

# Functions of several complex variables and their critical points

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## Homework 2. Submission date: 28.11.2020

Questions to submit: 2.c, 2.d, 3.a, 3.b, 3.e, 3.f, 4.b, 4.d.



Below  $\mathbb{k}$  is one of  $\mathbb{R}$ ,  $\mathbb{C}$ , and  $R$  is one of  $C^\infty(\mathbb{R}^n, 0)$ ,  $\mathbb{k}[[\underline{x}]]$ ,  $\mathbb{k}\{\underline{x}\}$ .

1. One step in the proof of IFT was: if the derivative  $\partial_w f(\underline{z}, \underline{w})|_{(z_0, w_0)} \in \text{Mat}_{m \times m}(\mathbb{C})$  is non-degenerate, then so is the  $2m \times 2m$  matrix composed of the real and imaginary parts. This is also implied by any of the following statements, prove them.

Given a linear map of  $\mathbb{K}$ -vector spaces  $V \xrightarrow{L} W$ , and a subfield  $\mathbb{k} \subset \mathbb{K}$ . Consider  $V, W$  as  $\mathbb{k}$ -vector spaces, and take the induced ( $\mathbb{k}$ -linear) map  $V_{\mathbb{k}} \xrightarrow{[L]_{\mathbb{k}}} W_{\mathbb{k}}$ .

- (a)  $L$  is surjective iff  $[L]_{\mathbb{k}}$  is surjective.  
 (b)  $L$  is injective iff  $[L]_{\mathbb{k}}$  is injective.
2. (a) Verify that the equivalence used to define the germs (of sets/of functions) is indeed an equivalence relation.  
 (b) Verify the basic set-theoretic identities, for a finite number of germs:  $\cap_i (X_i, o) = (\cap_i X_i, o)$ ,  $\cup_i (X_i, o) = (\cup_i X_i, o)$ ,  $(X, o) \setminus (Y, o) = (X \setminus Y, o)$ ,  $\prod_i (X_i, o) = (\prod_i X_i, o)$ .  
 (c) Show that the notions “a closed/open subgerm” are well defined. We will see later that for analytic set-germs the notions of “path-connected/contractible/simply-connected” are well defined.  
 (d) Denoting by  $[f]_p$  the germ of  $f$  at a point  $p$ , verify that the basic operations (on a finite number of function germs) are well defined and satisfy:  $\sum_i [f_i]_p = [\sum_i f_i]_p$ ,  $\prod_i [f_i]_p = [\prod_i f_i]_p$ .  
 Verify: with these operations the sets  $C^\infty(\mathbb{R}^n, z_0)$ ,  $C^\omega(\mathbb{R}^n, z_0)$ ,  $\mathcal{O}(\mathbb{C}^n, z_0)$  become local  $\mathbb{k}$ -algebras.
3. (a) Prove: the rings  $\mathbb{k}[[x]]$ ,  $\mathbb{k}\{x\}$  (for one variable) are principal ideal domains. What about  $C^\infty(\mathbb{R}^1, o)$ ?  
 (b) Verify: IFT holds in the rings  $C^\infty(\mathbb{R}^n, 0)$ ,  $\mathbb{k}\{\underline{z}\}$ . (How to obtain the  $\mathbb{R}\{\underline{x}\}$ -version from the  $\mathbb{C}\{\underline{z}\}$ -version?)  
 (c) Prove the IFT for  $\mathbb{k}[[\underline{x}]]$ : if  $f(\underline{z}, \underline{w}) = 0$  are power series equations, with  $f(0, 0) = 0$ , and  $\partial_w f|_{(0,0)}$  non-degenerate, then ...  
 (d) For  $f \in \mathfrak{m} \subset R$  prove:  $\sqrt[2]{1+f} \in R$ .  
 (e) Prove:  $\mathcal{O}(\mathcal{U}) \xrightarrow{\text{localization}} \mathcal{O}(\mathcal{U})_{\mathfrak{m}_{z_0}} \xrightarrow{\phi} \mathcal{O}(\mathbb{C}^n, z_0) \xrightarrow{z \rightarrow z - z_0} \mathcal{O}(\mathbb{C}^n, o) \xrightarrow{\psi} \mathbb{C}\{\underline{z}\}$  and  $C^\omega(\mathcal{U}) \xrightarrow{\text{localization}} C^\omega(\mathcal{U})_{\mathfrak{m}_{z_0}} \xrightarrow{\phi} C^\omega(\mathbb{R}^n, z_0) \xrightarrow{z \rightarrow z - z_0} C^\omega(\mathbb{R}^n, o) \xrightarrow{\psi} \mathbb{R}\{\underline{z}\}$ .  
 Show that  $\phi$  is non-surjective.  
 (f) Prove:  $C^\infty(\mathbb{R}^n) \xrightarrow{\text{localiz}} C^\infty(\mathbb{R}^n)_{\mathfrak{m}_{z_0}} \xrightarrow{\phi} C^\infty(\mathbb{R}^n, z_0) \xrightarrow{z \rightarrow z - z_0} C^\infty(\mathbb{R}^n, o)$  with  $C^\infty(\mathbb{R}^n, z_0) \xrightarrow{\sim} C^\infty(\mathcal{U}) / \sum_{\epsilon > 0} I_{\text{Ball}_\epsilon(z_0)}$
4. (a) For  $f \in R$  prove:  $\text{Jac}(f) = \mathfrak{m}$  iff  $o$  is a non-degenerate critical point of  $f$ .  
 (b) Let  $g \in \mathfrak{m} \subset R$ . Construct a (explicit) change of coordinates that brings  $x^2 + y^2 + xy \cdot g$  to  $x^2 + y^2$ .  
 (c) Prove the generalized Morse lemma: if  $f'|_o = 0$  and  $\text{rank}(f^{(2)}|_{z_0}) = r < n$  then in some local coordinates  $f(\underline{z}) = \sum_{i=1}^r (\pm) z_i^2 + f_{\geq 3}(z_{r+1}, \dots, z_n)$ , where  $f_{\geq 3} \in \mathfrak{m}^3$ .  
 (d) Let  $f \in \mathfrak{m} \subset R$ , for  $R = \mathbb{k}\{\underline{z}\}$  or  $C^\infty(\mathbb{R}^n, o)$ . Assume  $\det[f''|_o] \neq 0$ . Prove:  
 (i) The germ  $f^{-1}(0) \subset (\mathbb{k}^n, o)$  is contractible. (Check both the case  $f'|_o = 0$  and  $f'|_o \neq 0$ ).  
 (ii) For  $\mathbb{k} = \mathbb{C}$  there exists a neighborhood  $o \in \mathcal{U}$  such that for any  $0 < \epsilon \ll 1$  the subset  $f^{-1}(\epsilon) \cap \mathcal{U}$  is homotopy equivalent to  $S^{n-1} \subset \mathcal{U} \subset \mathbb{C}^n$ . (This is the simplest example of the *Milnor fibre*.) What happens for  $\mathbb{k} = \mathbb{R}$ ?
5. Verify the basic properties of  $\text{ord}(f)$ :  
 (a)  $\text{ord}(f) = p$  iff  $[f(o) = f^{(1)}|_o = \dots = f^{(p-1)}|_o = 0$  and  $f^{(p)}|_o \neq 0]$ .  
 (b) Describe all the elements  $f \in R$  for which  $\text{ord}(f) = \infty$ .  
 (c)  $\text{ord}(f \cdot g) = \text{ord}(f) + \text{ord}(g)$ ,  $\text{ord}\frac{f}{g} = \text{ord}(f) - \text{ord}(g)$ ,  $\text{ord}(f \pm g) \geq \min(\text{ord}(f), \text{ord}(g))$ .  
 (d) If  $(f) = (g) \subset R$  then  $\text{ord}(f) = \text{ord}(g)$ .