{i!} (\partial^i \Psi)(\omega^i) \in \mathfrak{mg}'^1$. Then ω' is a solution of the MC equation in (\mathfrak{mg}', Q') .*

Proof. Let $e := \exp(\omega)$ and $e' := \exp(\omega')$. By Lemma 3.16 we get $Q(e) = 0$. Hence $Q'(\Psi(e)) = \Psi(Q(e)) = 0$. According to Lemma 3.18 we have $\Psi(e) = e'$, so $Q'(e') = 0$. Again by Lemma 3.16 we deduce that ω' solves the MC equation. \square

Definition 3.20. Let $\omega \in \mathfrak{mg}^1$.

(1) The colocal coderivation Q_ω of $S_C(\mathfrak{g}[1])$ over C , with $Q_\omega(1) := 0$ and with Taylor coefficients

$$(\partial^i Q_\omega)(\gamma) := \sum_{j \geq 0} \frac{1}{j!} (\partial^{i+j} Q)(\omega^j \gamma)$$

for $i \geq 1$ and $\gamma \in S_C^i(\mathfrak{g}[1])$, is called the *twist of Q by ω* .

(2) The colocal coalgebra homomorphism $\Psi_\omega : S_C(\mathfrak{g}[1]) \rightarrow S_C(\mathfrak{g}'[1])$ over C , with $\Psi_\omega(1) := 1$ and Taylor coefficients

$$(\partial^i \Psi_\omega)(\gamma) := \sum_{j \geq 0} \frac{1}{j!} (\partial^{i+j} \Psi)(\omega^j \gamma)$$

for $i \geq 1$ and $\gamma \in S_C^i(\mathfrak{g}[1])$, is called the *twist of Ψ by ω* .

Theorem 3.21. *Let C be a commutative local \mathbb{K} -algebra with nilpotent maximal ideal \mathfrak{m} . Let (\mathfrak{g}, Q) and (\mathfrak{g}', Q') be C -multilinear L_∞ algebras and $\Psi : (\mathfrak{g}, Q) \rightarrow (\mathfrak{g}', Q')$ a C -multilinear L_∞ morphism. Suppose $\omega \in \mathfrak{m}\mathfrak{g}^1$ a solution of the MC equation in $(\mathfrak{m}\mathfrak{g}, Q)$. Define*

$$\omega' := \sum_{i=1}^{\infty} \frac{1}{j!} (\partial^j \Psi)(\omega^j) \in \mathfrak{m}\mathfrak{g}'^1.$$

Then (\mathfrak{g}, Q_ω) and $(\mathfrak{g}', Q'_{\omega'})$ are L_∞ algebras, and

$$\Psi_\omega : (\mathfrak{g}, Q_\omega) \rightarrow (\mathfrak{g}', Q'_{\omega'})$$

is an L_∞ morphism.

Proof. Let $e := \exp(\omega)$. Define $\Phi_e : S_C(\mathfrak{g}[1]) \rightarrow S_C(\mathfrak{g}[1])$ to be $\Phi_e(\gamma) := e\gamma$. Since e is group-like and invertible it follows that Φ_e is a coalgebra automorphism. Therefore $\tilde{Q}_\omega := \Phi_e^{-1} \circ Q \circ \Phi_e$ is a degree 1 colocal coderivation of $S_C(\mathfrak{g}[1])$, satisfying $\tilde{Q}_\omega \circ \tilde{Q}_\omega = 0$ and $\tilde{Q}_\omega(1) = e^{-1}Q(e) = 0$; cf. Lemma 3.16. So $(\mathfrak{g}, \tilde{Q}_\omega)$ is an L_∞ algebra. Likewise we have a coalgebra automorphism $\Phi_{e'}$ and a coderivation $\tilde{Q}'_{\omega'} := \Phi_{e'}^{-1} \circ Q' \circ \Phi_{e'}$ of $S_C(\mathfrak{g}'[1])$, where $e' := \exp(\omega')$. The degree 0 colocal coalgebra homomorphism $\tilde{\Psi}_\omega := \Phi_{e'}^{-1} \circ \Psi \circ \Phi_e$ satisfies $\tilde{\Psi}_\omega \circ \tilde{Q}_\omega = \tilde{Q}'_{\omega'} \circ \tilde{\Psi}_\omega$, and also $\tilde{\Psi}_\omega(1) = e'^{-1}\Psi(e) = e'^{-1}e' = 1$, by Lemma 3.18. Hence we have an L_∞ morphism $\tilde{\Psi}_\omega : (\mathfrak{g}, \tilde{Q}_\omega) \rightarrow (\mathfrak{g}', \tilde{Q}'_{\omega'})$.

Let us calculate the Taylor coefficients of \tilde{Q}_ω . For $\gamma \in S_C^i(\mathfrak{g}[1])$ one has

$$(\partial^i \tilde{Q}_\omega)(\gamma) = \ln(\tilde{Q}_\omega(\gamma)) = \ln(e^{-1}Q(e\gamma)).$$

But just as in (3.17), since $Q(e\gamma)$ has no zero order term, we obtain

$$\ln(e^{-1}Q(e\gamma)) = \ln(Q(e\gamma)).$$

And

$$\begin{aligned} \ln(Q(e\gamma)) &= \ln\left(Q\left(\sum_{j \geq 0} \frac{1}{j!} \omega^j \gamma\right)\right) \\ &= \sum_{j \geq 0} \frac{1}{j!} \ln(Q(\omega^j \gamma)) \\ &= \sum_{j \geq 0} \frac{1}{j!} (\partial^{i+j} Q)(\omega^j \gamma) \\ &= (\partial^i Q_\omega)(\gamma). \end{aligned} \tag{3.22}$$

Therefore $\tilde{Q}_\omega = Q_\omega$. Similarly we see that $\tilde{Q}'_{\omega'} = Q'_{\omega'}$ and $\tilde{\Psi}_\omega = \Psi_\omega$. \square

Remark 3.23. The formulation of Theorem 3.21, as well as the idea for the proof, were suggested by Vladimir Hinich. An analogous result, for A_∞ algebras, is in [6, Section 6.1].

If (\mathfrak{g}, Q) is a DG Lie algebra then the sum occurring in Definition 3.20(1) is finite, so the coderivation Q_ω can be defined without a nilpotence assumption on the coefficients.

Lemma 3.24. *Let (\mathfrak{g}, Q) be a DG Lie algebra, and let $\omega \in \mathfrak{g}^1$ be a solution of the MC equation. Then the L_∞ algebra (\mathfrak{g}, Q_ω) is also a DG Lie algebra. In fact, for $\gamma_i \in \mathfrak{g}$ one has*

$$\begin{aligned} (\partial^1 Q_\omega)(\gamma_1) &= (\partial^1 Q)(\gamma_1) + (\partial^2 Q)(\omega\gamma_1) = d(\gamma_1) + [\omega, \gamma_1] = (d + \text{ad}(\omega))(\gamma_1), \\ (\partial^2 Q_\omega)(\gamma_1\gamma_2) &= (\partial^2 Q)(\gamma_1\gamma_2) = [\gamma_1, \gamma_2], \end{aligned}$$

and $\partial^j Q_\omega = 0$ for $j \geq 3$.

Proof. Like Eq. (3.22), with $C := \mathbb{K}$ and $e := 1$. \square

In the situation of the lemma, the twisted DG Lie algebra (\mathfrak{g}, Q_ω) will usually be denoted by \mathfrak{g}_ω .

Let A be a super-commutative associative unital DG algebra in $\text{Dir Inv Mod } \mathbb{K}$. The notion of DG A -module Lie algebra in $\text{Dir Inv Mod } \mathbb{K}$ was introduced in Definition 1.20.

Definition 3.25. Let A be a super-commutative associative unital DG algebra in $\text{Dir Inv Mod } \mathbb{K}$, let \mathfrak{g} and \mathfrak{g}' be DG A -module Lie algebras in $\text{Dir Inv Mod } \mathbb{K}$, and let $\Psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ be an L_∞ morphism.

(1) If each Taylor coefficient $\partial^j \Psi : \prod^j \mathfrak{g} \rightarrow \mathfrak{g}'$ is continuous then we say that Ψ is a continuous L_∞ morphism.

(2) Assume each Taylor coefficient $\partial^j \Psi : \prod^j \mathfrak{g} \rightarrow \mathfrak{g}'$ is A -multilinear, i.e.

$$(\partial^j \Psi)(a_1\gamma_1, \dots, a_j\gamma_j) = \pm a_1 \cdots a_j \cdot (\partial^j \Psi)(\gamma_1, \dots, \gamma_j)$$

for all homogeneous elements $a_k \in A$ and $\gamma_k \in \mathfrak{g}$, with sign according to the Koszul rule, then we say that Ψ is an A -multilinear L_∞ morphism.

Proposition 3.26. *Let A and B be super-commutative associative unital DG algebras in $\text{Dir Inv Mod } \mathbb{K}$, and let \mathfrak{g} and \mathfrak{g}' be DG A -module Lie algebras in $\text{Dir Inv Mod } \mathbb{K}$. Suppose $A \rightarrow B$ is a continuous DG algebra homomorphism, and $\Psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ is a continuous A -multilinear L_∞ morphism. Let $\partial^j \Psi_{\widehat{B}} : \prod^j (B \widehat{\otimes}_A \mathfrak{g}) \rightarrow B \widehat{\otimes}_A \mathfrak{g}'$ be the unique continuous \widehat{B} -multilinear homomorphism extending $\partial^j \Psi$. Then the degree 0 colocal coalgebra homomorphism*

$$\Psi_{\widehat{B}} : S(B \widehat{\otimes}_A \mathfrak{g}[1]) \rightarrow S(B \widehat{\otimes}_A \mathfrak{g}'[1]),$$

with $\Psi_{\widehat{B}}(1) := 1$ and with Taylor coefficients $\partial^j \Psi_{\widehat{B}}$, is an L_∞ morphism

$$\Psi_{\widehat{B}} : B \widehat{\otimes}_A \mathfrak{g} \rightarrow B \widehat{\otimes}_A \mathfrak{g}'.$$

Proof. First consider the continuous B -multilinear homomorphisms $\partial^j \Psi_B : \prod^j (B \otimes_A \mathfrak{g}) \rightarrow B \otimes_A \mathfrak{g}'$ extending $\partial^j \Psi$. It is a straightforward calculation to verify that the L_∞ morphism identities of Proposition 3.10 hold for the sequence of operators $\{\partial^j \Psi_B\}_{j \geq 1}$. The completion process respects these identities (cf. proof of Proposition 1.19). \square

Theorem 3.27. *Let \mathfrak{g} and \mathfrak{g}' be DG Lie algebras in $\text{Dir Inv Mod } \mathbb{K}$, and let $\Psi : \mathfrak{g} \rightarrow \mathfrak{g}'$ be a continuous L_∞ morphism. Let $A = \bigoplus_{i \in \mathbb{N}} A^i$ be a complete associative unital super-commutative DG algebra in $\text{Dir Inv Mod } \mathbb{K}$. By Proposition 3.26 there is an induced continuous A -multilinear L_∞ morphism $\Psi_A : A \widehat{\otimes} \mathfrak{g} \rightarrow A \widehat{\otimes} \mathfrak{g}'$. Let $\omega \in A^1 \widehat{\otimes} \mathfrak{g}^0$ be a*

solution of the MC equation in $A \widehat{\otimes} \mathfrak{g}$. Assume $d_{\mathfrak{g}} = 0$, $(\partial^j \Psi_A)(\omega^j) = 0$ for all $j \geq 2$, and also that \mathfrak{g}' is bounded below. Define $\omega' := (\partial^1 \Psi_A)(\omega) \in A^1 \widehat{\otimes} \mathfrak{g}'^0$. Then:

- (1) The element ω' is a solution of the MC equation in $A \widehat{\otimes} \mathfrak{g}'$.
- (2) Given $c \in S^j(A \widehat{\otimes} \mathfrak{g}[1])$ there exists a natural number k_0 such that $(\partial^{j+k} \Psi_A)(\omega^k c) = 0$ for all $k > k_0$.
- (3) The degree 0 colocal coalgebra homomorphism

$$\Psi_{A,\omega} : S(A \widehat{\otimes} \mathfrak{g}[1]) \rightarrow S(A \widehat{\otimes} \mathfrak{g}'[1]),$$

with $\Psi_{A,\omega}(1) := 1$ and Taylor coefficients

$$(\partial^j \Psi_{A,\omega})(c) := \sum_{k \geq 0} \frac{1}{(j+k)!} (\partial^{j+k} \Psi_A)(\omega^k c)$$

for $c \in S^j(A \widehat{\otimes} \mathfrak{g}[1])$, is a continuous A -multilinear L_∞ morphism

$$\Psi_{A,\omega} : (A \widehat{\otimes} \mathfrak{g})_\omega \rightarrow (A \widehat{\otimes} \mathfrak{g}')_{\omega'}.$$

Proof. We shall use a “deformation argument”. Consider the base field \mathbb{K} as a discrete inv \mathbb{K} -module. The polynomial algebra $\mathbb{K}[\hbar]$ is endowed with the dir-inv \mathbb{K} -module structure such that the homomorphism $\bigoplus_{i \in \mathbb{N}} \mathbb{K} \rightarrow \mathbb{K}[\hbar]$, whose i -th component is multiplication by \hbar^i , is an isomorphism in $\text{Dir Inv Mod } \mathbb{K}$. Note that $\mathbb{K}[\hbar]$ is a discrete dir-inv module, but it is not trivial. We view $\mathbb{K}[\hbar]$ as a DG algebra concentrated in degree 0 (with zero differential).

For any $i \in \mathbb{N}$ let $A[\hbar]^i := \mathbb{K}[\hbar] \otimes A^i$, and let $A[\hbar] := \bigoplus_{i \in \mathbb{N}} A[\hbar]^i$, which is a DG algebra in $\text{Dir Inv Mod } \mathbb{K}$, with differential $d_{A[\hbar]} := \mathbf{1} \otimes d_A$. We will need a “twisted” version of $A[\hbar]$, which we denote by $A[\hbar]^\sim$. Let $A[\hbar]^\sim{}^i := \hbar^i A[\hbar]^i$, and define $A[\hbar]^\sim := \bigoplus_{i \in \mathbb{N}} A[\hbar]^\sim{}^i$, which has a graded subalgebra of $A[\hbar]$. The differential is $d_{A[\hbar]^\sim} := \hbar d_{A[\hbar]}$. The dir-inv structure is such that the homomorphism $\bigoplus_{i,j \in \mathbb{N}} A^i \rightarrow A[\hbar]^\sim$, whose (i, j) -th component is multiplication by \hbar^{i+j} , is an isomorphism in $\text{Dir Inv Mod } \mathbb{K}$. The specialization $\hbar \mapsto 1$ is a continuous DG algebra homomorphism $A[\hbar]^\sim \rightarrow A$. There is an induced continuous $A[\hbar]^\sim$ -multilinear L_∞ morphism $\Psi_{A[\hbar]^\sim} : A[\hbar]^\sim \widehat{\otimes} \mathfrak{g} \rightarrow A[\hbar]^\sim \widehat{\otimes} \mathfrak{g}'$.

We proceed in several steps.

Step 1. Say r_0 bounds \mathfrak{g}' from below, i.e. $\mathfrak{g}'^r = 0$ for all $r < r_0$. Take some $j \geq 1$. For any $l \in \{1, \dots, j\}$ choose $p_l, q_l \in \mathbb{Z}$, $\gamma_l \in \mathfrak{g}'^{p_l}$ and $a_l \in A[\hbar]^\sim{}^{q_l}$. Also choose $\gamma_0 \in \mathfrak{g}'^0$ and $a_0 \in A[\hbar]^\sim{}^{-1}$. Let $p := \sum_{l=1}^j p_l$ and $q := \sum_{l=1}^j q_l$. Because $\partial^{j+k} \Psi_{A[\hbar]^\sim}$ is induced from $\partial^{j+k} \Psi$, and this is a homogeneous map of degree $1 - j - k$, we have

$$\begin{aligned} & (\partial^{j+k} \Psi_{A[\hbar]^\sim}) \left((a_0 \otimes \gamma_0)^k (a_1 \otimes \gamma_1) \cdots (a_j \otimes \gamma_j) \right) \\ &= \pm a_0^k a_1 \cdots a_j \otimes (\partial^{j+k} \Psi)(\gamma_0^k \gamma_1 \cdots \gamma_j) \in A[\hbar]^\sim{}^{k+q} \widehat{\otimes} \mathfrak{g}'^{p+1-j-k}. \end{aligned}$$

But $\mathfrak{g}'^{p+1-j-k} = 0$ for all $k > p + 1 - j - r_0$.

Using multilinearity and continuity we conclude that given any $c \in S^j(A[\hbar]^\sim \widehat{\otimes} \mathfrak{g}[1])$ there exists a natural number k_0 such that $(\partial^{j+k} \Psi_{A[\hbar]^\sim})((\hbar \omega)^k c) = 0$ for all $k > k_0$.

Step 2. We are going to prove that $\hbar \omega$ is a solution of the MC equation in $A[\hbar]^\sim \widehat{\otimes} \mathfrak{g}$. It is given that ω is a solution of the MC equation in $A \widehat{\otimes} \mathfrak{g}$. Because $d_{\mathfrak{g}} = 0$, this means that

$$(d_A \otimes \mathbf{1})(\omega) + \frac{1}{2}[\omega, \omega] = 0.$$

Hence

$$d_{A[\hbar] \sim \widehat{\otimes} \mathfrak{g}}(\hbar\omega) + \frac{1}{2}[\hbar\omega, \hbar\omega] = \hbar^2(d_A \otimes \mathbf{1})(\omega) + \frac{1}{2}\hbar^2[\omega, \omega] = 0.$$

So $\hbar\omega$ solves the MC equation in $A[\hbar] \sim \widehat{\otimes} \mathfrak{g}$.

Step 3. Now we shall prove that $\hbar\omega'$ solves the MC equation in $A[\hbar] \sim \widehat{\otimes} \mathfrak{g}'$. This will require an infinitesimal argument. For any natural number m define $\mathbb{K}[\hbar]_m := \mathbb{K}[\hbar]/(\hbar^{m+1})$ and $A[\hbar]_m := \mathbb{K}[\hbar]_m \otimes A$. The latter is a DG algebra with differential $d_{A[\hbar]_m} := \mathbf{1} \otimes d_A$. Let $A[\hbar]_m \sim := \bigoplus_{i=0}^m \hbar^i A[\hbar]_m^i$, which is a subalgebra of $A[\hbar]_m$, but its differential is $d_{A[\hbar]_m \sim} := \hbar d_{A[\hbar]_m}$. There is a surjective DG Lie algebra homomorphism $A[\hbar] \sim \widehat{\otimes} \mathfrak{g}' \rightarrow A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}'$, with kernel $(A[\hbar] \sim \cap \hbar^{m+1} A[\hbar]) \widehat{\otimes} \mathfrak{g}'$. Since $\bigcap_{m \geq 0} \hbar^{m+1} A[\hbar] = 0$, it suffices to prove that $\hbar\omega'$ solves the MC equation in $A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}'$.

Now $C := \mathbb{K}[\hbar]_m$ is an artinian local ring with maximal ideal $\mathfrak{m} := (\hbar)$. Define the DG Lie algebra $\mathfrak{h} := A[\hbar]_m \widehat{\otimes} \mathfrak{g}$, with differential $d_{\mathfrak{h}} := \hbar d_{A[\hbar]_m} \otimes \mathbf{1} + \mathbf{1} \otimes d_{\mathfrak{g}}$; so $A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g} \subset \mathfrak{h}$ as DG Lie algebras. Similarly define \mathfrak{h}' . There is a C -multilinear L_∞ morphism $\Phi : \mathfrak{h} \rightarrow \mathfrak{h}'$ extending $\Psi_{A[\hbar]_m \sim} : A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g} \rightarrow A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}'$. By step 2 the element $v := \hbar\omega \in \mathfrak{m}\mathfrak{h}$ is a solution of the MC equation. According to Proposition 3.19 the element $v' := \sum_{k \geq 1} (\partial^k \Phi)(v^k)$ is a solution of the MC equation in \mathfrak{h}' . But $v' = \hbar\omega'$.

Step 4. Pick a natural number m . Let $\mathfrak{h}, \mathfrak{h}', \Phi, v$ and v' be as in step 3. According to Theorem 3.21 there is a twisted L_∞ morphism $\Phi_v : \mathfrak{h}_v \rightarrow \mathfrak{h}'_v$. Since $(A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g})_{\hbar\omega} \subset \mathfrak{h}_v$ and $(A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}')_{\hbar\omega'} \subset \mathfrak{h}'_v$ as DG Lie algebras, and Φ_v extends $\Psi_{A[\hbar]_m \sim, \hbar\omega}$, it follows that $\Psi_{A[\hbar]_m \sim, \hbar\omega} : A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g} \rightarrow A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}'$ is an L_∞ morphism. This means that the Taylor coefficients

$$\partial^j \Psi_{A[\hbar]_m \sim, \hbar\omega} : \prod^j (A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g})_{\hbar\omega} \rightarrow (A[\hbar]_m \sim \widehat{\otimes} \mathfrak{g}')_{\hbar\omega'}$$

satisfy the identities of Proposition 3.10. As explained in step 3, this implies that

$$\partial^j \Psi_{A[\hbar] \sim, \hbar\omega} : \prod^j (A[\hbar] \sim \widehat{\otimes} \mathfrak{g})_{\hbar\omega} \rightarrow (A[\hbar] \sim \widehat{\otimes} \mathfrak{g}')_{\hbar\omega'}$$

also satisfy these identities. We conclude that $\Psi_{A[\hbar] \sim, \hbar\omega}$ is an L_∞ morphism.

Step 5. Specialization $\hbar \mapsto 1$ induces surjective DG Lie algebra homomorphisms $A[\hbar] \sim \widehat{\otimes} \mathfrak{g} \rightarrow A \widehat{\otimes} \mathfrak{g}$ and $A[\hbar] \sim \widehat{\otimes} \mathfrak{g}' \rightarrow A \widehat{\otimes} \mathfrak{g}'$, sending $\hbar\omega \mapsto \omega$, $\hbar\omega' \mapsto \omega'$ and $\Psi_{A[\hbar] \sim, \hbar\omega} \mapsto \Psi_{A, \omega}$. Therefore assertions (1–3) of the theorem hold. \square

4. The universal L_∞ morphism of Kontsevich

In this section \mathbb{K} is a field of characteristic 0 and C is a commutative \mathbb{K} -algebra. Recall that we denote by $\mathcal{T}_C = \mathcal{T}(C/\mathbb{K}) := \text{Der}_{\mathbb{K}}(C)$, the module of derivations of C relative to \mathbb{K} . This is a Lie algebra over \mathbb{K} . Following [5] we make the next definitions.

Definition 4.1. For $p \geq -1$ let

$$\mathcal{T}_{\text{poly}}^p(C) := \bigwedge_C^{p+1} \mathcal{T}_C,$$

the module of *poly derivations* (or *poly tangents*) of degree p of C relative to \mathbb{K} . Let

$$\mathcal{T}_{\text{poly}}(C) := \bigoplus_p \mathcal{T}_{\text{poly}}^p(C).$$

This is a DG Lie algebra, with zero differential, and with the Schouten–Nijenhuis bracket, which is determined by the formulas

$$[\alpha_1 \wedge \alpha_2, \alpha_3] = \alpha_1 \wedge [\alpha_2, \alpha_3] + (-1)^{(p_2+1)p_3} [\alpha_1, \alpha_3] \wedge \alpha_2$$

and

$$[\alpha_1, \alpha_2] = (-1)^{1+p_1 p_2} [\alpha_2, \alpha_1]$$

for elements $\alpha_i \in \mathcal{T}_{\text{poly}}^{p_i}(C)$.

Definition 4.2. For any $p \geq -1$ let $\mathcal{D}_{\text{poly}}^p(C)$ be the set of \mathbb{K} -multilinear multi differential operators $\phi : C^{p+1} \rightarrow C$ (see Definition 2.1). The direct sum

$$\mathcal{D}_{\text{poly}}(C) := \bigoplus_p \mathcal{D}_{\text{poly}}^p(C)$$

is a DG Lie algebra. The differential $d_{\mathcal{D}}$ is the shifted Hochschild differential, and the Lie bracket is the Gerstenhaber bracket (see [5, Section 3.4.2]). The elements of $\mathcal{D}_{\text{poly}}(C)$ are called *poly differential operators* relative to \mathbb{K} .

In the notation of Section 2 and Example 1.24 one has

$$\mathcal{D}_{\text{poly}}^p(C) = \mathcal{D}\text{iff}_{\text{poly}}(C; \underbrace{C, \dots, C}_{p+1}; C) = \mathcal{C}_{\text{cd}}^{p+1}(C);$$

see formula (2.3).

Observe that $\mathcal{D}_{\text{poly}}^p(C) \subset \text{Hom}_{\mathbb{K}}(C^{\otimes(p+1)}, C)$, and $\mathcal{D}_{\text{poly}}(C)$ is a sub DG Lie algebra of the shifted Hochschild cochain complex of C relative to \mathbb{K} . For $p = -1, 0$ we have $\mathcal{D}_{\text{poly}}^{-1}(C) = C$ and $\mathcal{D}_{\text{poly}}^0(C) = \mathcal{D}(C)$, the ring of differential operators. Note that $\mathcal{D}_{\text{poly}}^p(C)$ is a left module over $\mathcal{D}(C)$, by the formula $D \cdot \phi := D \circ \phi$; and in this way it is also a left C -module.

When $C := \mathbb{K}[\mathbf{t}] = \mathbb{K}[t_1, \dots, t_n]$, the polynomial algebra in $n \geq 1$ variables, and $p \geq 1$, the following is true. The $\mathbb{K}[\mathbf{t}]$ -module $\mathcal{T}_{\text{poly}}^{p-1}(\mathbb{K}[\mathbf{t}])$ is free with finite basis $\{\frac{\partial}{\partial t_{i_1}} \wedge \dots \wedge \frac{\partial}{\partial t_{i_p}}\}$, indexed by the sequences $0 \leq i_1 < \dots < i_p \leq n$. The $\mathbb{K}[\mathbf{t}]$ -module $\mathcal{D}_{\text{poly}}^{p-1}(\mathbb{K}[\mathbf{t}])$ is also free, with countable basis

$$\left\{ \left(\frac{\partial}{\partial \mathbf{t}} \right)^{j_1} \otimes \dots \otimes \left(\frac{\partial}{\partial \mathbf{t}} \right)^{j_p} \right\}_{j_1, \dots, j_p \in \mathbb{N}^n}, \quad (4.3)$$

where for $\mathbf{j}_k = (j_{k,1}, \dots, j_{k,n}) \in \mathbb{N}^n$ we write $(\frac{\partial}{\partial \mathbf{t}})^{\mathbf{j}_k} := (\frac{\partial}{\partial t_1})^{j_{k,1}} \dots (\frac{\partial}{\partial t_n})^{j_{k,n}}$.

For any $p \geq -1$ let $F_m \mathcal{D}_{\text{poly}}^p(C)$ be the set of poly differential operators of order $\leq m$ in each argument. This is a C -submodule of $\mathcal{D}_{\text{poly}}^p(C)$.

Lemma 4.4. (1) For any m, p one has

$$d_{\mathcal{D}} \left(F_m \mathcal{D}_{\text{poly}}^p(C) \right) \subset F_m \mathcal{D}_{\text{poly}}^{p+1}(C).$$

(2) For any m, m', p, p' one has

$$\left[F_m \mathcal{D}_{\text{poly}}^p(C), F_{m'} \mathcal{D}_{\text{poly}}^{p'}(C) \right] \subset F_{m+m'} \mathcal{D}_{\text{poly}}^{p+p'}(C);$$

and

$$[-, -] : F_m \mathcal{D}_{\text{poly}}^p(C) \times F_{m'} \mathcal{D}_{\text{poly}}^{p'}(C) \rightarrow \mathcal{D}_{\text{poly}}^{p+p'}(C)$$

is a poly differential operator of order $\leq m + m'$ in each of its two arguments.

Proof. These assertions follow easily from the definitions of the Hochschild differential and the Gerstenhaber bracket; cf. [5, Section 3.4.2]. \square

Lemma 4.5. Assume C is a finitely generated \mathbb{K} -algebra. Then $\mathcal{T}_{\text{poly}}^p(C)$ and $F_m \mathcal{D}_{\text{poly}}^p(C)$ are finitely generated C -modules.

Proof. One has

$$\mathcal{T}_{\text{poly}}^p(C) \cong \text{Hom}_A(\Omega_C^{p+1}, A)$$

and

$$F_m \mathcal{D}_{\text{poly}}^p(C) \cong \text{Hom}_C(\mathcal{C}_{p+1,m}(C), C);$$

see Lemma 2.2. The C -modules Ω_C^{p+1} and $\mathcal{C}_{p+1,m}(C)$ are finitely generated. \square

Proposition 4.6. Assume C is a finitely generated \mathbb{K} -algebra, and C' is a noetherian, \mathfrak{c}' -adically complete, flat, \mathfrak{c}' -adically formally étale C -algebra. Let us write \mathcal{G} for either $\mathcal{T}_{\text{poly}}$ or $\mathcal{D}_{\text{poly}}$. Then:

- (1) There is a DG Lie algebra homomorphism $\mathcal{G}(C) \rightarrow \mathcal{G}(C')$, which is functorial in $C \rightarrow C'$.
- (2) The induced C' -linear homomorphism $C' \otimes_C \mathcal{G}^p(C) \rightarrow \mathcal{G}^p(C')$ is bijective.
- (3) For any m the isomorphisms in (2), for $\mathcal{G} = \mathcal{D}_{\text{poly}}$, restrict to isomorphisms

$$C' \otimes_C F_m \mathcal{D}_{\text{poly}}^p(C) \xrightarrow{\cong} F_m \mathcal{D}_{\text{poly}}^p(C').$$

Proof. Consider $\mathcal{G} = \mathcal{D}_{\text{poly}}$. Let $\phi \in \mathcal{D}_{\text{poly}}^p(C)$. According to Proposition 2.7, applied to the case $M_1, \dots, M_{p+1}, N := A$, there is a unique $\phi' \in \mathcal{D}_{\text{poly}}^p(C')$ extending ϕ . From the definitions of the Gerstenhaber bracket and the Hochschild differential, it immediately follows that the function $\mathcal{D}_{\text{poly}}(C) \rightarrow \mathcal{D}_{\text{poly}}(C'), \phi \mapsto \phi'$, is a DG Lie algebra homomorphism. Parts (2,3) are also consequences of Proposition 2.7.

The case $\mathcal{G} = \mathcal{T}_{\text{poly}}$ is done similarly (and is well-known). \square

Consider $C := \mathbb{K}[\mathfrak{t}]$ and $C' := \mathbb{K}[[\mathfrak{t}]] = \mathbb{K}[[t_1, \dots, t_n]]$, the power series algebra. Since $\mathcal{T}_{\text{poly}}^p(\mathbb{K}[[\mathfrak{t}]]) \cong \mathbb{K}[[\mathfrak{t}]] \otimes_{\mathbb{K}[\mathfrak{t}]} \mathcal{T}_{\text{poly}}^p(\mathbb{K}[\mathfrak{t}])$ is a finitely generated left $\mathbb{K}[[\mathfrak{t}]]$ -module, it is an

inv $\mathbb{K}[[t]]$ -module with the (t) -adic inv structure; cf. [Example 1.8](#). Likewise $\mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])$ is a dir-inv $\mathbb{K}[[t]]$ -module. By [Proposition 4.6](#),

$$F_m \mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]]) \cong \mathbb{K}[[t]] \otimes_{\mathbb{K}[[t]]} F_m \mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]]),$$

which is a finitely generated $\mathbb{K}[[t]]$ -module. So according to [Example 1.9](#) we may take $\{F_m \mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])\}_{m \in \mathbb{N}}$ as the dir-inv structure of $\mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])$. Now forgetting the $\mathbb{K}[[t]]$ -module structure, $\mathcal{T}_{\text{poly}}^p(\mathbb{K}[[t]])$ becomes an inv \mathbb{K} -module, and $\mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])$ becomes a dir-inv \mathbb{K} -module.

Proposition 4.7. *Let \mathcal{G} stand either for $\mathcal{T}_{\text{poly}}$ or $\mathcal{D}_{\text{poly}}$. Then $\mathcal{G}(\mathbb{K}[[t]])$ is a complete DG Lie algebra in $\text{Dir Inv Mod } \mathbb{K}$.*

Proof. Use [Proposition 2.4](#), and, for the case $\mathcal{G} = \mathcal{D}_{\text{poly}}$, also [Lemma 4.4](#). \square

Remark 4.8. One might prefer to view $\mathcal{T}_{\text{poly}}(\mathbb{K}[[t]])$ and $\mathcal{D}_{\text{poly}}(\mathbb{K}[[t]])$ as topological DG Lie algebras. This can certainly be done: put on $\mathcal{T}_{\text{poly}}^p(\mathbb{K}[[t]])$ and $F_m \mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])$ the t -adic topology, and put on $\mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]]) = \lim_{m \rightarrow \infty} F_m \mathcal{D}_{\text{poly}}^p(\mathbb{K}[[t]])$ the direct limit topology (see [8, Section 1.1]). However the dir-inv structure is better suited for our work.

Definition 4.9. For $p \geq 0$ let $\mathcal{D}_{\text{poly}}^{\text{nor}, p}(C)$ be the submodule of $\mathcal{D}_{\text{poly}}^p(C)$ consisting of poly differential operators ϕ such that $\phi(c_1, \dots, c_{p+1}) = 0$ if $c_i = 1$ for some i . For $p = -1$ we let $\mathcal{D}_{\text{poly}}^{\text{nor}, -1}(C) := C$. Define $\mathcal{D}_{\text{poly}}^{\text{nor}}(C) := \bigoplus_{p \geq -1} \mathcal{D}_{\text{poly}}^{\text{nor}, p}(C)$. We call $\mathcal{D}_{\text{poly}}^{\text{nor}}(C)$ the algebra of *normalized poly differential operators*.

From the formulas for the Gerstenhaber bracket and the Hochschild differential (see [5, Section 3.4.2]) it immediately follows that $\mathcal{D}_{\text{poly}}^{\text{nor}}(C)$ is a sub DG Lie algebra of $\mathcal{D}_{\text{poly}}(C)$.

For any integer $p \geq 1$ there is a C -linear homomorphism

$$\mathcal{U}_1 : \mathcal{T}_{\text{poly}}^{p-1}(C) \rightarrow \mathcal{D}_{\text{poly}}^{\text{nor}, p-1}(C)$$

with formula

$$\mathcal{U}_1(\xi_1 \wedge \dots \wedge \xi_p)(c_1, \dots, c_p) := \frac{1}{p!} \sum_{\sigma \in \mathfrak{S}_p} \text{sgn}(\sigma) \xi_{\sigma(1)}(c_1) \cdots \xi_{\sigma(p)}(c_p) \quad (4.10)$$

for elements $\xi_1, \dots, \xi_p \in \mathcal{T}_C$ and $c_1, \dots, c_p \in C$. For $p = 0$ the map $\mathcal{U}_1 : \mathcal{T}_{\text{poly}}^{-1}(C) \rightarrow \mathcal{D}_{\text{poly}}^{\text{nor}, -1}(C)$ is the identity (of C).

Suppose M and N are complexes in $\text{Dir Inv Mod } C$ and $\phi, \phi' : M \rightarrow N$ are morphisms of complexes in $\text{Dir Inv Mod } C$ (i.e. all maps are continuous for the dir-inv structures). We say ϕ and ϕ' are homotopic if there is a degree -1 homomorphism of graded dir-inv modules $\eta : M \rightarrow N$ such that $d_N \circ \eta + \eta \circ d_M = \phi - \phi'$. We say that $\phi : M \rightarrow N$ is a homotopy equivalence in $\text{Dir Inv Mod } C$ if there is a morphism of complexes $\psi : N \rightarrow M$ in $\text{Dir Inv Mod } C$ such that $\psi \circ \phi$ is homotopic to $\mathbf{1}_M$ and $\phi \circ \psi$ is homotopic to $\mathbf{1}_N$.

Theorem 4.11. *Let C be a commutative \mathbb{K} -algebra with ideal \mathfrak{c} . Assume C is noetherian and \mathfrak{c} -adically complete. Also assume there is a \mathbb{K} -algebra homomorphism*

$\mathbb{K}[t_1, \dots, t_n] \rightarrow C$ which is flat and \mathfrak{c} -adically formally étale. Then the homomorphism $\mathcal{U}_1 : \mathcal{T}_{\text{poly}}(C) \rightarrow \mathcal{D}_{\text{poly}}^{\text{nor}}(C)$ and the inclusion $\mathcal{D}_{\text{poly}}^{\text{nor}}(C) \rightarrow \mathcal{D}_{\text{poly}}(C)$ are both homotopy equivalences in $\text{Dir Inv Mod } C$.

Proof. Recall that $\mathcal{B}_q(C) = \mathcal{B}^{-q}(C) := C^{\otimes(q+2)}$, and this is a $\mathcal{B}_0(C)$ -algebra via the extreme factors. So $\mathcal{B}_q(C) \cong \mathcal{B}_0(C) \otimes C^{\otimes q}$ as $\mathcal{B}_0(C)$ -modules. Let $\overline{C} := C/\mathbb{K}$, the quotient \mathbb{K} -module, and define $\mathcal{B}_q^{\text{nor}}(C) = \mathcal{B}^{\text{nor},-q}(C) := \mathcal{B}_0(C) \otimes \overline{C}^{\otimes q}$, the q -th normalized bar module of C . According to MacLane [7, Section X.2], $\mathcal{B}^{\text{nor}}(C) := \bigoplus_q \mathcal{B}^{\text{nor},-q}(C)$ has a coboundary operator such that the obvious surjection $\phi : \mathcal{B}(C) \rightarrow \mathcal{B}^{\text{nor}}(C)$ is a quasi-isomorphism of complexes of $\mathcal{B}^0(C)$ -modules.

Define

$$\mathcal{C}_q^{\text{nor}}(C) = \mathcal{C}^{\text{nor},-q}(C) := C \otimes_{\mathcal{B}_0(C)} \mathcal{B}_q^{\text{nor}}(C) \cong C \otimes \overline{C}^{\otimes q}.$$

Because the complexes $\mathcal{B}(C)$ and $\mathcal{B}^{\text{nor}}(C)$ are bounded above and consist of free $\mathcal{B}_0(C)$ -modules, it follows that $\phi : \mathcal{C}(C) \rightarrow \mathcal{C}^{\text{nor}}(C)$ is a quasi-isomorphism of complexes of C -modules. Let $\widehat{\Omega}_C^q$ be the \mathfrak{c} -adic completion of Ω_C^q , so that $\widehat{\Omega}_C^q \cong C \otimes_{\mathbb{K}[\mathfrak{t}]} \Omega_{\mathbb{K}[\mathfrak{t}]}^q$. There is a C -linear homomorphism $\psi : \mathcal{C}_q^{\text{nor}}(C) \rightarrow \Omega_C^q$ with formula

$$\psi(1 \otimes (c_1 \otimes \dots \otimes c_q)) := d(c_1) \wedge \dots \wedge d(c_q).$$

Consider the polynomial algebra $\mathbb{K}[\mathfrak{t}] = \mathbb{K}[t_1, \dots, t_n]$. For $i \in \{1, \dots, n\}$ and $j \in \{1, \dots, q\}$ let

$$\tilde{d}_j(t_i) := \underbrace{1 \otimes \dots \otimes 1}_j \otimes (t_i \otimes 1 - 1 \otimes t_i) \otimes 1 \otimes \dots \otimes 1 \in \mathcal{B}_q(\mathbb{K}[\mathfrak{t}]),$$

and use the same expression to denote the image of this element in $\mathcal{C}_q(\mathbb{K}[\mathfrak{t}])$. It is easy to verify that $\mathcal{C}_q(\mathbb{K}[\mathfrak{t}])$ is a polynomial algebra over $\mathbb{K}[\mathfrak{t}]$ in the set of generators $\{\tilde{d}_j(t_i)\}$. Another easy calculation shows that $\text{Ker}(\phi : \mathcal{C}_q(\mathbb{K}[\mathfrak{t}]) \rightarrow \mathcal{C}_q^{\text{nor}}(\mathbb{K}[\mathfrak{t}]))$ is generated as $\mathbb{K}[\mathfrak{t}]$ -module by monomials in elements of the set $\{\tilde{d}_j(t_i)\}$.

Let us introduce a grading on $\mathcal{C}_q(\mathbb{K}[\mathfrak{t}])$ by $\text{deg}(\tilde{d}_j(t_i)) := 1$ and $\text{deg}(t_i) := 0$. The coboundary operator of $\mathcal{C}(\mathbb{K}[\mathfrak{t}])$ has degree 0 in this grading. The grading is inherited by $\mathcal{C}_q^{\text{nor}}(\mathbb{K}[\mathfrak{t}])$, and hence $\phi : \mathcal{C}(\mathbb{K}[\mathfrak{t}]) \rightarrow \mathcal{C}^{\text{nor}}(\mathbb{K}[\mathfrak{t}])$ is a quasi-isomorphism of complexes in $\text{GrMod } \mathbb{K}[\mathfrak{t}]$, the category of graded $\mathbb{K}[\mathfrak{t}]$ -modules. Also let us put a grading on $\Omega_{\mathbb{K}[\mathfrak{t}]}^q$ with $\text{deg}(d(t_i)) := 1$. By [8, Lemma 4.3], $\psi \circ \phi : \mathcal{C}(\mathbb{K}[\mathfrak{t}]) \rightarrow \bigoplus_q \Omega_{\mathbb{K}[\mathfrak{t}]}^q[q]$ is a quasi-isomorphism in $\text{GrMod } \mathbb{K}[\mathfrak{t}]$. Because we are dealing with bounded above complexes of free graded $\mathbb{K}[\mathfrak{t}]$ -modules it follows that both ϕ and ψ are homotopy equivalences in $\text{GrMod } \mathbb{K}[\mathfrak{t}]$.

Now let us go back to the formally étale homomorphism $\mathbb{K}[\mathfrak{t}] \rightarrow C$. We get homotopy equivalences

$$C \otimes_{\mathbb{K}[\mathfrak{t}]} \mathcal{C}(\mathbb{K}[\mathfrak{t}]) \xrightarrow{\phi} C \otimes_{\mathbb{K}[\mathfrak{t}]} \mathcal{C}^{\text{nor}}(\mathbb{K}[\mathfrak{t}]) \xrightarrow{\psi} \bigoplus_q \widehat{\Omega}_C^q[q]$$

in $\text{GrMod } C$. We know that $\widehat{\mathcal{C}}_q(C)$ is a power series algebra in the set of generators $\{\tilde{d}_j(t_i)\}$; see [8, Lemma 2.6]. Therefore $\widehat{\mathcal{C}}_q(C)$ is isomorphic to the completion of $C \otimes_{\mathbb{K}[\mathfrak{t}]} \mathcal{C}_q(\mathbb{K}[\mathfrak{t}])$

with respect to the grading (see Example 1.13). Define $\widehat{C}_q^{\text{nor}}(C)$ to be the completion of $C \otimes_{\mathbb{K}[\mathbf{t}]} C_q^{\text{nor}}(\mathbb{K}[\mathbf{t}])$ with respect to the grading. We then have a homotopy equivalence of complexes in $\text{Inv Mod } C$

$$\widehat{C}(C) \rightarrow \widehat{C}^{\text{nor}}(C) \rightarrow \bigoplus_q \widehat{\Omega}_C^q[q].$$

Applying $\text{Hom}_C^{\text{cont}}(-, C)$ we arrive at quasi-isomorphisms

$$\bigoplus_q \left(\bigwedge_C^q \mathcal{T}_C \right)[-q] \rightarrow C_{\text{cd}}^{\text{nor}}(C) \rightarrow C_{\text{cd}}(C),$$

where by definition $C_{\text{cd}}^{\text{nor}}(C)$ is the continuous dual of $\widehat{C}^{\text{nor}}(C)$. An easy calculation shows that $C_{\text{cd}}^{\text{nor},q}(C) = \mathcal{D}_{\text{poly}}^{\text{nor},q-1}(C)$. \square

One instance to which this theorem applies is $C := \mathbb{K}[[t_1, \dots, t_n]]$. Here is another:

Corollary 4.12. *Suppose C is a smooth \mathbb{K} -algebra. Then the homomorphism $\mathcal{U}_1 : \mathcal{T}_{\text{poly}}(C) \rightarrow \mathcal{D}_{\text{poly}}^{\text{nor}}(C)$ and the inclusion $\mathcal{D}_{\text{poly}}^{\text{nor}}(C) \rightarrow \mathcal{D}_{\text{poly}}(C)$ are both quasi-isomorphisms.*

Proof. There is an open covering $\text{Spec } C = \bigcup \text{Spec } C_i$ such that for every i there is an étale homomorphism $\mathbb{K}[t_1, \dots, t_n] \rightarrow C_i$. Now use Theorem 4.11, Proposition 2.7 and faithful flatness. \square

Here is a slight variation of the celebrated result of Kontsevich, known as the *Formality Theorem* [5, Theorem 6.4].

Theorem 4.13. *Let $\mathbb{K}[\mathbf{t}] = \mathbb{K}[t_1, \dots, t_n]$ be the polynomial algebra in n variables, and assume that $\mathbb{R} \subset \mathbb{K}$. There is a collection of \mathbb{K} -linear homomorphisms*

$$\mathcal{U}_j : \bigwedge^j \mathcal{T}_{\text{poly}}(\mathbb{K}[\mathbf{t}]) \rightarrow \mathcal{D}_{\text{poly}}(\mathbb{K}[\mathbf{t}]),$$

indexed by $j \in \{1, 2, \dots\}$, satisfying the following conditions.

- (i) *The sequence $\mathcal{U} = \{\mathcal{U}_j\}$ is an L_∞ -morphism $\mathcal{T}_{\text{poly}}(\mathbb{K}[\mathbf{t}]) \rightarrow \mathcal{D}_{\text{poly}}(\mathbb{K}[\mathbf{t}])$.*
- (ii) *Each \mathcal{U}_j is a poly differential operator of $\mathbb{K}[\mathbf{t}]$ -modules.*
- (iii) *Each \mathcal{U}_j is equivariant for the standard action of $\text{GL}_n(\mathbb{K})$ on $\mathbb{K}[\mathbf{t}]$.*
- (iv) *The homomorphism \mathcal{U}_1 is given by Eq. (4.10).*
- (v) *For any $j \geq 2$ and $\alpha_1, \dots, \alpha_j \in \mathcal{T}_{\text{poly}}^0(\mathbb{K}[\mathbf{t}])$ one has $\mathcal{U}_j(\alpha_1 \wedge \dots \wedge \alpha_j) = 0$.*
- (vi) *For any $j \geq 2$, $\alpha_1 \in \mathfrak{gl}_n(\mathbb{K}) \subset \mathcal{T}_{\text{poly}}^0(\mathbb{K}[\mathbf{t}])$ and $\alpha_2, \dots, \alpha_j \in \mathcal{T}_{\text{poly}}(\mathbb{K}[\mathbf{t}])$ one has $\mathcal{U}_j(\alpha_1 \wedge \dots \wedge \alpha_j) = 0$.*

Proof. First let us assume that $\mathbb{K} = \mathbb{R}$. Theorem 6.4 in [5] talks about the differentiable manifold \mathbb{R}^n , and considers C^∞ functions on it, rather than polynomial functions. However, by construction the operators \mathcal{U}_j are multi differential operators with polynomial coefficients (see [5, Section 6.3]). Therefore they descend to operators

$$\mathcal{U}_j : \bigwedge^j \mathcal{T}_{\text{poly}}(\mathbb{R}[\mathbf{t}]) \rightarrow \mathcal{D}_{\text{poly}}(\mathbb{R}[\mathbf{t}]),$$

and conditions (i) and (ii) hold. Conditions (iii), (v) and (vi) are properties P3, P4 and P5 respectively in [5, Section 7]. For condition (iv) see [5, Sections 4.6.1–2].

For a field extension $\mathbb{R} \subset \mathbb{K}$ use base change. \square

Remark 4.14. It is likely that the operator \mathcal{U}_j sends $\bigwedge^j \mathcal{T}_{\text{poly}}(\mathbb{K}[\mathfrak{t}])$ into $\mathcal{D}_{\text{poly}}^{\text{nor}}(\mathbb{K}[\mathfrak{t}])$. This is clear for $j = 1$, where $\mathcal{U}_1(\mathcal{T}_{\text{poly}}(\mathbb{K}[\mathfrak{t}])) = F_1 \mathcal{D}_{\text{poly}}^{\text{nor}}(\mathbb{K}[\mathfrak{t}])$; but this requires checking for $j \geq 2$.

In the next theorem $\mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ and $\mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ are considered as DG Lie algebras in $\text{Dir Inv Mod } \mathbb{K}$, with their \mathfrak{t} -adic dir-inv structures. Recall the notions of twisted DG Lie algebra (Lemma 3.24) and multilinear extensions of L_∞ morphisms (Proposition 3.26).

Theorem 4.15. Assume $\mathbb{R} \subset \mathbb{K}$. Let $A = \bigoplus_{i \geq 0} A^i$ be a complete super-commutative associative unital DG algebra in $\text{Dir Inv Mod } \mathbb{K}$. Consider the induced continuous A -multilinear L_∞ morphism

$$\mathcal{U}_A : A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]) \rightarrow A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$$

Suppose $\omega \in A^1 \widehat{\otimes} \mathcal{T}_{\text{poly}}^0(\mathbb{K}[[\mathfrak{t}]])$ is a solution of the Maurer–Cartan equation in $A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$. Define $\omega' := (\partial^1 \mathcal{U}_A)(\omega) \in A^1 \widehat{\otimes} \mathcal{D}_{\text{poly}}^0(\mathbb{K}[[\mathfrak{t}]])$. Then ω' is a solution of the Maurer–Cartan equation in $A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$, and there is continuous A -multilinear L_∞ quasi-isomorphism

$$\mathcal{U}_{A,\omega} : (A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_\omega \rightarrow (A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))_{\omega'}$$

whose Taylor coefficients are

$$(\partial^j \mathcal{U}_{A,\omega})(\alpha) := \sum_{k \geq 0} \frac{1}{(j+k)!} (\partial^{j+k} \mathcal{U}_A)(\omega^k \wedge \alpha)$$

for $\alpha \in \prod^j (A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]))$.

Proof. By condition (ii) of Theorem 4.13, and by Proposition 2.4, each operator $\partial^j \mathcal{U} := \mathcal{U}_j$ is continuous for the \mathfrak{t} -adic dir-inv structures on $\mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$ and $\mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$. Therefore there is a unique continuous A -multilinear extension $\partial^j \mathcal{U}_A$. Condition (v) of Theorem 4.13 implies that $\partial^j \mathcal{U}_A(\omega^j) = 0$ for $j \geq 2$. By Theorem 3.27 we get an L_∞ morphism $\mathcal{U}_{A,\omega}$.

It remains to prove that $\partial^1 \mathcal{U}_{A,\omega}$ is a quasi-isomorphism. According to Theorem 4.11 for every i the \mathbb{K} -linear homomorphism

$$\partial^1 \mathcal{U}_A : A^i \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]) \rightarrow A^i \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$$

is a quasi-isomorphism. Since we are looking at bounded below complexes, a spectral sequence argument implies that

$$\partial^1 \mathcal{U}_{A,\omega} : A \widehat{\otimes} \mathcal{T}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]]) \rightarrow A \widehat{\otimes} \mathcal{D}_{\text{poly}}(\mathbb{K}[[\mathfrak{t}]])$$

is a quasi-isomorphism. \square

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